



European
Commission

DRAFT GENERAL BUDGET OF THE EUROPEAN UNION

for the financial year 2019



WORKING DOCUMENT

PART IV

**Pilot projects and
preparatory actions**

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**Draft General Budget
of the European Union
for the Financial Year 2019**

**Working Document Part IV
Pilot projects and preparatory actions**

Draft Budget Working Documents

The 2019 Draft Budget is accompanied by twelve ‘Working Documents’, as follows:

Part I: Programme Statements of operational expenditure

Working Document I contains Programme Statements, which constitute the main instrument for justifying the operational appropriations requested by the Commission in the Draft Budget. These Statements are coherent with the corresponding legal bases and provide details on the resources which are dedicated to each spending Programme.

Part II: Human Resources of the EU institutions and executive agencies

Working Document II presents information on the human resources of the EU institutions and executive agencies, and in particular for the Commission, both for the establishment plans and for external personnel, across all headings of the multiannual financial framework. Moreover, pursuant to Article 38(3)(b)(v) of the Financial Regulation, it provides a summary table for the period 2014 – 2018 which shows the number of full-time equivalents for each category of staff and the related appropriations for all institutions and bodies referred to in Article 208 of the Financial Regulation.

Part III: Bodies set up by the European Union having legal personality and Public-private partnership

Working Document III presents detailed information relating to all decentralised agencies, executive agencies and Public-Private Partnerships (joint undertakings and joint technology initiatives), with a transparent presentation of revenue, expenditure and staff levels of various Union bodies, pursuant to Articles 208 and 209 of the Financial Regulation.

Part IV: Pilot projects and preparatory actions

Working Document IV presents information on all pilot projects and preparatory actions which have budget appropriations (commitments and/or payments) in the 2019 Draft Budget, pursuant to Article 38(3)(c) of the Financial Regulation.

Part V: Budget implementation and assigned revenue

Working Document V presents the budget implementation forecast for 2019, information on assigned revenue implementation in 2017, and a progress report on outstanding commitments (RAL) and managing potentially abnormal RAL (PAR) for 2017.

Part VI: Commission expenditure under the administrative heading of the multiannual financial framework

This document encompasses administrative expenditure under to be implemented by the Commission under the administrative heading of the multiannual financial framework (heading 5) in accordance with Article 317 of the Treaty on the Functioning of the European Union, as well as the budgets of the Offices (OP, OLAF, EPSO, OIB, OIL and PMO).

Part VII: Commission buildings

Working Document VII presents information on buildings under Section III - Commission, pursuant to Article 203(3) of the Financial Regulation.

Part VIII: Expenditure related to the external action of the European Union

Working Document VIII presents information on human resources and expenditure related to the external action of the European Union.

Part IX: Funding to international organisations

Working Document IX presents funding provided to international organisations, across all MFF headings, pursuant to Article 38(3)(d) of the Financial Regulation.

Part X: Financial Instruments

Working Document X presents the use made of financial instruments, pursuant to Article 38(5) of the Financial Regulation.

Part XI: EU Trust funds

Working Document XI presents the activities supported by EU Trust Funds, their implementation and performance.

Part XII: Payment schedules

Working Document XII presents summary statements of the schedule of payments due in subsequent years to meet budgetary commitments entered into in previous years, pursuant to Article 38(3)(f) of the Financial Regulation.

Table of Contents

INTRODUCTION	8
Pilot Projects and Preparatory Actions – The situation in Draft Budget 2019	9
Financial Regulation Provisions	9
Expenditure cycle of a Pilot Project or a Preparatory Action	9
Statistical trends in pilot projects and preparatory actions in the EU Budget 2009-2018	10
Application of the Interinstitutional Agreement	14
Table 1: Summary table on Pilot projects.....	15
Table 2: Summary table on Preparatory Actions.....	24
PILOT PROJECTS	30
Heading 1a - Competitiveness for Growth and Jobs	30
<i>02 02 77 16: Pilot Project — The future of manufacturing</i>	<i>31</i>
<i>02 02 77 18: Pilot Project — Female business angels</i>	<i>35</i>
<i>02 02 77 24: Pilot Project — Destination Europe Brand — Promoting Europe in the tourism sector</i>	<i>39</i>
<i>02 02 77 25: Pilot Project — Entrepreneurial capacity building for young migrants</i>	<i>42</i>
<i>02 02 77 28: Pilot Project — SME instrument to enhance women's participation</i>	<i>46</i>
<i>02 03 77 05: Pilot Project — Dynamic development of cross-border e-commerce through efficient parcel delivery solutions</i>	<i>49</i>
<i>02 03 77 08: Pilot Project — Assessing alleged differences in the quality of products sold on the Single Market</i>	<i>51</i>
<i>04 03 77 24: Pilot Project — Quality employment for job starters through entrepreneurship</i>	<i>53</i>
<i>04 03 77 26: Pilot Project — A European framework for apprentice mobility: developing European citizenship and skills through youth integration in the labour market</i>	<i>56</i>
<i>04 03 77 27: Pilot Project — Promotion of domestic worker cooperatives and service voucher schemes</i>	<i>60</i>
<i>06 02 77 11: Pilot Project — Feasibility study to test the use of a public-private joint undertaking to support the deployment of the European rail transport management system (ERTMS) throughout the core network corridors</i>	<i>63</i>
<i>06 02 77 15: Pilot Project — Raising Awareness of alternatives to private car</i>	<i>65</i>
<i>06 02 77 16: Pilot Project — Sustainable shared mobility interconnected with public transport in European rural areas (developing the concept of 'smart rural transport areas' (SMARTAs))</i>	<i>67</i>
<i>06 02 77 17: Pilot Project — Single European Sky (SES) airspace architecture</i>	<i>70</i>
<i>06 02 77 18: Pilot Project — Mapping accessible transport for people with reduced mobility</i>	<i>73</i>
<i>06 02 77 19: Pilot Project — Secure parking areas for trucks</i>	<i>76</i>
<i>06 02 77 20: Pilot Project — Human behaviour in connection with autonomous driving</i>	<i>79</i>
<i>06 02 77 21: Pilot Project — Pan-European road safety awareness campaign</i>	<i>81</i>
<i>06 02 77 22: Pilot Project — OREL - European system for limiting odometer fraud: fast-track to roadworthiness in the EU</i>	<i>83</i>
<i>08 02 77 05: Pilot Project — Maternal immunisation: bridging knowledge gaps for advancing maternal immunisation in low-resource settings</i>	<i>85</i>
<i>09 02 77 07: Pilot Project — Exchange of media "rising stars" to speed up innovation and increase cross-border coverage ("Stars4media")</i>	<i>88</i>
<i>09 04 77 01: Pilot Project — Open knowledge technologies: mapping and validating knowledge</i>	<i>90</i>
<i>09 04 77 06: Pilot Project — Digital skills: new professions, new educational methods, new jobs</i>	<i>93</i>
<i>09 04 77 07: Pilot Project — Developing the use of new technologies and digital tools in education</i>	<i>96</i>
<i>09 04 77 10: Pilot Project — Framework of best practices to tackle child sexual abuse</i>	<i>99</i>
<i>09 04 77 11: Pilot Project — Algorithm Awareness Building Initiative</i>	<i>101</i>
<i>09 04 77 15: Pilot Project — Application of web accessibility requirements in web-authoring tools and platforms by default (Web Access By Default)</i>	<i>104</i>
<i>09 04 77 19: Pilot Project — European startups and scaleups ecosystem graph</i>	<i>107</i>
<i>09 04 77 21: Pilot Project — European ecosystem of distributed ledger technologies for social and public good</i>	<i>109</i>

10 02 77 02: Pilot Project — Organisation of large-scale events "Science meets Parliaments and Regions"	111
12 02 77 06: Pilot Project — Horizontal Task Force on Distributed Ledger Technology and government use care	114
12 02 77 07: Pilot Project — Creating a true Banking Union - Research into differences in bank-related laws and regulations in Eurozone countries and the need to harmonise them in a Banking Union	117
12 02 77 08: Pilot Project — European fund for crowdfunded investments	119
15 02 77 17: Pilot Project — Altiero Spinelli Prize for Outreach	121
32 02 77 08: Pilot Project — Fuel/energy poverty — Assessment of the impact of the crisis and review of existing and possible new measures in the Member States	126
32 02 77 10: Pilot Project — Fighting Energy Poverty in the Ionian-Adriatic Macro-Region	129
32 02 77 11: Pilot Project — Feasibility study for the distributed ledger technology applied to the European energy market	131
Heading 1b - Economic, Social and Territorial Cohesion.....	133
09 04 77 16: Pilot Project — European platform on vulnerable people in the Information Society: mapping best practices and socio-economic impact for the empowerment of vulnerable communities through information and communication technologies (ICTs)	134
09 04 77 17: Pilot Project — Start This Up! Start-up-based ecosystem (connecting universities, entrepreneurs and a start-up hub in Western Pomerania) harnessing regional potential away from central cities in Poland ...	136
13 03 77 21: Pilot Project — European Strategy for the Adriatic and Ionian Region (EUSAIR): generation and preparation of initiatives and projects with genuine added value for the region as a whole	138
13 03 77 24: Pilot Project — Measuring what matters to EU citizens: social progress in European regions	142
Heading 2 - Sustainable Growth: Natural Resources.....	144
05 08 77 10: Pilot Project — Agropol: development of a European cross-border Agribusiness Model Region	145
05 08 77 12: Pilot Project — Social eco-village.....	148
05 08 77 13: Pilot Project — Improving crisis prevention and management criteria and strategies in the agricultural sector	151
05 08 77 14: Pilot Project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme.....	154
05 08 77 15: Pilot Project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported	157
07 02 77 22: Pilot Project — Biodiversity protection through a results-based remuneration of ecological achievements	160
07 02 77 29: Pilot Project — Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform.....	164
07 02 77 30: Pilot Project — Fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — Bridging the green innovations gap	169
07 02 77 31: Pilot Project — Mitigating infectious diseases to counteract loss of European biodiversity as required by the Habitats Directive	172
07 02 77 32: Pilot Project — Protocols for the establishment of green infrastructure assessment schemes across the Union	175
07 02 77 33: Pilot Project — Mitigating the impact of wind turbines on bat and bird populations and their migration routes	178
07 02 77 34: Pilot Project — Inventory of species and habitats in the French outermost regions.....	181
07 02 77 35: Pilot Project — Mapping and assessing the state of ecosystems and their services in the outermost regions and overseas countries and territories: establishing links and pooling resources	184
07 02 77 36: Pilot Project — Network of European Green Cities	188
07 02 77 37: Pilot Project — Effect of residential solid waste burning on ambient air quality in Europe and potential mitigation measures	191
07 02 77 39: Pilot Project — Establishing regional or local platforms on coexistence between people and large carnivores focused on key actions for large carnivores in areas with high levels of conflict.....	195
07 02 77 40: Pilot Project — Towards evidence-based improvements in Birds and Habitats Directives (BHD) implementation: systematic review and meta-analysis.....	199
07 02 77 41: Pilot Project — Promoting alternatives to animal testing.....	202
07 02 77 42: Pilot Project — EU butterfly monitoring and indicators	206
07 02 77 43: Pilot Project — Using satellite images to improve the operation of the Natura 2000 network	209
07 02 77 44: Pilot Project — Map of solutions, best practices and remedies for Lindane pesticide waste decontamination in the EU.....	212
07 02 77 46: Pilot Project — Assessing, identifying, sharing and disseminating best practices for the humane management of invasive alien species	215

07 02 77 47: Pilot Project — Integrating smart sensors and modelling for air quality monitoring in cities	217
07 02 77 48: Pilot Project — Nature-Based Solutions for climate and water pollution mitigation in agricultural regions (Budget line in 2018 Budget: (34 02 77 04))	220
11 06 77 07: Pilot Project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of Union's Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management	222
11 06 77 09: Pilot Project — Development of innovative, low-impact offshore fishing practices for small-scale vessels in outermost regions, including exchange of good practices and fishing trials	226
11 06 77 14: Pilot Project — Ocean Literacy for All.....	229
11 06 77 15: Pilot Project — Manual of good practices for cruises	231
11 06 77 16: Pilot Project — EU platform for fishery and aquaculture producer organisations.....	233
11 06 77 17: Pilot Project — Control scheme for recreational catches of sea bass	235
17 03 77 12: Pilot Project — Reducing health inequalities: building expertise and evaluation of actions.....	237
17 04 77 05: Pilot Project — Establishment of a harmonised internal market for pig meat obtained from pigs that have not been surgically castrated.....	240
34 02 77 03: Pilot Project — Study on life cycles of electric, biofuel and traditionally fuelled vehicles	243
Heading 3 - Security and Citizenship.....	245
09 02 77 06: Pilot Project — Media councils in the digital era	246
09 05 77 04: Pilot Project — Media literacy for all.....	248
09 05 77 07: Pilot Project — Internship opportunities for minority language media.....	251
15 02 77 18: Pilot Project — Sport as a tool for integration and social inclusion of refugees	253
15 02 77 19: Pilot Project — Monitoring and coaching, through sports, of youngsters at risk of radicalisation.....	256
15 04 77 13: Pilot Project — Fight against illicit trafficking in cultural objects	259
15 04 77 16: Pilot Project — Protecting the Jewish cemeteries of Europe: A full mapping process with research and monitoring and individual costed proposals for protection	263
15 04 77 19: Pilot Project — Finance, Learning, Innovation and Patenting for Cultural and Creative Industries (FLIP for CCIs).....	266
17 03 77 17: Pilot Project — Platform for increasing organ donation in the European Union and neighbouring countries: Eudonorg 2015-2016	269
17 03 77 20: Pilot Project — Establishing a registry of rare congenital malformations (as part of the Rare Diseases Registry), drawing on the structure, organisation and experience of the Polish Registry of Congenital Malformations (PRCM)	272
17 03 77 22: Pilot Project — MentALLY	275
17 03 77 23: Pilot Project — Severe mental disorders and the risk of violence: pathways through care and effective treatment strategies	277
17 03 77 25: Pilot Project — Integrate: development of integrated strategies to monitor and treat chronic and rheumatic diseases: the role of quality indicators and patient-reported outcomes in addition to physician evaluation of disease activity and damage	280
17 03 77 27: Pilot Project — Food redistribution	283
33 02 77 09: Pilot Project — Capacity-building for Roma civil society and strengthening its involvement in the monitoring of national Roma integration strategies	286
33 02 77 10: Pilot Project — Fundamental rights review of Union data-collection instruments and programmes	289
33 02 77 13: Pilot Project — Europe of diversities.....	292
33 02 77 14: Pilot Project — E-voting: making the best use of modern technologies for more active and democratic voting procedures	295
33 02 77 16: Pilot Project — European Survey on gender-based violence	298
33 03 77 05: Pilot Project — Letterbox companies	300
33 03 77 07: Pilot Project — Terrorism victim response coordination centre	302
33 04 77 04: Pilot Project — Training for SMEs on consumer rights in the digital age	304
Heading 4 - Global Europe	307
20 02 77 02: Pilot Project — Women and Trade: creating a model chapter on gender in free trade agreements based on data on women participating in trade and women participating in the domestic economy.....	308
21 02 77 16: Pilot Project — Strengthening veterinary services in developing countries.....	311
21 02 77 18: Pilot Project — Investing in sustainable peace and community rebuilding in the Cauca area — Colombia	314

21 02 77 22: Pilot Project — <i>Integrated approach to developing and rolling out health solutions to tackle neglected tropical diseases in endemic areas</i>	318
21 02 77 23: Pilot Project — <i>Access to justice and reparation for victims of the most serious crimes committed in the Democratic Republic of Congo (DRC)</i>	320
21 02 77 25: Pilot Project — <i>Enhance children's rights, protection and access to education for children and adolescents displaced in Guatemala, Honduras and El Salvador</i>	323
21 02 77 26: Pilot Project — <i>Education services for children formerly associated with armed forces and groups in the Greater Pibor Administrative Area (GPAA) in South Sudan</i>	327
21 02 77 27: Pilot Project — <i>Piloting the use of Participatory Rangeland Management (PRM) in Kenya and Tanzania</i>	330
21 02 77 28: Pilot Project — <i>Supporting the urban dimension of development cooperation: increasing financial capacities of cities in developing countries to deliver productive and sustainable urban development</i>	333
21 02 77 31: Pilot Project — <i>Santé pour tous — Health for All</i>	336
21 02 77 33: Pilot Project — <i>Fostering transparency and impact assessment for local authorities in Guatemala</i>	338
23 02 77 01: Pilot Project — <i>Ensuring effective delivery of aid to victims of sexual and gender-based violence in humanitarian settings</i>	341
Heading 5 - Administration	344
25 01 77 04: Pilot Project — <i>New technologies and information and communication technology (ICT) tools for the implementation and simplification of European Citizens' Initiatives (ECI)</i>	345
26 03 77 07: Pilot Project — <i>Deployment of online eIDs and digital signatures by way of implementation of the eIDAS Regulation by the European Parliament and the Commission</i>	348

PREPARATORY ACTIONS 351

Heading 1a - Competitiveness for Growth and Jobs	352
02 02 77 21: Preparatory Action — <i>Transnational culture-related European tourism product</i>	353
02 02 77 29: Preparatory Action — <i>European Capital of Tourism</i>	356
02 02 77 32: Preparatory Action — <i>World Bridge Tourism</i>	359
02 02 77 35: Preparatory Action — <i>Speeding up EU Industrial Modernisation by improving support for pan-European demonstration facilities – the 3D Printing case</i>	361
02 04 77 03: Preparatory Action on <i>Defence research</i>	363
04 03 77 18: Preparatory Action — <i>Social solidarity for social integration</i>	367
04 03 77 23: Preparatory Action — <i>Reactivate — Intra-Union mobility programme for unemployed over-35s</i>	370
04 03 77 25: Preparatory Action — <i>Child Guarantee Scheme / Establishing A European child guarantee and financial support</i>	374
06 02 77 14: Preparatory Action — <i>Towards a single and innovative European transport system</i>	377
09 04 77 05: Preparatory Action — <i>Open knowledge technologies: mapping and validating knowledge</i>	380
09 04 77 08: Preparatory Action — <i>REIsearch (Research excellence innovation framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policy makers</i>	384
09 04 77 13: Preparatory Action — <i>Digital hub network</i>	387
09 04 77 14: Preparatory Action — <i>Digital transformation of European industry</i>	389
09 04 77 18: Preparatory Action — <i>Creating a European Digital Academy</i>	391
15 02 77 16: Preparatory Action — <i>Evaluation of higher-education entrepreneurship programmes</i>	393
15 02 77 20: Preparatory Action — <i>Free Interrail pass for Europeans turning 18</i>	395
15 04 77 18: Preparatory Action — <i>Music Moves Europe: Boosting European music diversity and talent</i>	398
32 02 77 09: Preparatory Action — <i>Strengthening cooperation on climate action among islands within and beyond the Union through the creation of an island identity within the Global Covenant of Mayors</i>	401
32 02 77 12: Preparatory Action — <i>Establishing comprehensive support for coal and carbon-intensive regions in transition</i>	404
32 02 77 13: Preparatory Action — <i>Toolkits for coal platform dialogue participants to develop and support local transition strategies</i>	406
Heading 1b – Economic, Social and Territorial Cohesion	408
01 02 77 01: Preparatory Action — <i>Capacity development and institution building to support the implementation of economic reforms</i>	409
09 04 77 09: Preparatory Action — <i>Smart factories in Eastern Europe</i>	412

13 03 77 12: Preparatory Action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region	415
13 03 77 17: Preparatory Action — EU-CELAC cooperation on territorial cohesion	420
13 03 77 18: Preparatory Action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward	424
13 03 77 19: Preparatory Action — Support for growth and governance in regions whose development is lagging behind	428
13 03 77 22: Preparatory Action — Macro-regional strategy 2014-2020: EU strategy for the Alpine Region	431
13 03 77 23: Preparatory Action — Urban agenda for the EU	434
Heading 2 – Sustainable Growth: Natural Resources	437
05 08 77 09: Preparatory Action — Union plant and animal genetic resources	438
05 08 77 16: Preparatory Action — Smart rural areas in the 21st century	442
07 02 77 45: Preparatory Action — Operationalising capacity building for programmatic development and mapping objectives in the field of environmental taxation and budgetary reform	444
11 06 77 13: Preparatory Action — Common curriculum for skippers of small commercial vessels	446
Heading 3 – Security and Citizenship	449
09 02 77 04: Preparatory Action — European Centre for Press and Media Freedom	450
09 02 77 08: Preparatory Action — Monitoring media pluralism in the digital era	454
09 05 77 03: Preparatory Action — Action on subtitling including crowdsourcing to increase the circulation of European works	456
09 05 77 05: Preparatory Action — Subtitling European cultural television content throughout Europe	460
09 05 77 06: Preparatory Action — Media literacy for all	464
15 04 77 11: Preparatory Action — New narrative on Europe	466
15 04 77 12: Preparatory Action — Europe for Festivals, Festivals for Europe (EFFE)	470
15 04 77 14: Preparatory Action — Open micro-business models for innovation in European family-owned heritage houses	474
15 04 77 17: Preparatory Action — European Houses of Culture	478
17 03 77 15: Preparatory Action — European study on the burden and care of epilepsy	481
33 03 77 06: Preparatory Action — EU fund for financial support for litigating cases relating to violations of democracy, rule of law and fundamental rights	484
Heading 4 – Global Europe.....	487
21 02 77 14: Preparatory Action — Global Energy Efficiency and Renewable Energy Fund (GEEREF)	488
21 02 77 29: Preparatory Action — Support for micro, small and medium-sized enterprises (SMMEs) in developing countries	493
23 03 77 04: Preparatory Action — Network of European hubs for civil protection and crisis management	495
Heading 5 – Administration.....	498
25 01 77 05: Preparatory Action — Linked open data in European public administration	499
26 03 77 06: Preparatory Action — Governance and quality of software code — Auditing of free and open-source software	502
26 03 77 08: Preparatory Action — Encrypted electronic communications of Union institutions	506
26 03 77 09: Preparatory Action — Data analytics solutions for policy-making	509

Introduction

Pilot Projects and Preparatory Actions – The situation in Draft Budget 2019

This report on pilot projects (PPs) and preparatory actions (PAs) provides details of the state of implementation of all those projects and actions which have budget appropriations in the Draft Budget (DB 2019) – commitments and/or payments.

In the majority of cases there are no new commitment appropriations, only the payments for which commitments have been made in previous years.

In the 2019 DB, the Commission proposes the last tranche of the preparatory action '*Preparatory action on Defence research*', budget item 02 04 77 03, for an amount of EUR 25 million in commitment appropriations.

Full details of all the pilot projects and preparatory actions created in the 2018 budget, for which no appropriations are proposed in 2019, were set out in the Interim Report presented to the Budgetary Authority on 27 February 2018.

Financial Regulation Provisions

This report is presented by the Commission to the Budgetary Authority in accordance with the provisions of point (c) of Article 38(3) of the Financial Regulation and covers the pilot projects and preparatory actions referred to in points (a) and (b) of Article 54(2) of the Financial Regulation.

As a general rule, no appropriations may be entered in the budget for any action without the prior adoption of a basic act. However, under article 54(2), the Financial Regulation adds that "*the following may be implemented without a basic act provided the actions which they are intended to finance fall within the competences of the Union:*

- a) *appropriations for pilot projects of an experimental nature designed to test the feasibility of an action and its usefulness. The relevant commitment appropriations may be entered in the budget for not more than two consecutive financial years;*
- b) *appropriations for preparatory actions in the field of application of the TFEU and the Euratom Treaty, designed to prepare proposals with a view to the adoption of future actions. The preparatory actions shall follow a coherent approach and may take various forms. The relevant commitment appropriations may be entered in the budget for not more than three consecutive financial years. The procedure for the adoption of the relevant basic act shall be concluded before the end of the third financial year. In the course of that procedure, the commitment of appropriations shall correspond to the particular features of the preparatory action with regard to the activities envisaged, the aims pursued and the recipients. Consequently, the means implemented shall not correspond in volume to those envisaged for financing the definitive action itself."*

Expenditure cycle of a Pilot Project or a Preparatory Action

The implementation of a pilot project or a preparatory action follows certain steps foreseen by the Financial Regulation. These steps differ according to the procedure to be followed (mainly either procurements or grants). However before arriving to the first step of the financial procedure, which is the financing decision, the Commission has to prepare the way for the best use of the action or project, and the related appropriations entered in the budget.

Thus, the Commission first has to analyse the specific objectives and ways of implementing the proposed action and, on this basis, attribute it to the responsible Directorate General. Sometimes it can take several weeks before a final decision is taken, especially when the action lies on the border line of several policy areas. The authorising officer and the manager responsible then have to elaborate a sufficiently detailed framework, as foreseen by the Financial Regulation, in respect of which the financing decision is finally taken. Only when all these prior phases are completed, can the financial implementation start.

An early dialogue with the European Parliament could very much improve the financial implementation of these actions. Provisions to this end have been included in the Interinstitutional Agreement of 2 December 2013.

If the success of a pilot project or a preparatory action is to be measured, it is much less on the speediness of the commitments or payments, and rather on the sustainability of the results produced. After a careful and successful initial analysis, the process requires constant monitoring over the lifetime of the PPs/PAs, and the respect of the procedures.

Statistical trends in pilot projects and preparatory actions in the EU Budget 2009-2018

The section below presents statistical data on pilot projects and preparatory actions (PPs/PAs) adopted under the annual budget over the years 2009-2018.

Total number of pilot projects and preparatory actions

Between 2009 and 2018 the number of PPs/PAs has gradually increased (Figure 1). Being a method of testing new policy ideas, the visibility of PPs/PAs has increased and with it the interest in these instruments.

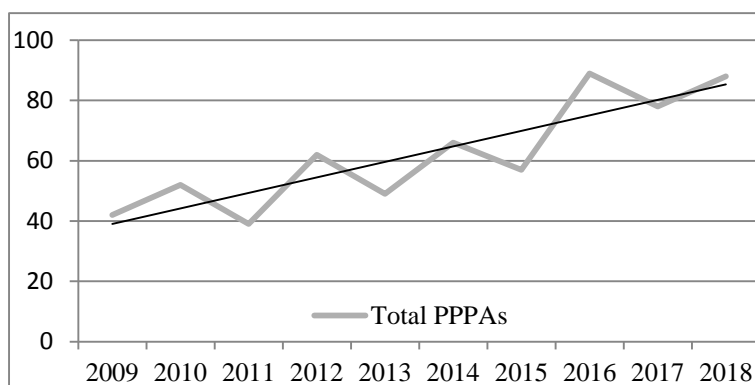


Figure 1. Total number of PPs/PAs per year included in annual budgets.

This helps explain the two main tendencies in the development of PPs/PAs in the past eleven years: Firstly, the increased EP interest in PPs/PAs has led to a very high increase in the number of PPs/PAs proposed in the annual budgetary procedures: the total amount of proposed PPs/PAs increased fivefold in the period of nine years with particularly strong increases in the 2011 and 2016 Budget procedures (Figure 2).

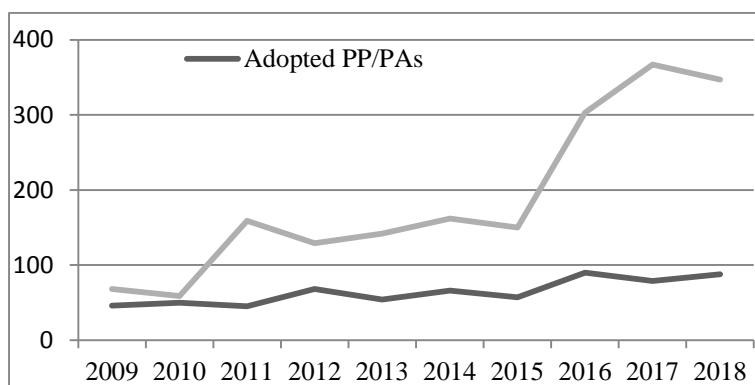


Figure 2. Total number of proposed and adopted PPs/PAs between 2009 and 2018.

Secondly, decoupled from the fivefold increase of PPs/PAs proposed, there has only been a gradual increase in the number of adopted PPs/PAs: This number only doubled from 2009 to 2018. This relatively minor increase is mainly due to the financing limitations set by the Financial Regulation.

Table 1 presents the comparative numbers of proposed to adopted PPs/PAs in more detail: while only 46 PPs/PAs were included in the 2009 Budget, this number has risen to 88 in the 2018 Budget. However, the number of adopted PPs/PAs remained relatively stable until 2015, after which there has been an increase by 33 PPs/PAs to 90 PPs/PAs adopted under the 2016 Budget.

	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
Proposed PPs/PAs	68	59	159	129	142	162	150	303	367	347
Included in the Budget	46	50	45	68	54	66	57	90	79	88

Table 1. Total number of proposed and adopted PPs/PAs between 2009 and 2018.

Commitment appropriations for pilot projects and preparatory actions

In general, the commitments allocated to the pilot project were always close to the maximum sum defined in the Financial Regulation (EUR 40 million). With the exception of 2013, the allocated funds exceeded EUR 35 million (Figure 7), whereas in eight out of the ten years analysed, the commitment appropriations for PPs surpassed EUR 38 million. In the 2010 and 2018 Budgets they even reached the maximum of EUR 40 million.

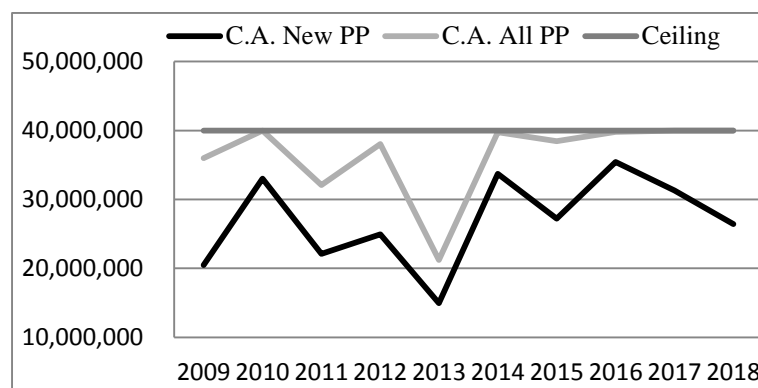


Figure 7. PPs commitment levels and the annual ceiling.

For preparatory actions, available funds were mostly not used to their limit (half of the EUR 50 million defined in article 54(2)(b) of the Financial Regulation), with allocated funds for new PAs staying below EUR 25 million. The very high number of new PAs led to exceptions in 2012 (EUR 44.2 million) and 2018 (EUR 42.9 million). The 2017 Budget had the highest amount of commitment appropriations (over EUR 46 million), of which EUR 25 million were allocated to a PA launched by the Commission. Figure 8 shows similar trends in the total amounts available to PAs.

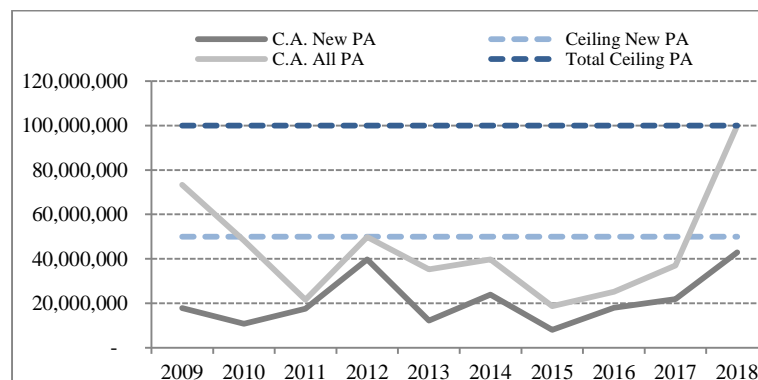


Figure 8. PAs commitment levels and the annual ceilings.

Average amount in commitment appropriations per PP/PA

The total amount of commitment appropriations dedicated to pilot projects or preparatory actions divided by the number of projects or actions funded this year results in the average commitment

appropriations available per PP/PA. While in 2009 a total of 46 PPPAs were included in the budget with an average amount of commitment appropriations of EUR 2.7 million, the increase in the overall number of PPs/PAs over the years has resulted in a lower amount of available appropriations for each PP/PA. With the highest count of pilot projects in 2016, the same year also features the lowest commitment appropriation per project for the time analysed (EUR 0.63 million for pilot projects and EUR 1.04 million for preparatory actions).

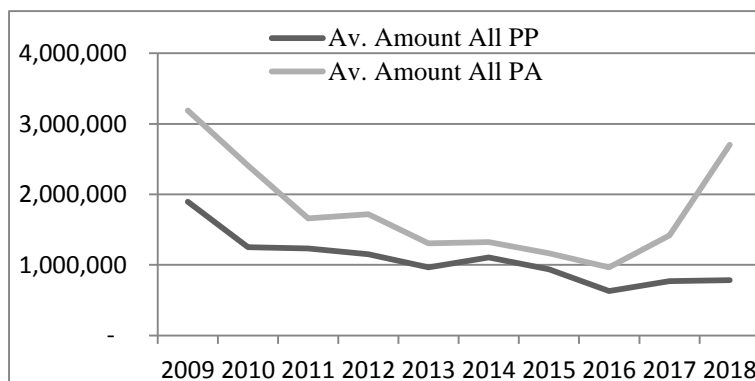


Figure 9. Average yearly amount per PP and PA.

Development of Pilot Projects and Preparatory Actions after the first year

According to Art. 54(2) of the FR, the relevant commitment appropriations may be entered in the budget for not more than two consecutive financial years for PPs and not more than three consecutive financial years in the case of PAs. Two thirds of the 252 new PPs launched between 2009 and 2017 were not prolonged (174). The number of newly introduced PPs has risen drastically over time but the number of projects continuing for a second year remained constant (Figure 10).

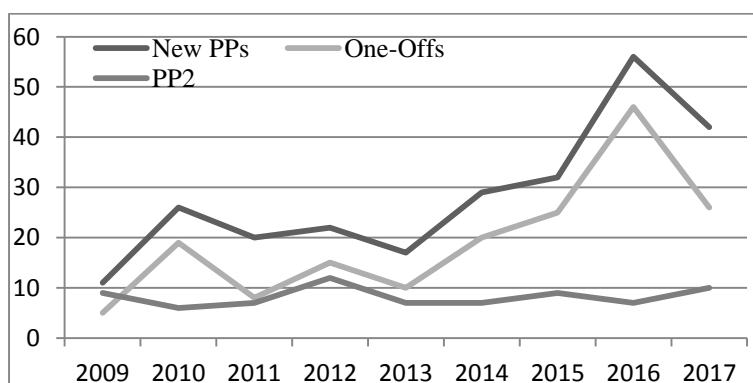


Figure 10. Number of PPs launched per year.

Whilst the number of newly introduced PPs varied between 11 and 56, the number of continued projects remained between 6 (2009) and 12 (2012).

From 2009 to 2017 115 new PAs were introduced. Of these, 60 actions received commitment appropriations for a second year, while 38 PAs received appropriations for a second and a third year. Yet almost half of the new PAs (55) were not prolonged at all. There are no clear cut tendencies in the development of new payment appropriations introduction, given the yearly ups and downs.

Comparing PPs and PAs (Figure 11), the share of extended PAs is higher than the share of extended PPs.

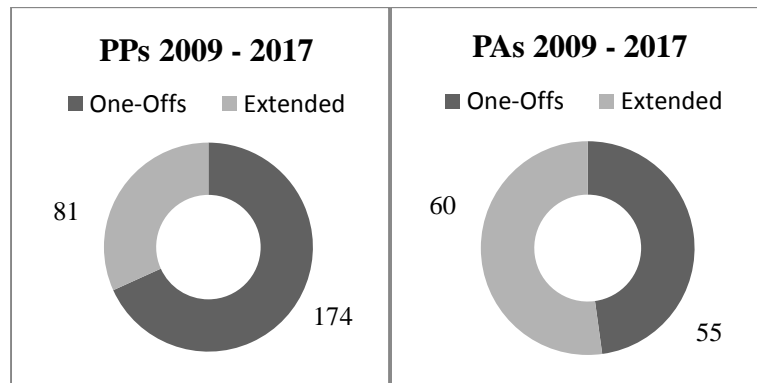


Figure 11. Number of One-Offs and Extended PPs/PAs for the period 2009-2017.

Share of and EU Budget spent on discontinued PPs/PAs

The share of discontinued PPs in the total number of newly introduced PPs has remained high over the years increasing gradually and fluctuating between 52% and 82%. This increase is also reflected in the share of commitment appropriations allocated to discontinued PPs relative to total commitment appropriations for new PPs (Figure 12). Since 2014, the commitment appropriations allocated to discontinued PPs has on average made up 71% of the total commitment appropriations for new PPs, compared to 58% in the period between 2009 and 2013. Hence the total commitment appropriations spent on discontinued PPs in the past 9 years amounts to over EUR 169 million, compared to EUR 92 million spent on extended projects.¹

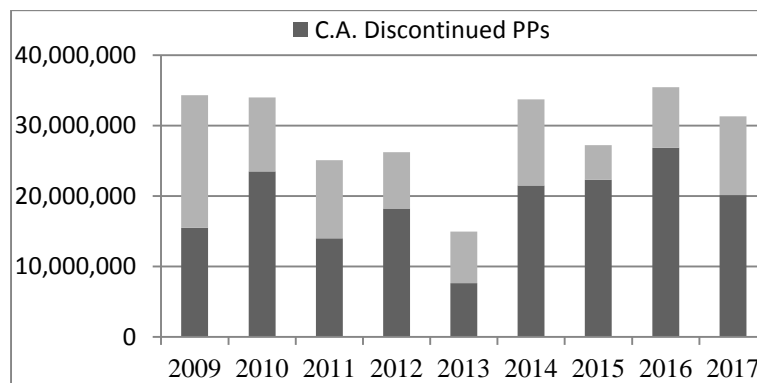


Figure 12. Total CA for discontinued and extended new PPs.

There is no clear-cut tendency in the numbers of discontinued PAs. However, there has also been an overall increase since 2009. The share of new PAs which were discontinued has fluctuated from an all-time low in 2013 (12%) to over 90% in 2010.

The total amount of commitment appropriations spent on discontinued PAs since 2009 amounts to EUR 87.6 million, with a yearly average of over EUR 9 million. Overall, the higher extension rate of PAs is reflected by the relatively large total amount of commitment appropriations allocated to extended PAs (EUR 116.4 million).

¹ Not considering PPs/PAs included in the 2018 EU Budget.

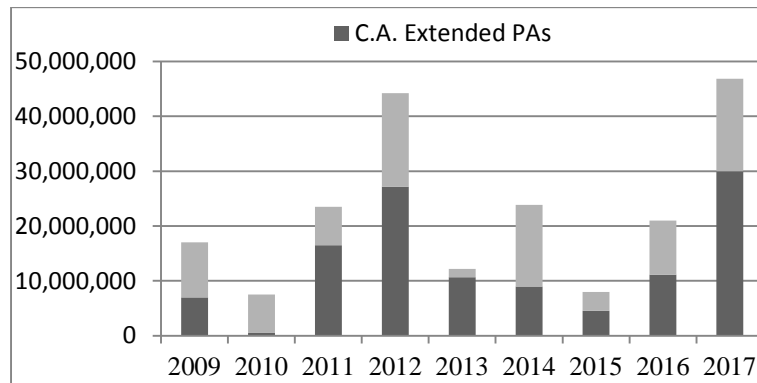


Figure 13. Total CA for discontinued and extended new PAs.

Application of the Interinstitutional Agreement

According to the provisions of the Interinstitutional Agreement of 2 December 2013, both arms of the budgetary authority are invited to inform the Commission of their intentions to create new preparatory actions/pilot projects or to prolong existing ones. This enables the Commission to assess in due time the implementability of the amendments envisaged in this field.

Table 1: Summary table on Pilot projects²

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
02 02 77 16	1a	GROW	Cpp	Cpp	Cpp	Pilot project — The future of manufacturing	0	200 000	p.m.	p.m.	p.m.	200 000
02 02 77 18	1a	GROW	Cpp	Cpp	Cpp	Pilot project — Female business angels	0	0	p.m.	p.m.	p.m.	636 000
02 02 77 24	1a	GROW	PP2	Cpp	Cpp	Pilot project — Destination Europe Brand — Promoting Europe in the tourism sector	1 000 000	214 563	p.m.	426 146	p.m.	585 400
02 02 77 25	1a	GROW	PP2	Cpp	Cpp	Pilot project — Entrepreneurial capacity building for young migrants	1 000 000	668 087	p.m.	1 102 000	p.m.	883 400
02 02 77 28	1a	GROW	PP2	Cpp	Cpp	Pilot project — SME instrument to enhance women's participation	0	349 832	p.m.	p.m.	p.m.	150 200
02 03 77 05	1a	GROW	PP1	PP2	Cpp	Pilot project — Dynamic development of cross-border e-commerce through efficient parcel delivery solutions	495 200	0	p.m.	150 000	p.m.	247 600
02 03 77 08	1a	GROW		PP1	PP2	Pilot project — Assessing alleged differences in the quality of products sold in the single market			800 000	400 000	p.m.	400 000
04 03 77 24	1a	EMPL	PP2	Cpp	Cpp	Pilot project — Quality employment for job starters through entrepreneurship	0	0	p.m.	350 000	p.m.	450 000
04 03 77 26	1a	EMPL	PP2	Cpp	Cpp	Pilot project — A European framework for apprentice mobility: developing European citizenship and skills through youth integration in the labour market	1 997 579	1 611 739	p.m.	1 000 000	p.m.	400 000
04 03 77 27	1a	EMPL		PP1	PP2	Pilot project — Promotion of domestic worker cooperatives and service voucher schemes			700 000	350 000	p.m.	350 000
06 02 77 11	1a	MOVE	PP2	Cpp	Cpp	Pilot project — Feasibility study to test the use of a public-private joint undertaking to support the deployment of the European rail transport management system (ERTMS) throughout the core network corridors	0	0	p.m.	120 000	p.m.	197 700
06 02 77 15	1a	MOVE	PP1	PP2	Cpp	Pilot project — Raising awareness of alternatives to private car	490 000	0	800 000	645 000	p.m.	594 000
06 02 77 16	1a	MOVE	PP1	PP2	Cpp	Pilot project — Sustainable shared mobility interconnected with public transport in European rural areas (developing the concept of 'smart rural transport areas' (SMARTAs))	600 000	0	1 000 000	800 000	p.m.	800 000

² PP1 – pilot project first year; PP2 – pilot project second year; Cpp – completion pilot project

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
06 02 77 17	1a	MOVE	PP1	PP2	Cpp	Pilot project — Single European Sky (SES) airspace architecture	800 000	400 000	600 000	700 000	p.m.	300 000
06 02 77 18	1a	MOVE	PP1	PP2	Cpp	Pilot project — Mapping accessible transport for people with reduced mobility	600 000	0	p.m.	300 000	p.m.	300 000
06 02 77 19	1a	MOVE	PP1	PP2	Cpp	Pilot project — Secure parking areas for trucks	761 000	0	p.m.	425 000	p.m.	336 000
06 02 77 20	1a	MOVE		PP1	PP2	Pilot project — Human behaviour in connection with autonomous driving			350 000	175 000	p.m.	175 000
06 02 77 21	1a	MOVE		PP1	PP2	Pilot project — Pan-European road safety awareness campaign			600 000	300 000	p.m.	300 000
06 02 77 22	1a	MOVE		PP1	PP2	Pilot project — OREL — European system for limiting odometer fraud: fast-track to roadworthiness in the Union			300 000	150 000	p.m.	150 000
08 02 77 05	1a	RTD	PP2	Cpp	Cpp	Pilot project — Maternal immunisation: bridging knowledge gaps for advancing maternal immunisation in low-resource settings	0	0	p.m.	400 000	p.m.	179 967
09 02 77 07	1a	CNECT		PP1	PP2	Pilot project — Exchange of media 'rising stars' to speed up innovation and increase cross-border coverage ('Stars4media')			1 200 000	600 000	p.m.	600 000
09 04 77 01	1a	CNECT	Cpp	Cpp	Cpp	Pilot project — Open knowledge technologies: mapping and validating knowledge	0	84 257	p.m.	888 314	p.m.	199 962
09 04 77 06	1a	CNECT	PP2	Cpp	Cpp	Pilot project — Digital skills: new professions, new educational methods, new jobs	0	0	p.m.	320 000	p.m.	238 752
09 04 77 07	1a	CNECT	PP2	Cpp	Cpp	Pilot project — Developing the use of new technologies and digital tools in education	0	198 787	p.m.	160 000	p.m.	39 758
09 04 77 10	1a	CNECT	PP1	PP2	Cpp	Pilot project — Framework of best practices to tackle child sexual abuse	1 000 000	0	p.m.	400 000	p.m.	431 454
09 04 77 11	1a	CNECT	PP1	PP2	Cpp	Pilot project — Algorithm awareness building initiative	600 000	0	300 000	570 000	p.m.	500 000
09 04 77 15	1a	CNECT	PP1	PP2	Cpp	Pilot project — Application of web accessibility requirements in web-authoring tools and platforms by default (Web Access By Default)	600 000	0	p.m.	240 000	p.m.	60 000
09 04 77 19	1a	CNECT		PP1	PP2	Pilot project — European start-up and scale-up ecosystem graph			1 000 000	500 000	p.m.	500 000
09 04 77 21	1a	CNECT		PP1	PP2	Pilot project — European ecosystem of distributed ledger technologies for social and public good			1 000 000	500 000	p.m.	420 000

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
10 02 77 02	1a	JRC		PP1	PP2	Pilot project — Organisation of large-scale events — 'Science meets Parliaments and Regions'			1 000 000	500 000	p.m.	500 000
12 02 77 06	1a	FISMA	PP1	PP2	Cpp	Pilot project — Horizontal Task Force on Distributed Ledger Technology and government use cases	850 000	205 878	500 000	675 000	p.m.	593 000
12 02 77 07	1a	FISMA		PP1	PP2	Pilot project — Creating a true Banking Union — Research into differences in bank-related laws and regulations in euro area countries and the need to harmonise them in a Banking Union			500 000	250 000	p.m.	250 000
12 02 77 08	1a	FISMA		PP1	PP2	Pilot project — European fund for crowdfunded investments			400 000	200 000	p.m.	200 000
15 02 77 17	1a	EAC	PP1	PP2	Cpp	Pilot project — Altiero Spinelli Prize for outreach	750 000	39 375	300 000	525 000	p.m.	200 000
32 02 77 08	1a	ENER	Cpp	Cpp	Cpp	Pilot project — Fuel/energy poverty — Assessment of the impact of the crisis and review of existing and possible new measures in the Member States	0	284 816	p.m.	203 000	p.m.	203 441
32 02 77 10	1a	ENER	PP1	PP2	Cpp	Pilot project — Fighting energy poverty in the Ionian-Adriatic macro-region	1 000 000	0	p.m.	500 000	p.m.	500 000
32 02 77 11	1a	ENER		PP1	PP2	Pilot project — Feasibility study for distributed ledger technology applied to the European energy market			400 000	200 000	p.m.	200 000
Heading 1a - Total											13 271 634	

Budget line	FF	DG Resp.	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
09 04 77 16	1b	CNECT	PP1	PP2	Cpp	Pilot project — European platform on vulnerable people in the Information Society: mapping best practices and socio-economic impact for the empowerment of vulnerable communities through information and communication technologies (ICTs)	750 000	0	p.m.	300 000	p.m.	300 000
09 04 77 17	1b	CNECT	PP1	PP2	Cpp	Pilot project — Start This Up! Start-up-based ecosystem (connecting universities, entrepreneurs and a start-up hub in Western Pomerania) harnessing regional potential away from central cities in Poland	736 147	0	p.m.	500 000	p.m.	368 074

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
13 03 77 21	1b	REGIO	PP1	PP2	Cpp	Pilot project —European Union Strategy for the Adriatic and Ionian Region (EUSAIR): generation and preparation of initiatives and projects with genuine added value for the region as a whole	1 300 000	0	1 300 000	1 300 000	p.m.	650 000
13 03 77 24	1b	REGIO		PP1	PP2	Pilot project — Measuring what matters to EU citizens: social progress in European regions			900 000	450 000	p.m.	450 000
Heading 1b - Total												1 768 074

Budget line	FF	DG Resp.	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
05 08 77 10	2	AGRI	Cpp	Cpp	Cpp	Pilot project — Agropol: development of a European cross-border Agribusiness Model Region	0	201 695	p.m.	605 085	p.m.	201 695
05 08 77 12	2	AGRI	PP2	Cpp	Cpp	Pilot project — Social eco-village	0	0	p.m.	120 000	p.m.	252 000
05 08 77 13	2	AGRI	PP2	Cpp	Cpp	Pilot project — Improving crisis prevention and management criteria and strategies in the agricultural sector	0	0	p.m.	90 000	p.m.	208 418
05 08 77 14	2	AGRI	PP2	Cpp	Cpp	Pilot project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme	199 200	0	p.m.	450 000	p.m.	269 760
05 08 77 15	2	AGRI	PP2	Cpp	Cpp	Pilot project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported	0	0	p.m.	90 000	p.m.	208 950
07 02 77 22	2	ENV	Cpp	Cpp	Cpp	Pilot project — Biodiversity protection through a results-based remuneration of ecological achievements	0	124 230	p.m.	300 000	p.m.	350 000
07 02 77 29	2	ENV	Cpp	Cpp	Cpp	Pilot project — Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform	0	482 246	p.m.	110 000	p.m.	190 000
07 02 77 30	2	ENV	PP2	Cpp	Cpp	Pilot project — Fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — Bridging the green innovations gap	0	77 955	p.m.	300 000	p.m.	420 000
07 02 77 31	2	ENV	PP2	Cpp	Cpp	Pilot project — Mitigating infectious diseases to counteract loss of European biodiversity as	0	0	p.m.	210 000	p.m.	270 000

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
						required by the Habitats Directive						
07 02 77 32	2	ENV	PP2	Cpp	Cpp	Pilot project — Protocols for the establishment of green infrastructure assessment schemes across the Union	0	0	p.m.	p.m.	p.m.	150 000
07 02 77 33	2	ENV	PP2	Cpp	Cpp	Pilot project — Mitigating the impact of wind turbines on bat and bird populations and their migration routes	0	0	p.m.	300 000	p.m.	400 000
07 02 77 34	2	ENV	PP2	Cpp	Cpp	Pilot project — Inventory of species and habitats in the French outermost regions	0	500 000	p.m.	400 000	p.m.	250 000
07 02 77 35	2	ENV	PP1	PP2	Cpp	Pilot project — Mapping and assessing the state of ecosystems and their services in the outermost regions and overseas countries and territories: establishing links and pooling resources	1 000 000	0	1 500 000	1 350 000	p.m.	1 000 000
07 02 77 36	2	ENV	PP1	PP2	Cpp	Pilot project — Network of European Green Cities	1 000 000	0	p.m.	300 000	p.m.	300 000
07 02 77 37	2	ENV	PP1	PP2	Cpp	Pilot project — Effect of residential solid waste burning on ambient air quality in Europe and potential mitigation measures	750 000	0	1 400 000	925 000	p.m.	945 000
07 02 77 39	2	ENV	PP1	PP2	Cpp	Pilot project — Establishing regional or local platforms on coexistence between people and large carnivores focused on key actions for large carnivores in areas with high levels of conflict	499 500	0	500 000	400 000	p.m.	300 000
07 02 77 40	2	ENV	PP1	PP2	Cpp	Pilot project — Towards evidence-based improvements in Birds and Habitats Directives (BHD) implementation: systematic review and meta-analysis	500 000	0	p.m.	150 000	p.m.	350 000
07 02 77 41	2	ENV	PP1	PP2	Cpp	Pilot project — Promoting alternatives to animal testing	700 000	0	p.m.	300 000	p.m.	400 000
07 02 77 42	2	ENV		PP1	PP2	Pilot project — Union butterfly monitoring and indicators			800 000	400 000	p.m.	240 000
07 02 77 43	2	ENV		PP1	PP2	Pilot project — Using satellite images to improve the operation of the Natura 2000 network			1 000 000	500 000	p.m.	500 000
07 02 77 44	2	ENV		PP1	PP2	Pilot project — Map of solutions, best practices and remedies for Lindane pesticide waste decontamination in the Union			600 000	300 000	p.m.	300 000

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
07 02 77 46	2	ENV		PP1	PP2	Pilot project — Assessing, identifying, sharing and disseminating best practices for the humane management of invasive alien species			500 000	250 000	p.m.	250 000
07 02 77 47	2	ENV		PP1	PP2	Pilot project — Integrating smart sensors and modelling for air quality monitoring in cities			1 000 000	500 000	p.m.	500 000
07 02 77 48	2	CLIMA		PP1	PP2	Pilot project — Nature-based solutions for climate and water pollution mitigation in agricultural regions			700 000	350 000	p.m.	350 000
11 06 77 07	2	MARE	Cpp	Cpp	Cpp	Pilot project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of Union's Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management	0	361 655	p.m.	361 656	p.m.	361 655
11 06 77 09	2	MARE	Cpp	Cpp	Cpp	Pilot project — Development of innovative, low-impact offshore fishing practices for small-scale vessels in outermost regions, including exchange of good practices and fishing trials	0	0	p.m.	350 000	p.m.	150 000
11 06 77 14	2	MARE		PP1	PP2	Pilot project — Ocean Literacy for All			1 300 000	650 000	p.m.	390 000
11 06 77 15	2	MARE		PP1	PP2	Pilot project — Manual of good practices for cruises			700 000	350 000	p.m.	210 000
11 06 77 16	2	MARE		PP1	PP2	Pilot project — Union platform for fishery and aquaculture producer organisations			500 000	250 000	p.m.	150 000
11 06 77 17	2	MARE		PP1	PP2	Pilot project — Control scheme for recreational catches of sea bass			300 000	150 000	p.m.	90 000
17 03 77 12	2	SANTE	Cpp	Cpp	Cpp	Pilot project — Reducing health inequalities: building expertise and evaluation of actions.	0	293 516	p.m.	294 000	p.m.	440 273
17 04 77 05	2	SANTE	PP1	PP2	Cpp	Pilot project — Establishment of a harmonised internal market for pigmeat obtained from pigs that have not been surgically castrated	600 000	0	p.m.	180 000	p.m.	252 000
34 02 77 03	2	CLIMA	PP1	PP2	Cpp	Pilot project — Study on life cycles of electric, biofuel and traditionally fuelled vehicles.	500 000	0	p.m.	200 000	p.m.	500 000
Heading 2 - Total											11 149 751	

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
09 02 77 06	3	CNECT		PP1	PP2	Pilot project — Media councils in the digital era			500 000	250 000	p.m.	350 000
09 05 77 04	3	CNECT	PP2	Cpp	Cpp	Pilot project — Media literacy for all	500 000	196 085	p.m.	450 000	p.m.	299 021
09 05 77 07	3	CNECT		PP1	PP2	Pilot project — Internship opportunities for minority language media			500 000	250 000	p.m.	250 000
15 02 77 18	3	EAC	PP1	PP2	Cpp	Pilot project — Sport as a tool for integration and social inclusion of refugees	987 312	0	1 400 000	700 000	p.m.	197 463
15 02 77 19	3	EAC	PP1	PP2	Cpp	Pilot project — Monitoring and coaching, through sports, of youngsters at risk of radicalisation	732 760	0	p.m.	p.m.	p.m.	146 553
15 04 77 13	3	EAC	PP1	PP2	Cpp	Pilot project — Fight against illicit trafficking in cultural objects	489 675	180 000	750 000	635 000	p.m.	405 870
15 04 77 16	3	EAC		PP1	PP2	Pilot project — Protecting the Jewish cemeteries of Europe: A full mapping process with research and monitoring and individual costed proposals for protection			800 000	400 000	p.m.	400 000
15 04 77 19	3	EAC		PP1	PP2	Pilot project — Finance, Learning, Innovation and Patenting for Cultural and Creative Industries (FLIP for CCIs)			1 000 000	500 000	p.m.	400 000
17 03 77 17	3	SANTE	Cpp	Cpp	Cpp	Pilot project — Platform for increasing organ donation in the European Union and neighbouring countries: Eudonorg 2015-2016	0	230 400	p.m.	346 000	p.m.	144 000
17 03 77 20	3	SANTE	PP2	Cpp	Cpp	Pilot project — Establishing a registry of rare congenital malformations (as part of the Rare Diseases Registry), drawing on the structure, organisation and experience of the Polish Registry of Congenital Malformations (PRCM)	0	49 968	p.m.	p.m.	p.m.	50 000
17 03 77 22	3	SANTE	PP2	Cpp	Cpp	Pilot project — MentALLY	0	198 824	p.m.	p.m.	p.m.	199 000
17 03 77 23	3	SANTE	PP2	Cpp	Cpp	Pilot project — Severe mental disorders and the risk of violence: pathways through care and effective treatment strategies	0	359 756	p.m.	360 000	p.m.	360 000
17 03 77 25	3	SANTE	PP2	Cpp	Cpp	Pilot project — Integrate: development of integrated strategies to monitor and treat chronic and rheumatic diseases: the role of quality indicators and patient-reported outcomes in addition to physician evaluation of disease activity and damage	0	248 286	p.m.	250 000	p.m.	248 000
17 03 77 27	3	SANTE	PP2	Cpp	Cpp	Pilot project — Food redistribution	0	0	p.m.	150 000	p.m.	150 000

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
33 02 77 09	3	JUST	Cpp	Cpp	Cpp	Pilot project — Capacity-building for Roma civil society and strengthening its involvement in the monitoring of national Roma integration strategies	0	245 000	p.m.	939 000	p.m.	566 000
33 02 77 10	3	JUST	Cpp	Cpp	Cpp	Pilot project — Fundamental rights review of Union data-collection instruments and programmes	0	125 690	p.m.	253 000	p.m.	249 760
33 02 77 13	3	JUST	PP2	Cpp	Cpp	Pilot project — Europe of diversities	0	757 850	p.m.	400 000	p.m.	189 463
33 02 77 14	3	JUST	PP2	Cpp	Cpp	Pilot project — E-voting: making the best use of modern technologies for more active and democratic voting procedures	0	503 322	p.m.	400 000	p.m.	125 831
33 02 77 16	3	JUST	PP1	PP2	Cpp	Pilot project — European survey on gender-based violence	1 000 000	0	p.m.	300 000	p.m.	700 000
33 03 77 05	3	JUST	PP1	PP2	Cpp	Pilot project — Letterbox companies	300 000	0	p.m.	90 000	p.m.	210 000
33 03 77 07	3	HOME		PP1	PP2	Pilot project — Terrorism victim response coordination centre			1 000 000	500 000	p.m.	500 000
33 04 77 04	3	JUST	Cpp	Cpp	Cpp	Pilot project — Training for SMEs on consumer rights in the digital age	0	227 072	p.m.	300 000	p.m.	455 000
Heading 3 - Total											6 595 961	

Budget line	FF	DG Resp.	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
20 02 77 02	4	TRADE		PP1	PP2	Pilot project — Women and trade: creating a model chapter on gender in free trade agreements based on data on women participating in trade and women participating in the domestic economy			400 000	200 000	p.m.	200 000
21 02 77 16	4	DEVCO	Cpp	Cpp	Cpp	Pilot project — Strengthening veterinary services in developing countries	0	0	p.m.	350 000	p.m.	500 000
21 02 77 18	4	DEVCO	Cpp	Cpp	Cpp	Pilot project — Investing in sustainable peace and community rebuilding in the Cauca area — Colombia	0	1 540 504	p.m.	950 000	p.m.	140 000
21 02 77 22	4	DEVCO	Cpp	Cpp	Cpp	Pilot project — Integrated approach to developing and rolling out health solutions to tackle neglected tropical diseases in endemic areas	0	1 350 000	p.m.	p.m.	p.m.	150 000

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
21 02 77 23	4	DEVCO	Cpp	Cpp	Cpp	Pilot project — Access to justice and reparation for victims of the most serious crimes committed in the Democratic Republic of Congo (DRC)	0	0	p.m.	268 870	p.m.	126 653
21 02 77 25	4	DEVCO	PP2	Cpp	Cpp	Pilot project — Enhance children's rights, protection and access to education for children and adolescents displaced in Guatemala, Honduras and El Salvador	750 000	700 000	p.m.	400 000	p.m.	80 000
21 02 77 26	4	DEVCO	PP2	Cpp	Cpp	Pilot project — Education services for children formerly associated with armed forces and groups in the Greater Pibor Administrative Area (GPAA) in South Sudan	1 500 000	0	p.m.	500 000	p.m.	350 000
21 02 77 27	4	DEVCO	PP2	Cpp	Cpp	Pilot project — Piloting the use of Participatory Rangeland Management (PRM) in Kenya and Tanzania	1 500 000	0	p.m.	500 000	p.m.	550 000
21 02 77 28	4	DEVCO	PP1	PP2	Cpp	Pilot project — Supporting the urban dimension of development cooperation: increasing financial capacities of cities in developing countries to deliver productive and sustainable urban development	1 000 000	0	p.m.	500 000	p.m.	500 000
21 02 77 31	4	DEVCO	PP1	PP2	Cpp	Pilot project — Santé pour tous — Health for All — A joint project carried out by Aimes-Afrique (Togo) and Aktion PiT-Togohilfe e.V.	0	0	1 200 000	850 000	p.m.	225 000
21 02 77 33	4	DEVCO		PP1	PP2	Pilot project — Fostering transparency and impact assessment for local authorities in Guatemala			450 000	225 000	p.m.	125 000
23 02 77 01	4	ECHO		PP1	PP2	Pilot project — Ensuring effective delivery of aid to victims of sexual and gender-based violence in humanitarian settings			500 000	250 000	p.m.	250 000
Heading 4 - Total												3 196 653

Budget line	FF	DG Resp.	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
25 01 77 04	5	SG	PP1	PP2	Cpp	Pilot project — New technologies and information and communication technology (ICT) tools for the implementation and simplification of European Citizens' Initiatives (ECI)	487 498	101 251	500 000	500 000	p.m.	250 000

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
26 03 77 07	5	DIGIT	PP1	PP2	Cpp	Pilot project — Deployment of online eIDs and digital signatures by way of implementation of the eIDAS Regulation by the European Parliament and the Commission	550 000	0	p.m.	275 000	p.m.	275 000
Heading 5 - Total											525 000	

Full total Pilot Projects

36 507 073

Table 2: Summary table on Preparatory Actions³

Budget line	FF	DG Resp.	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
02 02 77 21	1a	GROW	PA3	Cpa	Cpa	Preparatory action — Transnational culture-related European tourism product	1 500 000	1 200 000	p.m.	450 000	p.m.	600 000
02 02 77 29	1a	GROW	PA1	PA2	PA3	Preparatory action — European Capital of Tourism	2 435 677	0	p.m.	1 000 000	p.m.	974 000
02 02 77 32	1a	GROW		PA1	PA2	Preparatory action — World Bridge Tourism			1 800 000	900 000	p.m.	540 000
02 02 77 35	1a	GROW		PA1	PA2	Preparatory action — Speeding up industrial modernisation by improving support for pan-European demonstration facilities — 3D printing			800 000	400 000	p.m.	400 000
02 04 77 03	1a	GROW	PA1	PA2	PA3	Preparatory action on defence research	25 585 000	10 014 000	40 000 000	28 000 000	25 000 000	29 000 000
04 03 77 18	1a	EMPL	PA3	Cpa	Cpa	Preparatory action — Social solidarity for social integration	0	749 944	p.m.	750 000	p.m.	300 000
04 03 77 23	1a	EMPL	PA2	PA3	Cpa	Preparatory action — Reactivate — Intra-Union mobility programme for unemployed over-35s	1 658 659	1 266 073	5 000 000	4 000 000	p.m.	2 500 000
04 03 77 25	1a	EMPL	PA1	PA2	PA3	Preparatory action — Child Guarantee Scheme / Establishing a European child guarantee and financial support	2 000 000	0	900 000	450 000	p.m.	1 450 000
06 02 77 14	1a	MOVE	PA3	Cpa	Cpa	Preparatory action — Towards a single and innovative European transport system	0	557 327	p.m.	1 100 000	p.m.	374 219

³ PA1 – preparatory action first year; PA2 – preparatory action second year; PA3 – preparatory action third year; Cpa – completion preparatory action

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
09 04 77 05	1a	CNECT	PA2	PA3	Cpa	Preparatory action — Open knowledge technologies: mapping and validating knowledge	1 000 000	638 592	1 000 000	1 250 000	p.m.	960 615
09 04 77 08	1a	CNECT	PA2	PA3	Cpa	Preparatory action — REIsearch (Research excellence innovation framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policymakers	997 013	1 003 395	2 000 000	1 700 000	p.m.	600 000
09 04 77 13	1a	CNECT	PA1	PA2	PA3	Preparatory action — Digital hub network	1 500 000	0	p.m.	1 125 000	p.m.	900 000
09 04 77 14	1a	CNECT	PA1	PA2	PA3	Preparatory action — Digital transformation of European industry	1 500 000	0	p.m.	1 350 000	p.m.	600 000
09 04 77 18	1a	CNECT		PA1	PA2	Preparatory action — Creating a European Digital Academy			1 700 000	850 000	p.m.	1 190 000
15 02 77 16	1a	EAC	PA1	PA2	PA3	Preparatory action — Evaluation of higher-education entrepreneurship programmes	485 050	0	p.m.	400 000	p.m.	242 024
15 02 77 20	1a	EAC		PA1	PA2	Preparatory action — Free Interrail pass for Europeans turning 18			12 000 000	6 000 000	p.m.	6 000 000
15 04 77 18	1a	EAC		PA1	PA2	Preparatory action — Music Moves Europe: Boosting European music diversity and talent			1 500 000	750 000	p.m.	1 100 000
32 02 77 09	1a	ENER	PA1	PA2	PA3	Preparatory action — Strengthening cooperation on climate action among islands within and beyond the Union through the creation of an island identity within the Global Covenant of Mayors	2 000 000	0	p.m.	1 000 000	p.m.	1 000 000
32 02 77 12	1a	ENER		PA1	PA2	Preparatory action — Establishing comprehensive support for coal and carbon-intensive regions in transition			1 700 000	850 000	p.m.	850 000
32 02 77 13	1a	ENER		PA1	PA2	Preparatory action — Toolkits for coal platform dialogue participants to develop and support local transition strategies			1 300 000	650 000	p.m.	650 000
Heading 1a - Total											25 000 000	50 230 858

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
01 02 77 01	1b	SRSS	PA2	PA3	Cpa	Preparatory action — Capacity development and institution building to support the implementation of economic reforms	0	1 259 077	p.m.	700 000	p.m.	140 000

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
09 04 77 09	1b	CNECT	PA2	PA3	Cpa	Preparatory action — Smart factories in Eastern Europe	0	0	p.m.	1 300 000	p.m.	449 313
13 03 77 12	1b	REGIO	Cpa	Cpa	Cpa	Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region	0	378 734	p.m.	1 093 974	p.m.	755 000
13 03 77 17	1b	REGIO	PA2	PA3	Cpa	Preparatory action — EU-CELAC cooperation on territorial cohesion	2 000 000	445 239	p.m.	1 798 674	p.m.	1 738 000
13 03 77 18	1b	REGIO	PA2	PA3	Cpa	Preparatory action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward	1 500 000	500 000	1 500 000	2 000 000	p.m.	1 500 000
13 03 77 19	1b	REGIO	PA2	PA3	Cpa	Preparatory action — Support for growth and governance in regions whose development is lagging behind	1 000 000	0	2 000 000	2 000 000	p.m.	1 000 000
13 03 77 22	1b	REGIO	PA1	PA2	PA3	Preparatory action — Macro-regional strategy 2014-2020: EU strategy for the Alpine Region	2 000 000	0	2 000 000	2 000 000	p.m.	1 000 000
13 03 77 23	1b	REGIO	PA1	PA2	PA3	Preparatory action — Urban agenda for the EU	2 500 000	236 240	p.m.	1 250 000	p.m.	1 000 000
Heading 1b - Total												7 582 313

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
05 08 77 09	2	AGRI	Cpa	Cpa	Cpa	Preparatory action — Union plant and animal genetic resources	0	384 800	p.m.	384 800	p.m.	192 400
05 08 77 16	2	AGRI		PA1	PA2	Preparatory action — Smart rural areas in the 21st century			3 300 000	1 650 000	p.m.	990 000
07 02 77 45	2	ENV		PA1	PA2	Preparatory action — Operationalising capacity building for programmatic development and mapping objectives in the field of environmental taxation and budgetary reform			750 000	375 000	p.m.	225 000
11 06 77 13	2	MARE	PA1	PA2	PA3	Preparatory action — Common curriculum for skippers of small commercial vessels	750 000	0	p.m.	300 000	p.m.	300 000
Heading 2 - Total												1 707 400

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
09 02 77 04	3	CNECT	PA3	Cpa	Cpa	Preparatory action — European Centre for Press and Media Freedom	1 000 000	1 113 321	p.m.	1 100 000	p.m.	300 000
09 02 77 08	3	CNECT		PA1	PA2	Preparatory action — Monitoring media pluralism in the digital era			750 000	375 000	p.m.	562 500
09 05 77 03	3	CNECT	PA3	Cpa	Cpa	Preparatory action — Action on subtitling including crowdsourcing to increase the circulation of European works	999 620	1 104 050	p.m.	225 000	p.m.	499 810
09 05 77 05	3	CNECT	PA2	PA3	Cpa	Preparatory action — Subtitling European cultural television content throughout Europe	1 500 000	1 200 000	1 750 000	1 325 000	p.m.	977 466
09 05 77 06	3	CNECT		PA1	PA2	Preparatory action — Media literacy for all			500 000	250 000	p.m.	250 000
15 04 77 11	3	EAC	PA3	Cpa	Cpa	Preparatory action — New narrative on Europe	616 720	361 889	p.m.	375 000	p.m.	180 000
15 04 77 12	3	EAC	PA2	PA3	Cpa	Preparatory action — Europe for festivals, festivals for Europe (EFFE)	350 000	245 000	350 000	280 000	p.m.	105 000
15 04 77 14	3	EAC	PA1	PA2	PA3	Preparatory action — Open micro-business models for innovation in European family-owned heritage houses	500 000	0	p.m.	350 000	p.m.	150 000
15 04 77 17	3	EAC		PA1	PA2	Preparatory action — European Houses of Culture			750 000	375 000	p.m.	187 500
17 03 77 15	3	SANTE	Cpa	Cpa	Cpa	Preparatory action — European study on the burden and care of epilepsy	0	0	p.m.	615 000	p.m.	615 000
33 03 77 06	3	JUST		PA1	PA2	Preparatory action — Union fund for financial support for litigating cases relating to violations of democracy, rule of law and fundamental rights			600 000	300 000	p.m.	300 000
Heading 3 - Total												4 127 276

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
21 02 77 14	4	DEVCO	Cpa	Cpa	Cpa	Global Energy Efficiency and Renewable Energy Fund (GEEREF)	0	0	p.m.	500 000	p.m.	500 000
21 02 77 29	4	DEVCO	PA1	PA2	PA3	Preparatory action — Support for micro, small and medium-sized enterprises (SMMEs) in developing countries	1 000 000	0	p.m.	500 000	p.m.	500 000
23 03 77 04	4	ECHO		PA1	PA2	Preparatory action — Network of European hubs for civil protection and crisis management			1 500 000	750 000	p.m.	750 000
Heading 4 - Total												1 750 000

Budget line	FF	DG	2017	2018	2019	Heading	2017 outturn CA	2017 outturn PA	2018 CA	2018 PA	2019 DB CA	2019 DB PA
25 01 77 05	5	OP		PA1	PA2	Preparatory action — Linked open data in European public administration			1 100 000	550 000	p.m.	850 000
26 03 77 06	5	DIGIT	PA1	PA2	PA3	Preparatory action — Governance and quality of software code — Auditing of free and open-source software	2 600 000	42 753	p.m.	1 100 000	p.m.	1 305 020
26 03 77 08	5	DIGIT	PA1	PA2	PA3	Preparatory action — Encrypted electronic communications of Union institutions	1 000 000	0	600 000	800 000	p.m.	800 000
26 03 77 09	5	DIGIT		PA1	PA2	Preparatory action — Data analytics solutions for policymaking			1 300 000	650 000	p.m.	500 000
Heading 5 - Total												3 455 020

Full total Preparatory Actions

25 000 000 68 852 867

PILOT PROJECTS

Heading 1a - Competitiveness for Growth and Jobs

1. Heading

02 02 77 16: Pilot Project — The future of manufacturing

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	200 000,00	p.m.	p.m.	p.m.	200 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	200 000,00	p.m.	p.m.	p.m.	200 000	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 and 2015 budgets.

Objectives followed during 2017 and previous years' implementation:

- the objectives pursued in the context of this pilot project were to complete project deliverables in accordance with the delegation agreement, task description and work planning and the overall objectives of the project:
- the pilot project aims at exploring scenarios for the reindustrialisation of Europe and employment-related issues in line with the aim of increasing industry's share of European GDP from its current level of around 16 % to 20 % by 2020.
- the ambition was to improve implementation of Commission's recommendations, facilitate the exchange of best practice, draw on EuroFound expertise and assistance, and also to support performance monitoring as part of the European Semester and country-specific recommendations.
- the pilot project had a key focus on manufacturing — a priority of the Commission's Industrial Policy Communication Update, which sets out a detailed strategy for addressing some of the factors that can contribute to economic recovery. Beyond its industrial policy context, the project had a clear employment dimension, addressing questions related to job creation and reintegration into the labour market, restructuring trends, the gender dimension, skills/reskilling, entrepreneurship, and SME engagement in markets outside the Union.

- the pilot project looked into and put into practice methods for predicting the future of manufacturing. In particular, the pilot project aimed at:
- supporting a European reindustrialisation strategy capable of responding to the rapid changes brought by globalisation;
- exploring the key drivers which will support positive developments in manufacturing, where the employment impact will be central; the case for a European manufacturing renaissance is, in some respects, more economic than technological: because labour-saving technology decreases the need for the productive factor labour, labour costs in manufacturing will be less important for determining location of operations; in addition, the pay gap between Europe and the rest of the world can surely only decline with time;
- involving detailed quantitative exploration of the employment dimension: there is good reason to believe that most of the jobs created by keeping and increasing manufacturing in Europe will in fact be in related non-manufacturing activities, i.e. in business services, research and development (R & D), sales and marketing and in other stages in the manufacturing supply chain; this will multiply the positive employment effects;
- including studies to quantify these employment spill-over effects, which will be quantitative analyses estimating substitution and complementarity effects;
- exploring how progress in industrial policy and performance can translate into employment growth - to encourage 'job-rich growth';
- using a large number of case studies exploring why big companies remain in or go back to Europe in order to manufacture, thus creating jobs in core activities and along the supply chain;
- including key emerging dimensions of entrepreneurship, for example with regard to 'Born Global' (i.e. start-ups that immediately have global operations); such companies often have a very high-tech focus on, for example, life sciences and ICT; this ties in closely with the Entrepreneurship 2020 action plan and one of its targets: 25 % of SMEs to engage in markets outside the Union;
- exploring what existing spill-over effects mean for male and female jobs today and for gender distribution in the future;
- exploring the skills/reskilling issue, in particular as a strategic tool for structural change; an in-depth study on dual and vocational systems for creating a hub of industrial professionals and researchers could be considered in cooperation with the European Centre for the Development of Vocational Training (Cedefop).

Intentions for the current year 2018:

Completion of project deliverables in view of the end of the pilot project in March 2019, including the organisation of a 4th Regional Industrial Policy Seminar (to take place in Tallinn in June 2018) and a draft consolidated final report, and preparation of final dissemination activities and events.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2014 commitment appropriations

Step	Date
Adoption of financing decision	17 December 2014

Signature of Delegation Agreement with EuroFound (covers the entire duration of the pilot project)	15 April 2015
Transfer of Funds agreement	April 2015
Pre-financing payment(s)	19 May 2015
Final payment(s)	19 May 2015

– 2015 commitment appropriations

Step	Date
Adoption of financing decision	26 March 2015
Signature of Delegation Agreement with EuroFound (covers the entire duration of the pilot project)	15 April 2015
Transfer of Funds agreement	December 2015
Pre-financing payment(s)	21 December 2015
Final payment(s)	First quarter 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

In total 57 deliverables have been made available until end 2017, relating to the 5 project tasks: the development of a manufacturing employment outlook (including scenarios, an analysis of tasks and skills contents of current employment structures and an assessment of the impacts of major game changing technologies on employment, wages and productivity); the establishment of a European reshoring monitor; and analysis of the internationalisation of SMEs and entrepreneurs ('born globals'); the mapping and development of regional industrial policy capacities; and a comparative review and analysis of dual apprenticeship programmes. Three Regional Industrial Policy Seminars have been organised so far. An exchange of views on the pilot project was held in the European Parliament on 6 November 2017, at a joint meeting of the EMPL and ITRE Committees. Work on scenarios is ongoing, and first preliminary results of scenario analysis were discussed at a workshop in Thessaloniki, Greece, on 23-24 November 2017.

Published results and other relevant documents of the pilot project are available on <http://www.eurofound.europa.eu/observatories/emcc/fome>.

The online reshoring monitor is available at the following address: <https://reshoring.eurofound.europa.eu>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, but the project results feed into recent, ongoing and upcoming initiatives, e.g. on artificial intelligence and industrial policy.

5.4 Type of activities financed, number and amounts

– 2014 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
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PP Future of Manufacturing - Delegation agreement	EuroFound	EUR 1 000 000
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– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
PP Future of Manufacturing - Delegation agreement	EuroFound	EUR 1 000 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Delegation agreement with EuroFound; sub-contracting (tenders) for some tasks.

In accordance with the delegation agreement, the implementation of the pilot project is subject to the monitoring of an Inter-Service Steering Group (ISSG) including representatives of Commission and EU social partner organisations.

5.6 *Types of beneficiaries*

N/A

1. Heading

02 02 77 18: Pilot Project — Female business angels

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	p.m.	p.m.	636 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	p.m.	p.m.	636 000	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 and 2016 budgets.

Objectives followed during 2017 and previous years' implementation:

The activities:

- create a European Community of Women Business Angels by facilitating and supporting more women to become business angels in 14 European countries; and
- facilitate access to alternative sources of funding-via the women business angels- for women entrepreneurs.
- facilitate collaboration between women business angels and women entrepreneurs' looking for alternative sources of funding.

The call therefore supports:

- training and mentoring;
- B2B networking.

for women business angels and women entrepreneurs looking for investment from business angels.

In order to implement this pilot project, a call for proposals was published on 3 June 2016 and 4 projects were selected. The call for proposals was published at: <http://ec.europa.eu/growth/tools->

databases/newsroom/cf/itemdetail.cfm?item_id=8843&lang=en&title=The-European-Community-of-Women-Business-Angels-for-Women-Entrepreneurs.

The implementation of the projects started on 01 January 2017.

After the evaluation of the first interim reports submitted in August 2017, we can consider that the projects are progressing well and the timing of their implementation is respected.

Intentions for the current year 2018:

In 2018, the project will aim to recruit more women entrepreneurs who could become business angels and to train women entrepreneurs so that they are more likely to receive angel funding. These activities will be closely monitored through the delivery of the next interim reports in March and August 2018.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2015 and 2016 commitment appropriations

(Due to the complementarity of all activities and in interest of sound operational management it was decided to combine both 2015 & 2016 appropriations to cover one call for proposals).

Step	Date
Financing decisions	26 June 2015 - C(2015) 4275 01 April 2016 - C(2016)1980
Publication of call for proposals	03 June 2016
Closing date of call for proposals	28 July 2017
Award decision	30 November 2016
Pre-financing payment	December 2016
Interim payment	N/A
Final payment	April 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decisions C (2015) 4275 of 26 June 2015 and C (2016) 1980 of 1 April 2016 set out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

Similar activities do not exist for women business angels.

Other activities to support women entrepreneurship in general have been developed in the context of the Entrepreneurship 2020 action plan (COM(2012) 795 final), and notably the European network to promote Women's Entrepreneurship (WES), the European network of Female Entrepreneurship Ambassadors, the European Network of Mentors for Women Entrepreneurs, the setting up of a European E-Platform for Women Entrepreneurship (WEgate) and a project aiming to help women entrepreneurs successfully apply for funding through the SME Instrument (Horizon 2020 programme).

In addition, another project ("ESIL") aims to establish an EU Framework for capacity building for early-stage investors in Europe, facilitating the take up of Angel Investing in general, overcoming obstacles related to market outreach and engaging key actors e.g. entrepreneurs, unconventional early stage investors and crowdfunding.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. One possibility considered is to integrate the new women business angels network in the overall projects designed to support early stage investment in Europe (e.g. ESIL, see above).

5.4 Type of activities financed, number and amounts

– 2015 and 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
LEANIN EU WBAs	X23 SRL Starttech Ventures Ltd Conector Startups Accelerator S.L Hellenic Business Angels Network Civil Company MTÜ Eesti Äriinglite Association, Addidi Holdings Ltd, Tallinn Business Incubators Foundation	EUR 307 963,00
S.E.E.W.B.A.N	Federation of Industries of Peloponnese and Western Greece Greek Association of Women Entrepreneurs (S.E.G.E.) Limassol Chamber of Commerce and Industry BPW Cyprus Chamber of Commerce and Industry of Slovenia Bulgarian Chamber of Commerce and Industry Bulgarian Association of Women Entrepreneurs JÓL-LÉT Alapítvány Women's Enterprise Scotland CIC Apid Imprenditorialita Donna	EUR 439 951,00

WA4E	BUSINESS ANGELS EUROPE Universidad de Navarra Associazione IBAN Be Angels Femmes Business Angels Double Shot Group Ltd UK Business Angels Association WomenWinWin	EUR 439 795,00
crossEUWBA	ITALIENISCHE HANDELSKAMMER FUR DEUTSCHLAND Fundacja Przedsiębiorczosci Kobiet Slovak Business Agency Polish Agency for Enterprise Development Innogate to Europe Consultoria de Projectos Europeos SL Chamber of Commerce and Industry Heraklion	EUR 402 366

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented through four grants.

5.6 *Types of beneficiaries*

Stakeholders such as Chambers of Commerce, women entrepreneurs associations, business angels associations, SMEs support organisations.

1. Heading

02 02 77 24: Pilot Project — Destination Europe Brand — Promoting Europe in the tourism sector

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	214 563,00	p.m.	426 146	p.m.	585 400	0,0 %	37,4 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	214 563,00	p.m.	426 146	p.m.	585 400	0,0 %	37,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

Objectives followed during 2017 and previous years' implementation:

The long-term aim of this PP is to bring new visitors from targeted third countries to the European Union, as well as to increase tourism flows within Europe.

The main objectives of its first phase (budget 2016) were to:

- support the promotion of European and transnational tourism products and
- increase the visibility of Europe in new emerging and established long-haul markets.

These objectives were pursued through an ad hoc grant agreement signed in 2016 with the European Travel Commission (ETC), association representing the National Tourism Organisations of 33 European countries. The project started on 01 January 2017 and lasted 10 months.

The main objectives of the second phase are marketing activities to support European and trans-European tourism products through a "Joint Promotion Platform" (public-private partnership) in China, following the announcement by the President of the European Commission, Mr Juncker, and the Chinese Premier, Mr Li, of their "2018 EU-China Tourism Year". The objectives of the second phase were pursued through an ad hoc grant signed with the European Travel Commission (ETC) in 2017. Its implementation started on 01 January 2018 for a duration of 16 months.

Intentions for the current year 2018:

Evaluation of the first phase of the pilot project after the submission of the final report on 23 December 2017.

Monitoring and evaluating the large marketing campaigns targeting China. These campaigns should contribute to increase the number of Chinese travellers by 10% above trend by 2020.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	01 April 2016
Invitation - ad-hoc grant	08 September 2016
Submission date	24 October 2016
Award decision	06 December 2016
Pre-financing payments	19 December 2016
Final payments	March 2018

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	05 May 2017
Invitation - ad-hoc grant	09 August 2017
Submission date	30 August 2017
Award decision	08 November 2017
Pre-financing payments	05 December 2017
Final payments	July 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)1847 of 1 April 2016 sets out the main elements for the implementation of the pilot project.

Commission Financing Decision C(2017)2868 of 5 May 2017 sets out the main elements for the implementation of the pilot project.

Further information about EC cooperation with ETC is available at the following address: https://ec.europa.eu/growth/sectors/tourism/promoting-europe_en and on www.ecty2018.org.

- Do similar activities exist, which are already covered by a legal basis?

Some activities promoting European tourism and tourism products are financed under the programme COSME (2014-2020).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Destination Europe Brand - Promoting Europe in the tourism sector	European Travel Commission	452 291

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Promoting trans-European tourism products in third countries	European Travel Commission	1 000 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Implementation of 2016 and 2017 appropriations via a grant awarded without a call for proposals to the European Travel Commission (ETC), in accordance with Article 128 of the Financial Regulation and Article 190(1)(f) of the Rules of Application, given that the ETC is the only organisation bringing together the national tourism organisations of the Member States, which are essential relays for this action.

5.6 *Types of beneficiaries*

European Travel Commission (ETC) and its member National Tourism Organisations.

1. Heading

02 02 77 25: Pilot Project — Entrepreneurial capacity building for young migrants

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	668 087,26	p.m.	1 102 000	p.m.	883 400	0,0 %	-19,8 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	668 087,26	p.m.	1 102 000	p.m.	883 400	0,0 %	-19,8 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

Objectives followed during 2017 and previous years' implementation:

It is key to empower young migrants and refugees to get access to knowledge and to develop skills that they can use to build a solid livelihood. In particular information, training and support should be given to young migrants and refugees to help them establishing their own business as a possible way to economic independence and integration, thus also creating employment for other people.

This action will support the creation, the improvement and the wider dissemination of schemes for migrant entrepreneurs. Starting from the identification of potential entrepreneurs among migrants and from raising the awareness within migrant communities, this action will focus on training (on entrepreneurship, business planning, legal aspects of setting up a company and hiring employees, etc.) and mentoring of migrants to help them becoming self-employed and build a successful enterprise. Support will be given also for the translation of training materials into the native languages of the refugees.

In order to implement this initiative a call for proposals was launched on 1 September 2016.

Given the high number of registered proposals (66), external evaluators have been hired to assist the Commission in the evaluation stage. The 66 proposals have been evaluated in February 2017 and the Evaluation committee has recommended awarding four grants. The four projects started on 1 June 2017.

In the fourth quarter of 2017, the specifications for the new call for proposals were finalised in order to use the 2017 appropriations. As the European Parliament allocated 2018 commitment appropriations for

implementing a preparatory action to achieve the same objectives, we decided to publish this call after the adoption of the 2018 financing decision in order to maximise the use of 2017 and 2018 appropriations and to be more efficient.

Intentions for the current year 2018:

Assessment of the implementation of the four projects following the submission of the first (February 2018) and second interim report (August 2018).

Publication of a call for proposals to select at least two new projects. External evaluators will be hired to assist the Commission with the evaluation of the proposals.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	01 April 2016
Publication of call for proposals	01 September 2016
Closing date of call for proposals	30 November 2016
Award decision	04 April 2017
Pre-financing payment	June 2017
Interim payment	September 2018
Final payment	October 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	05 May 2017
Publication of call for proposals	16 February 2018
Closing date of call for proposals	24 May 2018
Award decision	July 2018
Pre-financing payment	October 2018
Interim payment	December 2019
Final payment	December 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)1847 of 1 April 2016 sets out the main elements for the implementation of the pilot project.

Commission Financing Decision C(2017)2868 of 5 May 2017 sets out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No, but a study on "Evaluation and Analysis of Good Practices in Promoting and Supporting Migrant Entrepreneurship" produced a final report in August 2016 and its findings contributed to the preparation of this action.

Some activities promoting migrants entrepreneurship are financed under the programme COSME (2014-2020).

Further information is available at the following address: http://ec.europa.eu/growth/smes/promoting-entrepreneurship/we-work-for/migrants/index_en.htm

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Entry Way	Formaper (coordinator) Camara Oficial De Comercio e Industria De Navarra, Unternehmer Ohne Grenzen E.V, Business and Cultural Development Centre, Istituto Formazione Operatori Aziendali, Insamlingsstiftelsen Ifs Rådgivningscentrum	536 516
Fresh Start	London South Bank University (coordinator) Stichting Zuyd Hogeschool, UC Limburg, Citizens UK Charity, East London Small Business Centre Ltd	456 341
Me4Change	X23 srl (coordinator) Nuori Yrittäjyys Ryung, Digital African Woman, Istituto Cooperazione Economica Internazionale Associazione, Fondazione Giacomo Brodolini, Migration Hub Network	456 381
You-Me	FORMA CAMERA (coordinator) Centro Italiano Per L'Apprendimento Permanente (CIAPE), Confederazione Nazionale Dell'Artigianato E Della Piccola E Media Impresa (CNA), Kompass-Zentrum Fur Existenzgrundungen, Gmb, Europaisches Forum Fuer Migrationsstudien Ev, Confederacion De Empresarios De Aragon, Fundacion San Ezequiel Moreno, Institouto Anaptixis Epicheirimatikotitas Astiki Etaireia, Integration For Alla	328 771

Information on selected projects is available at the following address: http://ec.europa.eu/growth/smes/promoting-entrepreneurship/we-work-for/migrants_en.

An amount of EUR 42 084,25 was used for external evaluators hired to assist the Commission in the evaluation stage.

– 2017 commitment appropriations

A global commitment of EUR 1 000 000 was validated in November 2017 to be able to co-finance at least two projects to be selected following the call for proposals to be published in 2018.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented through calls for proposals.

External evaluators have been (will be) selected through Expert Management database managed by DG RTD.

5.6 *Types of beneficiaries*

Stakeholders such as business advisory services, chambers of commerce, professional organisations, educational institutions, governmental and non-governmental organisations, co-operatives and social enterprises.

1. Heading

02 02 77 28: Pilot Project — SME instrument to enhance women's participation

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	349 831,90	p.m.	p.m.	p.m.	150 200	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	349 831,90	p.m.	p.m.	p.m.	150 200	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The total budget of EUR 500 000 enabled the selection of two consortia involving Enterprise Europe Network organisations from nine EU countries.

Both projects started the implementation on 1 October 2017 for a period of 24 months.

Intentions for the current year 2018:

The objective of this pilot project is to raise the awareness on the potential of the Horizon 2020 SME Instrument for women entrepreneurs via information events, advice and coaching women entrepreneurs to better prepare them for successful participation in the SME Instrument.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	08 December 2016
Publication of call for proposals / call for tender	16 December 2016
Closing date of call for proposals / call for	06 April 2017

tender	
Award decision	15 June 2017
Pre-financing payment(s)	October 2017
Interim payment(s)	N/A
Final payment(s)	2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The main documentation for this pilot project is the call for proposals terms of reference; it includes the policy context, objectives, activities and budgetary aspects. It is available at the following address: http://ec.europa.eu/growth/content/enhancing-participation-women-entrepreneurs-horizon-2020-sme-instrument-0_en

The expected result is to have an increased number of female participants and beneficiaries for the Horizon 2020 SME Instrument.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No legislative proposal is foreseen further to this pilot project.

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
EMPOWA	Consortium coordinator: ETHNIKO IDRYMA EREVNON, National Documentation Center, Athens, GR Partners: AGENZIA PER LA PROMOZIONE DELLA RICERCA EUROPEA (Italy) ZENIT NORDRHEIN-WESTFALEN GMBH (Germany) AGENCIA PER A LA COMPETITIVITAT DE LA EMPRESA (Spain) BULGARIAN INDUSTRIAL ASSOCIATION VIESOJI ISTAIGA LIETUVOS INOVACIJU CENTRAS (Lithuania)	249 985,00

5.5 Method of implementation (tenders, grants, other) and evaluations

Grants.

5.6 Types of beneficiaries

The beneficiaries of the grants are Enterprise Europe Network organisations from nine EU countries; the final beneficiaries are the women entrepreneurs receiving information and advice for their participation in the Horizon 2020 SME Instrument.

1. Heading

02 03 77 05: Pilot Project — Dynamic development of cross-border e-commerce through efficient parcel delivery solutions

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	495 200,00	0,00	p.m.	150 000	p.m.	247 600	0,0 %	65,1 %
Reserve							0,0 %	0,0 %
Total	495 200,00	0,00	p.m.	150 000	p.m.	247 600	0,0 %	65,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The procurement process was launched and a tender selected. Following the standstill period the contract was signed on 19 December 2018.

Intentions for the current year 2018:

This study has four main objectives.

- a comprehensive assessment of how parcel delivery markets in the European Union have evolved in recent years, how they are expected to develop over the next five to ten years and the impact of these market trends on the supply and value chains.
- an overview of the different regulatory regimes and how these affect the parcel delivery sector and different types of parcel delivery service providers.
- a wide ranging assessment of the needs of users, both e-retailers and consumers, of e-commerce cross-border parcel delivery services and the extent to which they are met by existing services (identification of good practices).
- identification of and recommendations for additional action needed to cover existing and future users' needs.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	5 May 2017
Publication of call for proposals / call for tender	29 May 2017
Closing date of call for proposals / call for tender	20 September 2017
Award decision	4 December 2017
Pre-financing payment(s)	15 February 2018
Interim payment(s)	September 2018
Final payment(s)	April 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The background to the proposal is set out in the call for tenders No 647/PP/GRO/PPA/17/9864.

The contractors presented their approach to the pilot project at a public workshop on 7 March 2018. An overview of the methodology and timetable can be found at the following address: <https://ec.europa.eu/docsroom/documents/28324/attachments/2/translations/en/renditions/native>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The pilot project will provide input for the first report on the Regulation on Cross-border parcel delivery services (2018/644) and the next report on the application of the Postal Services Directive (97/ 67/EC as amended by 2002/39/EC and 2008/6/EC). Depending on the outcome of those reviews (in particular the evaluation of the Postal Services Directive), a legislative proposal may follow.

5.4 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project - Dynamic Development of cross-border e-commerce through efficient parcel delivery solutions	WIK- Consult GmbH Rhöndorfer Str. 68 DE -53604 Bad Honnef	495 200

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Call for tender – open procedure.

5.6 *Types of beneficiaries*

Private contractor.

1. Heading

02 03 77 08: Pilot Project — Assessing alleged differences in the quality of products sold on the Single Market

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		800 000	400 000	p.m.	400 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		800 000	400 000	p.m.	400 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The project could contribute to the general public's understanding of the economic rationale and consequences behind the differentiation of the composition among seemingly identically branded food products. It could further elaborate on how this difference can impact the consumer choice, giving an example on how the national enforcement authorities following the test indicated in the Commission Interpretative Notice (Commission Notice of 26.9.2017 on the application of EU food and consumer protection law to issues of Dual Quality of products) assessing whether if consumers would alter their transactional decision if they were informed about the key differentiating feature of the product.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	15 February 2018
Signature of Administrative Agreement / launch of project	April/May 2018

Interim payment(s)	September 2018
Final payment(s)	September 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2018)848/F1 of 15 February 2018 sets out the main elements for the implementation of the pilot project

- Do similar activities exist, which are already covered by a legal basis?

The project is complementary to the ongoing JRC-lead work on testing methodology for assessing the dual quality of products that is linked to 2005/29/EC Directive.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2018 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Assessing alleged differences in the quality of products sold on the Single Market	Joint Research Center (JRC)	800 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Administrative agreement with JRC.

5.6 *Types of beneficiaries*

JRC.

1. Heading

04 03 77 24: Pilot Project — Quality employment for job starters through entrepreneurship

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	350 000	p.m.	450 000	0,0 %	28,6 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	350 000	p.m.	450 000	0,0 %	28,6 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under 2016 budget.

Objectives followed during 2017 and previous years' implementation:

Objectives followed during 2017 and previous years' implementation:

In 2017, procedures under two existing framework contracts were launched in order to conclude the two specific contracts for which two different global commitments were done in December 2016.

These two specific contracts which will mostly run during 2018 relate to the following activities:

- development of a web interface for an entrepreneurship policy toolkit;
- test an entrepreneurship policy toolkit on youth entrepreneurship policies.

Intentions for the current year 2018:

The pilot project will establish whether youth entrepreneurship initiatives are effective in creating long-term quality employment, especially amongst young people. It ties in with the European Parliament Committee on Employment's priorities with regard to youth unemployment/youth entrepreneurship and the Youth Guarantee and Youth Employment Initiative.

The following actions and measures will be funded:

- monitoring entrepreneurs in Europe; and

- verifying what influence current policies have on creating quality employment for job starters.

The pilot project will accelerate the development of an online entrepreneurship policy tool which will help national, regional and local policy makers design and implement entrepreneurship strategies, policies and programmes, and test the different versions of the tool on youth entrepreneurship policy.

A specific contract under an existing communication framework contract is being used to build the web interface for the entrepreneurship policy tool.

The testing has been contracted to an external party with the appropriate qualifications, implemented by the use of an existing framework contract.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2016 commitment appropriations

Step	Date
Adoption of financing decision	27 April 2016
Implementation of the 1 st specific contract	
Request for services under existing framework contract	Contractor's specific tender of 5 September 2017, following Commission's Request for services.
Signature of specific contract under existing framework contract	3 October 2017
Pre-financing payment	No pre-financing payment requested
Final payment	Second quarter 2019
Implementation of the 2 nd specific contract	
Request for services under existing framework contract	Reopening of Competition VT/2016/071
Signature of specific contract under existing framework contract	21 December 2017
Interim payment	Second quarter 2018
Final payment	Fourth quarter 2018

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)2449 of 27 April 2016 sets out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

- 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Quality employment for job starters through entrepreneurship: Development of a web interface for an entrepreneurship policy toolkit (Specific contract VC/2017/0550 under existing framework contract VC/2016/0362)	Ecorys UK Limited	153 394
Study supporting the evaluation and development of youth entrepreneurship policies and actions (Specific contract VC/2017/0757 under existing framework contract VC/2017/0373)	Ernst & Young Special Business Services	317 200

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is being implemented through the use of two existing framework contracts.

5.6 *Types of beneficiaries*

Communication (website building) and other service providers.

1. Heading

04 03 77 26: Pilot Project — A European framework for apprentice mobility: developing European citizenship and skills through youth integration in the labour market

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 997 578,61	1 611 739,25	p.m.	1 000 000	p.m.	400 000	0,0 %	-60,0 %
Reserve							0,0 %	0,0 %
Total	1 997 578,61	1 611 739,25	p.m.	1 000 000	p.m.	400 000	0,0 %	-60,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets, under budget line 15 02 77 12. In 2018, as no commitment appropriations were voted, the Commission agreed to allocate the payment appropriations under a budget line under the remit of EMPL which is responsible for this pilot project.

Objectives followed during 2017 and previous years' implementation:

The overall goal of the pilot project is to facilitate (long-term) apprentice mobility by testing various models for putting in place the necessary infrastructure (e.g. services including accommodation, language courses, practical information, coaching and insurance arrangements). The project also looks into ways of making apprenticeships more attractive to young people.

The 2015 Riga declaration by the ministers in charge of vocational education and training (VET) committed to raising the status of VET and increasing cooperation to promote innovation and excellence in VET. One of the main actions scheduled for this purpose in 2016 was the organisation of a European Vocational Skills Week with actions both in Brussels and across Member States. This was part of the supporting activities to the pilot project.

The 2016 pilot project's commitment appropriations were split as follows:

- for grants: EUR 1 800 000;
- for procurement: EUR 200 000 (Vocational Skills Week).

The following activities were undertaken:

- an open call for proposals was published (reference VP/2016/010) with the aim of funding a limited number of trans-European projects involving key stakeholders in the field of vocational training and seeking to develop sustainable systems and frameworks for cross-border apprentice mobility, with a view to preparing and scaling-up long-term quality mobility with a minimum duration of six months. Two projects were accepted and are close to being finalised.
- the first ever "Vocational Skills Week" took place on 5-9 December 2016 and aimed at raising the attractiveness of VET through initiatives that put emphasis on excellence and quality in VET. Apprenticeships and work-based learning were among the major focuses of the initiative.

The pilot project has attracted a lot of interest from stakeholders and was continued in 2017. The actions undertaken in 2017 were:

- a new call for proposals (VP/2017/007, with an available amount of EUR 2 841 856); 7 projects were accepted and are currently being implemented.
- communication activities to support apprenticeships and the mobility of apprentices, aimed at mobilising all stakeholders, including business and institutions. Communication activities with the aim of raising awareness on apprenticeships and apprentice mobility were carried out through a range of (existing) EU-level initiatives, including: the European Alliance for Apprenticeships; the Commission's support for the European Pact for Youth; and especially the second "Vocational Skills Week" (2017 edition) that took place from 20 to 24 November 2017.
- Cedefop has also been contributing to the Commission's work on apprenticeships and mobility, through their Mobility Scoreboard, the country specific analysis of apprenticeships systems, and the monitoring of the Riga Deliverables that include a specific deliverable on work-based learning and in particular apprenticeships.

Intentions for the current year 2018:

The projects financed under the 2016 call for proposals will come to their close and those financed under the 2017 call are being implemented. The Commission is looking forward to the conclusions and recommendations of the 2016 projects and will continue monitoring the implementation of the 2017 ones.

Communication activities to support apprenticeships and the mobility of apprentices will continue, including in the context of the 2018 Vocational Skills Week (5-9 November 2018, Vienna).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2016 commitment appropriations

Step	Date
Adoption of financing decision	03 March 2016
Part A - Grants	
Publication of call for proposals / call for tender	03 March 2016
Closing date of call for proposals / call for tender	15 April 2016
Award decision	22 July 2016
Pre-financing payment(s)	Third quarter 2016
Interim payment(s)	Third quarter 2017

Final payment(s)	Second quarter 2018
Part B - Procurement	
Specific contract under an existing framework contract was signed in November 2016 for the 'Vocational Skills Week' which took place on 5-9 December 2016.	

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	15 December 2016
Publication of call for proposals / call for tender	25 January 2017
Closing date of call for proposals / call for tender	29 March 2017
Award decision	02 June 2017
Pre-financing payment(s)	Third quarter 2017
Interim payment(s)	Third quarter 2018
Final payment(s)	Second or third quarter 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)1267 of 03 March 2016 sets out the main elements for the implementation of the pilot project.

For 2017 appropriations: Commission Financing Decision C(2016)8412 of 15 December 2016 sets out the main elements for the implementation of the pilot project. The same decision amends the 2016 financing decision to enable adding unused 2016 appropriations to the 2017 call for proposals.

Information on the European Vocational Skills Weeks is available at the following address: <http://ec.europa.eu/social/main.jsp?langId=en&catId=1261>

- Do similar activities exist, which are already covered by a legal basis?

Mobility (of durations up to 12 months) of VET learners (and recent graduates) is covered by Erasmus+. As from 2018, under Erasmus+, the Commission has launched a new activity labelled ErasmusPro, which supports long duration work-placements abroad lasting from 3 to 12 months. In the first call of 2018, this new activity mobilised projects that may benefit more than 27 000 VET learners and apprentices in long duration mobility.

It should be noted that the pilot project has an analytical focus and is therefore complementary rather than overlapping.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

A specific ErasmusPro strand on longer-duration mobility of VET learners and recent graduates is included Erasmus+ since 2018. The recommendations resulting from the pilot project may provide input for further improving future Erasmus+ calls.

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
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Grant Enhancing Apprentice Employability - VS/2016/0218	ESCOLA SANT FRANCESC SL	176 014,66
Grant European apprenticeship ambassadors - Réseau de centres de formation pour un apprentissage européen reconnu - VS/2016/0216	Les Compagnons du Devoir et du Tour de France	782 128,88
Contract European Vocational Skills Week - VC/2016/0685	Destrée	200 000,00

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Grant European Pathway - a Gateway to work (EPaG) - VS/2017/0297	Kainuun ammattiopisto	403 488,00
Grant Apprentices in Motion - VS/2017/0241	CSR Europe	381 121,00
Grant European apprenticeship ambassadors - Go Further! - Réseau de partenaires pour un apprentissage européen reconnu - VS/2017/0244	Les Compagnons du Devoir et du Tour de France	492 269,61
Grant SME4EASY – Supporting Mobility Experiences 4 a European Apprenticeships Scheme for Young - VS/2017/0236	Glocal srl	420 904,00
Grant moVET.europe - Mobility for VET-learners in Europe - VS/2017/0242	BBQ Berufliche Bildung gGmbH	421 337,40
Grant Boosting Apprentice Employability - VS/2017/0265	ESCOLA SANT FRANCESC SL	407 982,76
Grant Pro Move - Promotion of Long Term Mobility in VET - VS/2017/0274	Gesellschaft für Europabildung	312 332,30

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is primarily implemented via grants. Part of the 2016 appropriations were used by way of procurement for communication activities in the context of the "Vocational Skills Week" of December 2016.

5.6 Types of beneficiaries

For the grants: lead applicants, co-applicants and affiliated entities are public or private organisations active in the field of VET in the EU.

1. Heading

04 03 77 27: Pilot Project — Promotion of domestic worker cooperatives and service voucher schemes

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		700 000	350 000	p.m.	350 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		700 000	350 000	p.m.	350 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

In order to increase the number of Member States having policies to develop activities and jobs related to the personal and household services (PHS) and to support decent work in this sector, the pilot project will have two main objectives: (i) to help Member States develop service voucher schemes (or in an initial phase, trial them in certain municipalities); and (ii) to introduce an effective social dialogue in this sector.

- the pilot project will provide advice, technical assistance and a range of tailored guidance activities and recommendations to Member States interested in developing the PHS sector, and will support the exchange of good practices among key stakeholders, national public administrations (municipalities/local administrations in the case of a trial introduction) and organisations of domestic workers, carers and employers.
- regarding social dialogue and decent working conditions, the project will facilitate the professionalization of these jobs, the dissemination of information on the rights and obligations of workers and employers and the creation and development of an effective social dialogue.

The Commission intends to fund the pilot project through a grant. A call for proposals will be used to select the implementing consortium. It will invite the categories of stakeholder mentioned in the budgetary remarks to establish a consortium to implement the project.

The consortium should develop at least the following activities:

- for Member States more advanced in the development of personal and household services (PHS) the tasks will be organised around two main activities:
- collection of good practices concerning the development of formal employment in this sector;
- reinforcement and development of social dialogue for domestic workers (see the second objective of this pilot project).

For the setting-up of these activities, the following tasks will be financed:

- analysis of the national situation with particular attention to the working conditions in the two subsectors of care and non-care (legislation, collective agreements, social dialogue, job characteristics, problems observed, national debates on PHS, etc.) and to the service vouchers schemes (or equivalent mechanisms to develop formal employment);
- observation and validation of good practices (with organisation of one to two national workshops);
- information centres for workers in this sector (in cooperation with the national members of the European federations);
- promotion of the development of a social dialogue in the absence of such dialogue.
- for Member States where personal and household services (PHS) are less developed the tasks will be organised around two sets of activities:
- dissemination of good practices;
- technical assistance.

For the setting-up of these activities, the following tasks will be financed:

- organization of a network of actors at national level (in cooperation with European federations);
- organization of national workshops and seminars (awareness and dissemination of good practices);
- gathering information on the situation and national debates;
- production of notes, sheets and articles with translation;
- logistical support to technical assistance.
- at European level, the consortium should design a communication and awareness-raising plan focusing on the two objectives of the pilot project.

The following activities will be financed:

- organisation of an European conference;
- animation and feeding of a website to present good practices, some elements of guidance;

- technical assistance to Member States and stakeholders to develop a service voucher scheme and/or social dialogue in the PHS sector.

The grant will finance the activities and tasks indicated above and any other additional activities necessary to achieve the objectives of the pilot project. Given the time needed to raise the awareness of the Member States and to develop service vouchers schemes, it would be useful to renew this pilot project for at least a second year.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	28 March 2018
Publication of call for proposals / call for tender	Second quarter 2018
Closing date of call for proposals / call for tender	Second quarter 2018
Award decision	Third quarter 2018
Pre-financing payment(s)	Third quarter 2018
Interim payment(s)	Second quarter 2019
Final payment(s)	Fourth quarter 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

For 2018 appropriations: Commission Financing Decision C(2018)1852 of 28 March 2018.

- Do similar activities exist, which are already covered by a legal basis?**

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No.

5.4 *Type of activities financed, number and amounts*

For 2018 appropriations, as the procedure is not yet launched, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

For the implementation, a call for proposals will be launched. For the evaluation, the development of a network of stakeholders at European level and the development of the exchange of information and practices concerning the PHS sector should be the expected results of this call for proposals. Further, a better understanding of the working conditions in this sector and identification of opportunities for improvement based on the social dialogue are to be achieved.

5.6 *Types of beneficiaries*

As indicated by the EP, this pilot project will need to be supported by the principal European stakeholders in the PHS sector. The beneficiaries will be European federations of workers and employers active in this sector.

1. Heading

06 02 77 11: Pilot Project — Feasibility study to test the use of a public-private joint undertaking to support the deployment of the European rail transport management system (ERTMS) throughout the core network corridors

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	120 000	p.m.	197 700	0,0 %	64,8 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	120 000	p.m.	197 700	0,0 %	64,8 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The intention is to undertake a feasibility study to test the use of a fund to support the deployment of ERTMS throughout the TEN-T core network corridors.

The proposed feasibility study follows up the recently finalised ERTMS Study, which developed a number of positive innovative financing schemes for the deployment of ERTMS on board, on the ground and on the TEN-T core network corridors.

The objective of the proposed feasibility study is to undertake and assess the feasibility of setting up a pilot project testing the use of an EU-backed joint public-private undertaking fund to support the innovative financing of the ERTMS deployment on the TEN-T corridor level, e.g. one corridor.

The study focuses on possibilities within this Multiannual Financial Framework period and the longer term.

Intentions for the current year 2018:

Following the call for tender, the contract was signed in May 2017 for a duration of 13 months with payments in 2018 and 2019.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	14 July 2016
Publication of call for tender	14 December 2016
Closing date of call for tender	23 January 2017
Award decision and signature of contract	May 2017
Pre-financing payment(s)	N/A
Interim payment(s)	EUR 131 800 paid in first quarter 2018
Final payment(s)	2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2016) 434 final of 14 July 2016 sets out the main elements for the implementation of the pilot project.

The call for tender (Ref MOVE/B4/2016-570) is available at the following address: https://ec.europa.eu/transport/content/feasibility-study-coordinated-deployment-and-financing-european-rail-transport-management-0_en.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
MOVE/B4/2016-570	Ernst & Young Special Business Services CVBA/SCaRL	329 500

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Open call for tender (study).

5.6 *Types of beneficiaries*

Contractors.

1. Heading

06 02 77 15: Pilot Project — Raising Awareness of alternatives to private car

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	490 000,00	0,00	800 000	645 000	p.m.	594 000	-100,0 %	-7,9 %
Reserve							0,0 %	0,0 %
Total	490 000,00	0,00	800 000	645 000	p.m.	594 000	-100,0 %	-7,9 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

The general objective of the contract is to contribute to the targets established by the White Paper "Roadmap to a Single European Transport Area – Towards a competitive and resource efficient transport system" (COM(2011) 144 final), with a special reference to Initiative 27: Travel information - Promote awareness of the availability of alternatives to individual conventional transport (drive less, walk and cycle, car sharing, park & drive, intelligent ticketing etc.).

The specific objective is to improve the awareness of alternatives to the private car among citizens and national/local authorities, with a view to facilitating the switch to sustainable transport options, with a special attention to be paid to cycling.

The existing disparities in the degree of awareness across the Member States will have to be investigated through appropriate data collection and information processing, so as to elaborate a reliable picture of the existing situation. This will also define the content of pilot awareness actions to be organised in the different contexts. Mentioned actions will promote the dialogue and the exchange of best practices among different stakeholders at national and local level such as Member States' authorities, regions, municipalities and other possible project promoters.

Intentions for the current year 2018:

See below the intentions as per 2017 and 2018 appropriations, respectively.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	29 June 2017
Publication of call for tender	23 August 2017
Closing date of call for tender	2 October 2017
Award decision	05 January 2018
Contract's signature	26 February 2018
Pre-financing payment(s)	N/A
Interim payments	25 October 2018; 25 April 2019
Final payment	25 August 2019

– 2018 commitment appropriations

Step	Date
The commitment of 2018 appropriations is under assessment	Fourth quarter 2018

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017)4327 of 29 June 2017 on the financing in 2017 of five new pilot projects in the field of Mobility and Transport.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 *Type of activities financed, number and amounts*

– 2017 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project — Raising Awareness of alternatives to private car (06 02 77 15)	Técnica y Proyectos S.A, Spain	439 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is under implementation by way of call for tender(s).

5.6 *Types of beneficiaries*

Consultants and universities.

1. Heading

06 02 77 16: Pilot Project — Sustainable shared mobility interconnected with public transport in European rural areas (developing the concept of 'smart rural transport areas' (SMARTAs))

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	600 000,00	0,00	1 000 000	800 000	p.m.	800 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	600 000,00	0,00	1 000 000	800 000	p.m.	800 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

In order to generate win-win situations, such as reducing CO2 emissions (COP 21 agreement), the pilot project aims to promote better mobility connections in remote and rural areas for persons who cannot or do not want to own a car (young, elderly and socially disadvantaged people).

The pilot project will improve knowledge and understanding of whether there is a need for a cross-border approach to 'smart rural transport areas' (SMARTAs), such as exchanges of best practice examples, interoperability, sustainable regional development, cohesion, research and development and innovation.

In the EU rural areas there has been a large increase in projects developing shared mobility interconnected with public transport and non-motorised individual mobility. These are based on different conventional and digital tools (e.g. smartphone apps) and involve, for example, car sharing, car-pooling, shared driving, hitchhiking, taxi sharing and flexible call buses. This development is a huge opportunity to give a boost to SMARTAs.

The project is in line with the eight out of the ten goals for a competitive and resource-efficient transport system set out in the EU Transport White Paper (COM(2011) 144 final), i.e. to establish the framework for a European multimodal transport information, management and payment system by 2020.

This project is referred to in the recently launched 'EU action plan for Smart Villages', available at the following address: https://ec.europa.eu/agriculture/sites/agriculture/files/rural-development-2014-2020/looking-ahead/rur-dev-small-villages_en.pdf.

Intentions for the current year 2018:

This pilot project will allow integrated multimodal solutions to be sought to overcome the lack of connections to/from rural areas. It will also allow for the application of the EU legislation on intelligent transport systems (ITS) (Directive 2010/40/EU on the deployment of ITS, available at the following address: <http://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX:32010L0040&from=EN>).

As said above, this pilot project is well suited to the Commission's sustainable transport policy objectives and serves well the targets established by the White Paper "Roadmap to a Single European Transport Area – Towards a competitive and resource efficient transport system" (COM(2011) 144 final, available at the following address: https://ec.europa.eu/transport/themes/strategies/2011_white_paper_en), in particular initiatives 22 and 27 thereof.

The possible use of 2018 appropriations is under assessment.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	29 June 2017
Publication of call for tender	28 July 2017
Closing date of call for tender	2 October 2017
Award decision	November 2017
Contract's signature	April 2018
Pre-financing payment(s)	Second quarter 2018
Interim payment(s)	N/A
Final payment(s)	Second quarter 2020

– 2018 commitment appropriations

Step	Date
The commitment of 2018 appropriations is under assessment	Fourth quarter 2018

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017)4327 of 29 June 2017 on the financing five new pilot projects in the field of Mobility and Transport sets out the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 *Type of activities financed, number and amounts*

– 2017 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Awarded	MemEx Srl	540 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is under implementation by way of open call for tender(s).

5.6 *Types of beneficiaries*

Consultants and universities.

1. Heading

06 02 77 17: Pilot Project — Single European Sky (SES) airspace architecture

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	800 000,00	400 000,00	600 000	700 000	p.m.	300 000	-100,0 %	-57,1 %
Reserve							0,0 %	0,0 %
Total	800 000,00	400 000,00	600 000	700 000	p.m.	300 000	-100,0 %	-57,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted first under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

The first phase is under implementation by the Single European Sky ATM Research (SESAR) Joint Undertaking (JU) further to a delegation agreement between the Commission and the SESAR JU signed on 10 November 2017.

The objective of this initiative is to develop a proposal for the future architecture of the European airspace, inspired by the concept of the "Trans-European Motorways of the sky" of the European Parliament, embracing a new era of innovation and digital technologies in consistency with the Single European Sky initiative, the European Aviation Strategy and the European ATM Master Plan.

The project aims to assess the feasibility of implementing a new airspace architecture that is service-oriented, relies on digital technologies and primarily targets "en-route" European airspace. That airspace architecture, will aim to more efficiently support traffic flows and promote an optimised provision of air navigation services and the enabling ATM/Communication Navigation Surveillance infrastructure. It will fully respond to the needs of airspace users and materialise the concept of the European Upper Information Region (EUIR).

For the second phase to take shape, the Commission will examine the relevance of the continuation of the project on the basis of the available results from phase one.

Intentions for the current year 2018:

The above Commission - SESAR JU delegation agreement just covers the first phase and lasts 36 months (up to November 2020).

Entrusting SESAR JU with the second phase is just one of the available options. Further discussions on the matter will follow once results of phase one are in.

Another option for the second phase is for DG MOVE to use one of its own framework contracts to conduct further analysis on the issue, based on the results of phase one.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	29 June 2017
Delegation agreement Commission – SESAR JU	10 November 2017
Pre-financing payment(s) to SESAR	22 November 2017
Publication of SESAR JU call for tender and negotiated procedures	Second quarter 2018
Closing date of SESAR JU call for tender	Second quarter 2018
SESAR JU award decision	Second quarter 2018
SESAR JU contract's signature	Second quarter 2018
Pre-financing payment(s) to SESAR/tenderers	Third quarter 2018
Interim payment(s) to SESAR/tenderers	Fourth quarter 2018
Final payment(s) to SESAR/tenderers	Fourth quarter 2018

– 2018 commitment appropriations

Step	Date
Publication of call for proposals / call for tender	Follow-up depends on results of phase one
Closing date of call for proposals / call for tender	N/A
Award decision	N/A
Delegation agreement and/or contract signature	2018
Pre-financing payment(s)	2019
Interim payment(s)	2020
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017)4327 of 29 June 2017 on the financing in 2017 of five new pilot projects in the field of Mobility and Transport (available at the following address: <http://www.cc.cec/sg/vista/view/main/home.jsf>) sets out the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

Regulation (EC) 551/2004 of Single European Sky regulatory framework concerns the organisation and use of the airspace in SES and in particular under Chapter II, the Airspace Architecture.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The opportunity for a new legislative proposal depends on the outcome of the project.

5.4 Type of activities financed, number and amounts

– 2017 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pre-financing payment(s) to SESAR JU	SESAR JU	22 November 2017
Interim payment(s) to SESAR/tenderers		Estimated third quarter 2018
Final payment(s) to SESAR/tenderers		Estimated fourth quarter 2018

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is under implementation by way of delegation agreement, call for tender(s), negotiated procedures and existing framework contracts as the SES one.

5.6 Types of beneficiaries

SESAR JU, contractors providing technical, legal and economic assistance.

1. Heading

06 02 77 18: Pilot Project — Mapping accessible transport for people with reduced mobility

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	600 000,00	0,00	p.m.	300 000	p.m.	300 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	600 000,00	0,00	p.m.	300 000	p.m.	300 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation

The study aims at mapping the accessibility on different transport modes for people with reduced mobility (PRMs).

Following discussions with the European Parliament, DG MOVE proposed an amendment to the scope of the study.

The tasks of the consultant are:

- to make a review and an assessment of the main types of existing tools used by transport operators, infrastructure managers, national authorities and disability organizations to gather the data and communicate information on accessibility and assistance and whether this is done coherently and consistently throughout the EU.
- to propose the most efficient solutions for the communication of information concerning accessibility and assistance to PRMs, in particular during multimodal journeys.
- to present technical and legal proposals for filling up the gaps which hamper the development of seamless journeys planners for PRMs, or their inclusion into already existing platforms, especially when using several transport modes during the same journey.

- to gather information, notably through surveys, concerning the specific needs and expectations of PRMs as regards assistance, accessibility and the quality of services. This could enable to identify some good practices/added value services that could lead to market developments.

Request for services No. [MOVE]/[B5]/[2017]-[2017-466] in the context of the framework service contract with re-opening of competition for technical assistance in the field of mobility and transport MOVE/ENER/SRD/2016-498 Lot 6 was launched on 30 October 2017.

Intentions for the current year 2018

Signature and initiation of the contract. Development and completion of the project.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	29 June 2017
Request for offers	30 October 2017
Deadline for submission of offers	8 January 2018
Specific contract under existing framework contract and start of the project	MOVE/ENER/SRD/2016-498 Lot 6
Pre-financing payment(s)	N/A
Interim payment(s)	July 2018
Final payment(s)	January 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017)4327 of 29 June 2017 on the financing in 2017 of five new pilot projects in the field of Mobility and Transport (available at the following address: <http://www.cc.cec/sg/vista/view/main/home.jsf>) sets out the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

- 2017 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
N/A		

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is under implementation by way of procurement (specific contract under existing framework contract).

5.6 *Types of beneficiaries*

Contractors providing technical and legal assistance.

1. Heading

06 02 77 19: Pilot Project — Secure parking areas for trucks

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	761 000,00	0,00	p.m.	425 000	p.m.	336 000	0,0 %	-20,9 %
Reserve							0,0 %	0,0 %
Total	761 000,00	0,00	p.m.	425 000	p.m.	336 000	0,0 %	-20,9 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation

Safe and secure parking places for trucks are needed to ensure socially fair conditions for professional drivers when taking rests. They are also needed to tackle issues such as cargo crime and unintended transport of illegal immigrants. However, safe and secure parking places remain too scarce and the services provided by existing facilities are often insufficient and uncoordinated.

The establishment of safe and secure parking places requires some careful reflection on the different requirements to place on the different stakeholders, together with consideration of whether legislative change or other support actions are needed. Against this background, the pilot project, which will be implemented in the form of a study, will define an action plan for safe and secure parking places with the objective of creating the right framework for authorities and private developers who wish to establish safe and secure parking places.

Intentions for the current year 2018

Implementation of actions as indicated in the terms of reference of this project namely to ensure that provisions for safe and secure parking places for trucks can be established to ensure socially fair conditions for professional drivers when taking rests. They are also needed to tackle issues such as cargo crime and unintended transport of illegal immigrants. However, safe and secure parking places remain too scarce and the services provided by existing facilities are often insufficient and uncoordinated. The establishment of

safe and secure parking places requires some careful reflection on the different requirements to place on the different stakeholders and this will be reflected in the (draft) final report to be drafted in November 2018.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	29 June 2017
Publication of call for tender	20 July 2017
Closing date of call for tender	18 September 2017
Award decision	06 December 2017
Pre-financing payment(s)	N/A
Interim payment(s)	June 2018
Final payment(s)	January 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017)4327 of 29 June 2017 on the financing in 2017 of five new pilot projects in the field of Mobility and Transport (available at the following address: <http://www.cc.cec/sg/vista/view/main/home.jsf>) sets out the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

The pilot project SETPOS (Secure European Truck Park Operational Services) has been concluded in 2010. It was co-financed by the Commission and it accomplished several objectives. The project included investments into four pilot parking sites on the trans-European network, offering high level of protection against attacks, and serving as a model and encouraging investors to develop such facilities. In the pilot project, a secure truck parking site was built near Valenciennes and investments to improve the security of existing rest areas were carried out in Wörnitz (Germany), Ashford (United Kingdom) and Uhrsleben (Germany).

The second pilot project 'LABEL' developed a labelling scheme to inform transport operators about the quality and security level of truck parks. It built on the security standards developed by SETPOS and it applies its own labelling scheme to 80 sites all over Europe. It has also certified more than 80 rest areas in at least 10 different Member States by applying the certification procedures developed by the project. The results of the LABEL project have been taken over by the International Road Transport Union (IRU) in order to establish a labelling system with stars and locks which will indicate the level of security and comfort at the parking areas that are certified. The interested truck parking operators may now refer to IRU for further certification information.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, this is not envisaged at this stage but this project is considered to be a significant policy support measure.

5.4 *Type of activities financed, number and amounts*

– 2017 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project - Secure parking areas for trucks	Panteia B.V (NL)	761 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is under implementation by way of procurement (open call for tender).

5.6 *Types of beneficiaries*

The contractor is an independent research institute.

1. Heading

06 02 77 20: Pilot Project — Human behaviour in connection with autonomous driving

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		350 000	175 000	p.m.	175 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		350 000	175 000	p.m.	175 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The study would focus on the consequences of the deployment of driver assistance systems and automated driving functions and the potential need for regulatory change as concerns two areas: driver training and testing (for both general driving population and professional drivers) and the traffic rules and behaviour in relation to all users (drivers but also pedestrian, cyclists and motorcyclists).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	April 2018
Publication of call for proposals / call for tender	Second quarter 2018
Closing date of call for proposals / call for tender	Third quarter 2018
Award decision	Third quarter 2018
Pre-financing payment(s)	Fourth quarter 2018
Interim payment(s)	2019

Final payment(s)	2020
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5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision, expected in April 2018, will set out the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation, this information is not available yet.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The Commission intends to implement this pilot project by means of a study awarded through a direct contract.

5.6 *Types of beneficiaries*

Study service providers.

1. Heading

06 02 77 21: Pilot Project — Pan-European road safety awareness campaign

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		600 000	300 000	p.m.	300 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		600 000	300 000	p.m.	300 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The European Union is committed to halving the number of road fatalities by 2020.

This pilot project will aim at exchanging knowledge and experience and raising awareness among professionals on the main policies that could significantly improve road safety in the Member States. The project should focus on a selected number of Member States, in particular those where road safety records are below the EU average. To ensure the usefulness of the whole operation, each country's needs should be assessed individually and the topic of the exchange should be set accordingly. The events could take the form of experts meetings, seminars, trainings and other type of knowledge exchange. Experts from Member States which are particularly performant in a given field could assist those who are in need. Of course, third party experts could also be associated to this exercise.

As enforcement is an area that can bring quick results in terms of reducing road deaths and serious injuries, it will be a focus area of the exchange of experience and best practices. Such exchange could cover the following aspects: speed, drink and drug-driving, use of protective equipment, distraction. Other areas depending on the specific needs of a Member State could be also covered (e.g. safe infrastructure).

The main criteria of success would be the transferability of the information sessions, meaning that those who have been briefed at a higher level could then transmit this knowledge to those working on the field (ex. police officers, safety inspectors etc.).

The project will test how effectively the Commission can intervene in best practice exchanges between Member States, foster the dissemination of knowledge and expertise and influence by soft measures results in fields normally covered by the principle of subsidiarity. This approach is justified by a strong need for actions on the ground by supporting awareness and sharing of best practices in order to help closing the road safety performance gap between MSs within the EU.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	April 2018
Publication of call for proposals / call for tender	Second quarter 2018
Closing date of call for proposals / call for tender	Third quarter 2018
Award decision	Third quarter 2018
Pre-financing payment(s)	Fourth quarter 2018
Interim payment(s)	2019
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision, expected in April 2018, will set out the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation, this information is not available yet.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The Commission intends to implement this pilot project by means of a study and technical assistance via a direct contract.

5.6 *Types of beneficiaries*

Study and technical assistance service providers.

1. Heading

06 02 77 22: Pilot Project — OREL - European system for limiting odometer fraud: fast-track to roadworthiness in the EU

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		300 000	150 000	p.m.	150 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		300 000	150 000	p.m.	150 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

It will include an assessment, a feasibility study and a technical business analysis to identify and explore solutions and define the scope for a future odometer information exchange system in the EU. It will provide support for roadworthiness certification in connection with Directive 2014/45/EU by taking into consideration that:

- there is no legal base for the mandatory data exchange and such a realization requires the voluntary participation of Member States which to date did not come forward;
- EUCARIS (the European CAR and driving license Information System) is not a Commission application and there is no existing Commission IT solution which could be re-used for the purpose of exchanging odometer data;
- the collection of odometer data will become mandatory in the Member States from 20 May 2018, with related data becoming available gradually, and
- the EP is currently carrying out a study on odometer fraud, whose results should be taken into account in order to optimize the implementation of the pilot project.

It is appropriate to establish an assessment/feasibility study/technical business analysis as a follow-up to the feasibility study prepared in 2014 for the vehicle information platform (available at the following address: https://ec.europa.eu/transport/road_safety/sites/roadsafety/files/pdf/vehicles/study_vip_2014.pdf). Such a study could be useful to further explore possible technical solutions and define the details for the future data exchange. It could be used as an input for a potential amendment of the roadworthiness Directive (Directive 2014/45/EU of the European Parliament and of the Council on periodic roadworthiness tests for motor vehicles and their trailers and repealing Directive 2009/40/EC, OJ L 127/51, available at the following address: <http://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX:32014L0045&rid=1>).

In combination with the regular discussions on odometer fraud organized by the Commission and involving Member States and external experts, the feasibility study will contribute to the identification of the most appropriate technical solution, likely to gain sufficient support.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	April 2018
Publication of call for proposals / call for tender	Second quarter 2018
Closing date of call for proposals / call for tender	Third quarter 2018
Award decision	Third quarter 2018
Pre-financing payment(s)	Fourth quarter 2018
Interim payment(s)	2019
Final payment(s)	2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision, expected in April 2018, will set out the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

As 2018 is the first year of implementation, this information is not available yet.

5.5 Method of implementation (tenders, grants, other) and evaluations

The Commission intends to implement this pilot project by a study awarded through a direct contract.

5.6 Types of beneficiaries

Study service providers.

1. Heading

08 02 77 05: Pilot Project — Maternal immunisation: bridging knowledge gaps for advancing maternal immunisation in low-resource settings

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	400 000	p.m.	179 967	0,0 %	-55,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	400 000	p.m.	179 967	0,0 %	-55,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

In accordance with the budget remark, the objectives of the pilot project are:

- quantify the burden of vaccine-preventable diseases (with a primary focus on Group B Streptococci, respiratory syncytial virus, pertussis, influenza, tetanus and rubella) in pregnant women and their infants, and
- study how this burden in pregnant women is modified by co-morbidities such as infection by HIV, tuberculosis or joint HIV-tuberculosis infection.

Geographical scope: in representative sites in sub-Saharan Africa, Latin America and the Caribbean.

The contract was signed by the end of December 2017; therefore there have not been activities in 2017.

Intentions for the current year 2018:

The project is progressing as planned and activities are being carried out in accordance with the tender specifications. There are not delays foreseen at this stage.

Deliverables submitted:

- report of the inception meeting;
- draft study design/protocol.

Meetings held:

- kick-off meeting;
- study design meeting (on Tuesday 27 March).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2016 commitment appropriations

Step	Date
Adoption of Financing Decision C(2016)7133	10 November 2016
Publication of call for proposals / call for tender	14 July 2017
Closing date of call for proposals / call for tender	15 September 2017
Award decision / Ares(2017)5835913 (final amount € 599 889)	29 November 2017
CL2 commitment: SI2.769176, under 2016 CL1: SI2.742390	04 December 2017
Pre-financing payment(s)	N/A
First interim payment	Mid 2018 / 30%
Second Interim payment	First part of 2019 (possible according to Article I.5 of contract 2017/RTD/E.5/OP/PP-05761-2017)EUR 179 967
Final payment(s)	2020 (40 %)

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2016)7133 of 10 November .2016 sets out the main elements for the implementation of the pilot project.

The Call for tenders 2017/RTD/E.5/OP/PP-05761-2017 was launched on 14 July 2017, and is available at the following address: <https://etendering.ted.europa.eu/cft/cft-display.html?cftId=2646>. The Tender specifications contain all requirements and characteristics of the requested study.

- Do similar activities exist, which are already covered by a legal basis?

Investment in surveillance of vaccine preventable diseases is supported through the EU Framework Programme for Research and Innovation Horizon 2020. However, surveillance of vaccine preventable diseases in low- and middle-income countries with a view to generate recommendations for maternal immunisation as defined in the pilot project has not been previously done.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
"Burden of diseases potentially preventable by maternal immunisation in sub-Saharan countries"	Liverpool School of Tropical Medicine	599 889

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Open call for tenders

5.6 *Types of beneficiaries*

Academic and two subcontractors.

Contractor: Liverpool School of Tropical Medicine

Subcontractors: Centre for Sexual Health and HIV AIDS research (CeSHHAR), Zimbabwe; Kintampo Research Centre, Ghana.

1. Heading

09 02 77 07: Pilot Project — Exchange of media "rising stars" to speed up innovation and increase cross-border coverage ("Stars4media")

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 200 000	600 000	p.m.	600 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 200 000	600 000	p.m.	600 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The main objective of this pilot project is to promote innovation in the European media sector by enhancing the mobility of young media professionals as well as their understanding of the media and cultures of other Member States. The project also aims to contribute to quality journalism by developing the skills of media professionals (through peer learning) and to promote media pluralism by fostering a greater variety of opinions and voices. The centrepiece of the programme will be an exchange of media professionals, for limited duration, by media outlets located in different Member States.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for proposals / call for tender	June 2018
Closing date of call for proposals / call for tender	July 2018

Award decision	November 2018
Pre-financing payment(s)	December 2018
Interim payment(s)	September 2019
Final payment(s)	February 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision expected to be adopted in May 2018 will set out the elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of the pilot project, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Grants following a call for proposals.

5.6 *Types of beneficiaries*

EU-established organisations, including private companies and service providers, public service providers and non-profit organisations, active in the field of news media, media freedom and pluralism.

1. Heading

09 04 77 01: Pilot Project — Open knowledge technologies: mapping and validating knowledge

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	84 256,66	p.m.	888 314	p.m.	199 962	0,0 %	-77,5 %
Reserve							0,0 %	0,0 %
Total	0,00	84 256,66	p.m.	888 314	p.m.	199 962	0,0 %	-77,5 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2014 and 2015 budgets.

Objectives followed during 2017 and previous years' implementation:

ECOKT 2015- COMPASS

The overall objective of this pilot project is to use open digital teaching, learning and assessment technologies and networks, empowering people to take more responsibilities for their own learning. It also aims to deliver employability skills for young people. The pilot project beneficiaries started their work in December 2016.

Intentions for the current year 2018:

Continuation of the pilot project with the take-up of recommended operational improvements

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2014 commitment appropriations

Step	Date
Adoption of financing decision	May 2014
Publication of call for proposals	August 2014
Closing date of call for proposals	September 2014

Award decision	March 2015
Pre-financing payment	June 2015
Interim payment	2016, 2017
Final payment	2017

– 2015 commitment appropriations

Step	Date
Adoption of financing decision	August 2015
Publication of call for proposals / call for tender	September 2015
Closing date of call for proposals / call for tender	November 2015
Award decision	April 2016
Pre-financing payment(s)	December 2016
Interim payment(s)	June 2018
Final payment(s)	June 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the 2014 implementation, Financing Decision of 14 May 2014 C(2014)3012 sets out the main elements of this pilot project. Moreover, the call documents is available at the following address: <http://ec.europa.eu/digital-agenda/en/news/pilot-project-open-knowledge-technologies-mapping-and-validating-knowledge-ecokt2014>

For the 2015 implementation, the call documents are available at the following address: <https://ec.europa.eu/digital-single-market/news/open-knowledge-technologies-mapping-and-validating-knowledge>

Further details are provided in Financing Decision of 11 August 2015 C(2015)5568 and Award decision of 06 April 2016.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2014 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
the ECOKT "2014 Pilot project Open Knowledge Technologies: Mapping and validating knowledge	University of Stockholm	778 951,00
the ECOKT "2014 Pilot project Open Knowledge Technologies: Mapping and validating knowledge	PDAgroup GmbH	721 049,00

– 2015 commitment appropriations

Title/Action	Lead Beneficiary/Contractor	EUR (maximum)
2015 Pilot project Open Knowledge Technologies: Mapping and validating knowledge	Expertise France	1 999 625,00

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot projects foreseen under the 2014 and 2015 appropriations are implemented by way of grants awarded further to a call for proposals. The maximum possible rate of co-financing is 70% of the eligible costs of the project(s). The duration of project(s) supported shall not exceed two years.

5.6 *Types of beneficiaries*

Legal persons applying either as single beneficiaries or as consortia made up of several beneficiaries from the Member States.

1. Heading

09 04 77 06: Pilot Project — Digital skills: new professions, new educational methods, new jobs

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	320 000	p.m.	102 323	0,0 %	-68,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	320 000	p.m.	102 323	0,0 %	-68,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The overall objective is to engage and empower people to use technology with confidence and acquire digital skills that will make them more competitive in the modern labour market. In particular, the pilot project will take a two-fold approach:

- a horizontal approach by involving, in one or several working groups, experts from Member States, education, employment agencies, trade unions and business stakeholders (and their networks of partners) to reflect on and propose training solutions for digital skills for the labour force, which are effective and scalable.
- a vertical approach, working with training providers and other stakeholders to organise "pilot" training programmes for skilling and/or reskilling specific groups, such as workers and unemployed, to prepare them for digitisation.

Intentions for the current year 2018 and 2019:

Following the signature of the contract in late 2017, the project is being implemented. Desk research and interviews have started and workshops are being prepared in the two selected regions (Murcia/Spain and Lithuania). Training programmes will be designed and pilot trainings will be carried out in 2018, followed by the preparation of training blueprints. Several communication activities are foreseen to raise awareness about the project. Following the production of the training blueprints, they will be disseminated in 2019.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018 and 2019*

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	21 June 2016
Publication of call for tender	31 May 2017
Closing date of call for tender	28 July 2017
Award decision	13 December 2017
Pre-financing payment(s)	N/A
Stakeholder workshop	April 2018
Interim payment(s)	2018
Pilot trainings	May-June 2018
Blueprint drafts	November 2018
Blueprint dissemination	January 2019
Final payment(s)	February 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2016)3720 final was adopted on 21 June 2016 and sets out the main elements for the implementation of the pilot project. The call was published on 31 May 2017 with a press release available at the following address: <https://ec.europa.eu/digital-single-market/en/news/commission-launches-pilot-project-design-dedicated-digital-skills-training-programmes-small>. The Official Journal reference is 2017/S 103-204833 with a deadline 28 July 2017.

A project website is being launched (available at the following address: <https://makesmedigital.eu/>), which will be further enriched as the project advances.

- Do similar activities exist, which are already covered by a legal basis?

Several projects to support SMEs in the development and acquisition of digital skills have been carried out under the COSME programme.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Study on "Pilot Project on Digital Skills: new Professions, new Educational Methods, new Jobs."	BluSpecs Innovation S.L. (lead partner), UAB "Civitta" (partner) and, Universidad Politécnica de Cartagena (partner)	341 075

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Tender following an open call, evaluation closed in 2017.

5.6 *Types of beneficiaries*

Private entities.

1. Heading

09 04 77 07: Pilot Project — Developing the use of new technologies and digital tools in education

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	198 787,00	p.m.	160 000	p.m.	39 758	0,0 %	-75,2 %
Reserve							0,0 %	0,0 %
Total	0,00	198 787,00	p.m.	160 000	p.m.	39 758	0,0 %	-75,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The objective of this pilot project is to increase the use of existing technologies that can support teachers and parents in better engaging children struggling with attention and concentration problems in school.

In particular, the pilot is expected to:

- identify existing digital learning solutions that can support teachers in the classroom and parents at home with pupils having attention deficits and/or concentration problems;
- provide good practice examples where technology has been successfully used in daily classroom activities to help pupils with attention and concentration problems. This should result in those pupils being better integrated into the activities as well as having an impact on their concentration and learning outcomes;
- carry out and evaluate small scale pilots with teachers in real classroom settings, based on the identified technologies and good practices;
- provide a set of recommendations and roadmap for policy makers, educational institutions, teachers for making best use of available technologies in classroom settings.

Input from relevant stakeholders including teachers, researchers (e.g. child development experts), parents, relevant associations and industry, will be included.

A call for proposals was published in 2016.

Intentions for the current year 2018:

Following the evaluation of proposals, a grant agreement was signed in 2017, and the action started on 1 November 2017. It is expected to be implemented throughout 2018. A first review of the pilot project is expected at the end of 2018 or early 2019 to assess the progress made.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	15 September 2016
Publication of call for proposals	28 September 2016
Closing date of call for proposals	14 November 2016
Award decision	11 April 2017
Pre-financing payment(s)	27 December 2017
Interim payment(s)	First quarter 2019
Final payment(s)	First quarter 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2016)5772 adopted on 15 September 2016 sets out the main elements.

The call document is available at the following address: <https://ec.europa.eu/digital-single-market/en/news/call-proposals-pilot-project-developing-use-new-technologies-and-digital-tools-education>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
AHA - AdHd Augmented	- National University of Ireland, Dublin, University College Dublin; - HADDADHD Ireland; - Wordsworth Learning Limited; - Consiglio Nazionale Delle Ricerche	397 575

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented through a grant awarded following an internal evaluation process.

5.6 *Types of beneficiaries*

Legal entities established in EU Member States, including academia, NGOs, SMEs, and public research bodies.

1. Heading

09 04 77 10: Pilot Project — Framework of best practices to tackle child sexual abuse

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	400 000	p.m.	431 454	0,0 %	7,9 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	p.m.	400 000	p.m.	431 454	0,0 %	7,9 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The action is expected to create a framework of best practices, technical solutions and analysis of the legal environments in which Internet hotlines processing reports on child sexual abuse material (CSAM) online operate. The outcomes of the study will feed into recommendations on how hotlines and the network of hotlines can improve their capacity and capability to contribute to the effective removal of CSAM. A qualitative research will cover all EU Member States, identifying the challenges and obstacles faced by the hotlines tackling CSAM. The research will include a comprehensive and thorough analysis of the specific situation in each Member State and include the following:

- a description of how and on which legal basis the hotlines work with various stakeholders such as law enforcement, ministries and hosting Internet Service Providers;
- an assessment of the efficiency of the procedures put in place for removing and monitoring the content assessed as CSAM, at national level, on EU- and global cross-border level;
- an assessment of additional, namely pro-active actions which the hotlines could take;
- an assessment of the appropriateness of the technical infrastructure, including security measures.

Adoption of financing decision, publication of call for tender and award decision

Intentions for the current year 2018:

Award of contract, launch of project implementation, pre-financing and payment

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for tender	14 July 2017
Closing date of call for tender	25 September 2017
Award decision	01 March 2018
Pre-financing payment(s)	Second quarter 2018
Interim payment(s)	Second quarter 2019
Final payment(s)	Second quarter 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2017) 2755 of 28 April 2017 sets out the main elements.

The tender specifications set out the elements of the pilot action in detail, available at the following address:

<https://etendering.ted.europa.eu/document/document-file-download.html?docFileId=31996#>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No legislative follow-up is envisaged at this stage. Such action may be decided once the pilot project has concluded.

5.4 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Study on Framework of best practices to tackle child sexual abuse online	ICF S.A.	862 907

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Call for tender.

5.6 *Types of beneficiaries*

Any economic operator established in the EU Member States, including NGOs, SMEs, and public research bodies.

1. Heading

09 04 77 11: Pilot Project — Algorithm Awareness Building Initiative

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	600 000,00	0,00	300 000	570 000	p.m.	500 000	-100,0 %	-12,3 %
Reserve							0,0 %	0,0 %
Total	600 000,00	0,00	300 000	570 000	p.m.	500 000	-100,0 %	-12,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

In 2017, the main operational objective of launching the procurement process was executed and included: scoping of the project, preparation and launch of the call for tenders, evaluation of offers, internal and external stakeholder mapping and engagement through conferences and bilaterals.

Intentions for the current year 2018:

The study SMART 2017/0055, running through 2018 and partially 2019, will follow a three-fold objective:

- contribute to a wider, shared understanding of the role of algorithms in the context of online platforms, while raising wider public awareness and debate of emerging issues
- identify and delineate the types of problems and emerging issues raised by the use of algorithms, and establish an initial evidence-base for these problems
- design or prototype solutions for a selection of problems, including policy options, technical solutions and private sector and civil society-driven actions.

On this basis, the Commission will scope and launch a procurement procedure for further actions under the 2018 appropriations. Building on the first study launched under the pilot project, the second phase will in particular focus on expanding the policy tools and offer a series of prototypes and modelled approaches fit for informing policy decisions in the near- and longer-term.

The project is expected to be implemented through one or two contracts, pending an initial scoping phase of the specificities of the tasks at hand. In addition, relevant experts from academia, civil society or business sector, with expertise especially in the field of algorithmic decision-making, artificial intelligence, ethics, legal or policy analysis will be invited to take part in workshops and round-tables of relevance to the evidence-collection and engagement process.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for tender	15 July 2017
Closing date of call for tender	18 September 2017
Award decision	9 March 2018
Pre-financing payment(s)	No pre-financing foreseen
Interim payment(s)	August 2018
Final payment(s)	Fourth quarter 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	Second quarter 2018
Publication of call for proposals / call for tender	Second quarter / third quarter 2018
Closing date of call for proposals / call for tender	Third quarter 2018
Award decision	Fourth quarter 2018
Pre-financing payment(s)	No pre-financing foreseen
Interim payment(s)	Second quarter 2019
Final payment(s)	First quarter 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017) 2755 of 28 April 2017.

Call for tenders for SMART 2017/0055 is available at the following address: <https://ec.europa.eu/digital-single-market/en/news/call-tender-study-raise-awareness-about-algorithms>.

- Do similar activities exist, which are already covered by a legal basis?

Related to the topic of the pilot project, specific instances of algorithmic decision-making are regulated at Union level in the General Data Protection Regulation (EU) 2016/679 and in the Markets in Financial Instruments Directive 2014/65/EU.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The project is contributing to the Commission's policy approach to Artificial Intelligence and Online Platforms.

5.4 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Study to support the implementation of the Algorithmic Awareness Building project (SMART 2017/0055)	Matrix Insight Ltd (trading as Optimity Advisors)	570 000

– 2018 commitment appropriations

The call for tenders will be launched during the course of 2018.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Tenders.

5.6 *Types of beneficiaries*

Legal persons who are eligible to be awarded public procurement contracts under Union law.

1. Heading

09 04 77 15: Pilot Project — Application of web accessibility requirements in web-authoring tools and platforms by default (Web Access By Default)

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	600 000,00	0,00	p.m.	240 000	p.m.	60 000	0,0 %	-75,0 %
Reserve							0,0 %	0,0 %
Total	600 000,00	0,00	p.m.	240 000	p.m.	60 000	0,0 %	-75,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The overall objective of this pilot project is to encourage and support the adoption of the relevant accessibility requirements of the European Standard EN 301 549 v1.1.2 by awarding grants in order to incorporate features as the default option in authoring tools or platforms that would facilitate meeting the requirements of this European Standard.

Within the overall objective, the pilot project aims to

- support the development of authoring tools or platforms (new or re-designed) that help authors produce accessible web content by including features to meet the accessibility requirements ("web access by default");
- stimulate the market, create supply and enhance competition for web authoring tools and platforms with accessibility features;
- provide good practice and help the dissemination of web-accessibility solutions incorporated in web-authoring tools and platforms;
- support Member States to hire users to test web-authoring tools;

- facilitate compliance with the accessibility requirements set out in the Web Accessibility Directive with all of the above.

Intentions for the current year 2018:

The intention is to contract the pilot project in the second quarter of 2018 for a duration of 18 months.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for proposals	14 August 2017
Closing date of call for proposals	28 September 2017
Award decision	15 February 2018
Pre-financing payment(s)	Second quarter 2018
Interim payment(s)	Second quarter 2019
Final payment(s)	January 2021

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017) 2755 adopted on 28 April 2017 sets out the main elements for the implementation of the pilot project

The call for proposals is available at the following address:
<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/pppa/topics/ppwa-2017-ag.html> .

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

- 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
WE4AUTHORS	Funka Nu AB Fundacion CTIC Centro Tecnológico para el Desarrollo en Asturias de las Tecnologías de la Información	149 719,75

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented through a grant awarded following an internal evaluation process.

5.6 *Types of beneficiaries*

Legal entities established in EU Member States, including academia, NGOs, SMEs, and public research bodies.

1. Heading

09 04 77 19: Pilot Project — European startups and scaleups ecosystem graph

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The objectives of the pilot project will be to ensure a coherent, coordinated and sustainable approach, to ensure, develop and enhance better engagement of all relevant stakeholders interested in the mapping the European startup ecosystems based on socio-economic data gathered through effective and reliable data collecting and processing methods and to inform, prepare and help them develop projects designed to face the new transformations.

It is intended to launch and evaluate the call for proposals during 2018. The action will be implemented for the most part in 2019.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018-2020 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for proposals / call for tender	May-June 2018
Closing date of call for proposals / call for	September 2018

tender	
Award decision	November 2018
Pre-financing payment(s)	January 2019
Interim payment(s)	January 2020
Final payment(s)	December 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The 2018 Commission Financing Decision, expected to be adopted in May 2018, will set out the main elements for the implementation of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of this pilot project, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Whereas the Commission had initially intended to implement the action through a call for tenders, on further reflection it considers that the pilot will attract both more and higher quality proposals for grants from the start-up community and thus better achieve the pilot project's goals, than would be the case were the Commission to initiate a call for tenders..

5.6 *Types of beneficiaries*

Legal entities established in EU Member States

1. Heading

09 04 77 21: Pilot Project — European ecosystem of distributed ledger technologies for social and public good

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	420 000	-100,0 %	-16,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	420 000	-100,0 %	-16,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The intention is to implement the pilot project through two separate actions:

- one administrative arrangement of EUR 300 000 with the Joint Research Centre (JRC), which will map the community of researchers on Distributed Ledger Technologies (DLTs);
- one grant agreement - Call for proposals for a maximum of EUR 700 000, which will support the development of a European ecosystem of companies and other organisations working on DLTs for the social and public good, through an open challenge which will select up to 10 DLT projects or innovations.

Both actions will be for a duration of 18 months.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Signature of Administrative Arrangement JRC	June/July 2018
Pre-financing payment JRC	June/July 2018
Publication of call for proposals	Third quarter 2018
Closing date of call for proposals	Fourth quarter 2018
Award decision	Fourth quarter 2018
Pre-financing payment	December 2018
Interim payment	Third quarter 2019
Final payment	Second quarter 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The 2018 Commission Financing Decision, expected to be adopted in May 2018, will set out the main elements for the implementation of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of this pilot project, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project will be implemented through one Administrative Arrangement and one Grant Agreement following a call for proposals. The duration of both contracts is not expected to exceed 18 months.

5.6 *Types of beneficiaries*

The beneficiary of the Administrative Arrangement, as indicated in the original proposals for pilot project, will be the JRC.

The call for proposals will be open to research and technology organisations (including academia), private companies, associations, other non-profit groupings, public bodies, and any combinations of these.

1. Heading

10 02 77 02: Pilot Project — Organisation of large-scale events "Science meets Parliaments and Regions"

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

In order to promote a culture of evidence-informed policy-making, it is important that EU policy-makers have a regular exchange with scientists allowing them to better understand scientists' views on policy issues and vice versa. This is the aim of the initiative "Science meets Parliaments and Regions" that the Joint Research Centre, with the support of the European Parliament, would like to reinforce through the organisation of large-scale events intended to bring together Members of the European and National/Regional Parliaments with scientific experts from European scientific organisations. This pilot project would enable the organisation of the event in several Member States and reach out to new stakeholder in order to build the bridge between policy-makers, scientists and citizens. Science is now at the heart of political and societal debates in EU Member States. This initiative will be implemented in the course of 2018 and 2019.

Following adoption of the financing decision, a call for expression of interest will be launched in view of the organisation of regional "science meets parliament" events by local/regional authorities across the EU, which are expected to start in the third quarter 2018. In parallel, the other lines of action (financing of studies relevant to the events that are organised, and development of an educational package and workshops for scientists, policy makers and journalists) will be pursued.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	April 2018
ACTION 1.1: Launch event for pairing regions that share similar priorities	
Publication of call for proposals / call for tender	N/A (existing Framework contract)
Closing date of call for proposals / call for tender	N/A
Award decision	September 2018 (signature of specific contract under existing framework contract)
Pre-financing payment(s)	N/A
Interim payment(s)	N/A
Final payment(s)	October 2018
ACTION 1.2: Science meets Regions and Science meets Parliaments in EU Member States	
Publication of call for expression of interest	May 2018
Closing date of call for expression of interest	June 2019
Award decision	Multiple awarding decisions from third quarter 2018 to third quarter 2019
Pre-financing payment(s)	Multiple pre-financing payments from third quarter 2018 to third quarter 2019
Interim payment(s)	N/A
Final payment(s)	Multiple final payments from third quarter 2018 to fourth quarter 2019
ACTION 1.3: Science meets Parliaments in an EU Associated Country	
Publication of call for proposals / call for tender	N/A (existing Framework contract)
Closing date of call for proposals / call for tender	N/A
Award decision	June 2019 (signature of specific contract under existing framework contract)
Pre-financing payment(s)	N/A
Interim payment(s)	N/A
Final payment(s)	September 2019
ACTION 2: Provide data and scientific evidence to support national and regional events	
Publication of call for proposals / call for tender	N/A (low value contracts)
Closing date of call for proposals / call for tender	N/A
Award decision	Multiple awarding decisions from third quarter 2018 to third quarter 2019
Pre-financing payment(s)	Multiple pre-financing payments from third quarter 2018 to third quarter 2019
Interim payment(s)	N/A
Final payment(s)	Multiple final payments from third quarter 2018 to fourth quarter 2019
ACTION 3.1: Full educational package for policy-makers on evidence-informed policy making	
Publication of call for proposals / call for tender	Second quarter 2018
Closing date of call for proposals / call for tender	Third quarter 2018
Award decision	Fourth quarter 2018

Pre-financing payment(s)	First quarter 2019
Interim payment(s)	N/A
Final payment(s)	Second quarter 2019
ACTION 3.2: Developing the science-policy nexus	
Publication of call for proposals / call for tender	N/A (existing Framework contract)
Closing date of call for proposals / call for tender	N/A
Award decision	One in third quarter 2018 and another in second quarter 2019
Pre-financing payment(s)	One in third quarter 2018 and another in second quarter 2019
Interim payment(s)	N/A
Final payment(s)	One in fourth quarter 2018 and another in third quarter 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision, expected to be adopted in April 2018, will set out the main elements for the implementation of the pilot project.

The call for expression of interest will be published on the JRC website.

- Do similar activities exist, which are already covered by a legal basis?

Two preliminary activities were launched in the past. The initiative Science meets Parliaments was launched in 2015 and Science meets Regions in 2016. An overview of the 2017 edition of the scheme and its closing event in Brussels is available at the following address: <https://ec.europa.eu/jrc/en/news/science-meets-parliaments-role-science-post-fact-society>

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of this pilot project, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

All the actions will be carried out via procurement (tenders/negotiated procedures), combined in some cases with fee-paid experts (training and awareness raising action). The evaluation will be carried out on the basis of the criteria listed in the call for expression of interest.

5.6 *Types of beneficiaries*

The main beneficiaries will be:

- regional authorities in the member states (for organisation of events);
- regional research institutes and universities (for the studies);
- external contractors (for the training and awareness raising action).

1. Heading

12 02 77 06: Pilot Project — Horizontal Task Force on Distributed Ledger Technology and government use care

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	850 000,00	205 877,98	500 000	675 000	p.m.	593 000	-100,0 %	-12,1 %
Reserve							0,0 %	0,0 %
Total	850 000,00	205 877,98	500 000	675 000	p.m.	593 000	-100,0 %	-12,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

The pilot project aimed at setting up a task force of regulatory and technical experts in order to build up technical expertise and regulator capacity and develop use cases, especially for governmental applications, in the field of distributed ledger technology (DLT), as proposed in the European Parliament resolution on virtual currencies (T8-0228/2016).

Intentions for the current year 2018:

The objective of this pilot project is to continue the work of the Task Force on Distributed Ledger Technology to build up technical expertise and regulator capacity and develop use cases, especially for governmental applications, in the field of distributed ledger technology (DLT), as proposed in the European Parliament resolution on virtual currencies (T8-0228/2016). One specific use case that should be explored is the potential of DLT-based solutions in managing the situation of refugees.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
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Step	Date
Adoption of financing decision	May 2017
Publication of call(s) for tender	NA – Framework Contract
Closing date of call(s) for tender	N/A
Award decision	N/A
Pre-financing payment(s)	N/A
Interim payment(s)	December 2017
Final payment(s)	March 2018

– 2018 commitment appropriations

Step**	Date
Adoption of financing decision	Second quarter 2018
Publication of call for proposals / call for tender	Third quarter 2018
Closing date of call for proposals / call for tender	Third quarter 2018
Award decision	Fourth quarter 2018
Pre-financing payment(s)	N/A
Interim payment(s)	Fourth quarter 2018
Final payment(s)	2019

***The actual implementation modalities may also imply other delivery methods without using the assistance of external contractors.*

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For 2017 commitment appropriations: The Commission Financing Decision C(2017)3354, adopted on 24 May 2017 sets out the main elements for the implementation of the pilot project.

Call documents related to the framework contract are available at the following address: <http://ted.europa.eu/udl?uri=TED:NOTICE:79580-2014:TEXT:EN:HTML>.

For 2018 commitment appropriations: The Commission Financing Decision, expected to be adopted in April-May 2018, will set out the main elements for the implementation of the pilot project. Further details will be available upon publication of the call(s) for tender.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
FEASIBILITY STUDY ON DISTRIBUTED LEDGER	Consortium PWC	277 795

TECHNOLOGY (DLT) FOR THE EUROPEAN FINANCIAL TRANSPARENCY GATEWAY (EFTG)		
EU Blockchain Observatory and Forum	ConsenSys	497 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Tenders.

5.6 *Types of beneficiaries*

External contractors to be selected through procurement procedures.

1. Heading

12 02 77 07: Pilot Project — Creating a true Banking Union - Research into differences in bank-related laws and regulations in Eurozone countries and the need to harmonise them in a Banking Union

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

Over the last years, a lot of progress has been made on the creation of a completed Banking Union based on a single rulebook and composed on three pillars: a single supervisor, a single resolution mechanism and a European Deposit Insurance Scheme.

The research to be conducted under this pilot project will help contribute to the finalisation of certain parts of the Banking Union, assess the implementation of the current framework and the lack of harmonisation or EU-wide solutions in certain areas. Combined, these actions will contribute to further reflections on a 'true' Banking Union.

This pilot project reflects the Commission's response to the List of Draft Proposals for pilot projects and Preparatory Actions in the 2018 Budget, and, bearing in mind the existing advanced system of reviews in place to track integration, proposes a number of different studies on dedicated areas where it is felt that these could provide valuable added input to the Banking Union.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	Second quarter 2018
Publication of call for proposals / call for tender	Second quarter 2018
Closing date of call for proposals / call for tender	Second quarter 2018
Award decision	Second quarter 2018
Pre-financing payment(s)	N/A
Interim payment(s)	Fourth quarter 2018
Final payment(s)	2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision, expected to be adopted in April-May 2018, will set out the main elements for the implementation of the pilot project. Further details will be available upon publication of the call(s) for tender.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of the pilot project, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Tenders.

5.6 *Types of beneficiaries*

External contractors to be selected through procurement procedures and administrative arrangements with the Joint Research Centre (JRC).

1. Heading

12 02 77 08: Pilot Project — European fund for crowdfunded investments

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		400 000	200 000	p.m.	200 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		400 000	200 000	p.m.	200 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The pilot project focuses, alternatively, either on crowdfunding in general or on the specific topic of best practices in supply chain finance (e.g. invoices trading). As announced in the Communication from the Commission on the Mid-Term Review of the Capital Markets Union Action Plan (SWD(2017) 224 final), the Commission is assessing ways to support the market for supply chain finance through the identification of best practices and is expected to publish a report (expected in 2019).

Many entrepreneurs, start-ups and small businesses fail because of short-term cash flow problems, whilst having a viable business model that could become profitable in the medium-term. Supply chain finance, often enabled through FinTech platforms, can free up working capital for entrepreneurs, start-ups and other small enterprises that do not have easy access to short-term credit and offers an alternative to bank overdrafts.

Supply chain finance improves cash flows by allowing businesses to lengthen their payment terms to their suppliers while providing the option for the suppliers to get paid early. When engaging with supply chain finance, suppliers sell their invoices or receivables at a discount to a financial service provider. In return, the suppliers get faster access to the money they are owed, enabling them to use it for working capital, while buyers generally get more time to pay. Instead of relying on the creditworthiness of the supplier, the financial service provider deals with the buyer – usually a less risky prospect.

Supply chain finance uses the credit standing of the buyer to improve the working capital position of the supplier. It is most commonly implemented in supply chains that feature a large, financially strong company that buys from several small, financially less strong suppliers, such as SMEs and innovative start-ups.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	Second quarter 2018
Publication of call for proposals / call for tender	Second quarter 2018
Closing date of call for proposals / call for tender	Second quarter 2018
Award decision	Second/third quarter 2018
Pre-financing payment(s)	N/A
Interim payment(s)	Fourth quarter 2018
Final payment(s)	2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision, expected to be adopted in April-May 2018, will set out the main elements for the implementation of the pilot project. Further details will be available upon publication of the call(s) for tender.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

As 2018 is the first year of implementation of the pilot project, these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

Tenders.

5.6 Types of beneficiaries

External contractors to be selected through procurement procedures.

1. Heading

15 02 77 17: Pilot Project — Altiero Spinelli Prize for Outreach

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	750 000,00	39 375,00	300 000	525 000	p.m.	200 000	-100,0 %	-61,9 %
Reserve							0,0 %	0,0 %
Total	750 000,00	39 375,00	300 000	525 000	p.m.	200 000	-100,0 %	-61,9 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

The "Altiero Spinelli Prize for Outreach" is a pilot project initiated by the European Parliament in 2017.

The "Altiero Spinelli Prizes for Outreach" (ASP) encourages, rewards and provides European level recognition and visibility to high quality contributions to:

- promoting knowledge of the EU and critical reflection on the past, present and future of the EU to non-specialist audiences and to the general public.
- enhancing understanding by citizens of the values, objectives and benefits of the European integration process, of the enormous accomplishments of the EU but also of failures, contradictions and dilemmas.
- proposing, testing and assessing innovative approaches and materials that European and national policy makers, practitioners, civil society organisations and institutions in various fields can use to better inform, educate, inspire and empower citizens to develop positive and positively critical European identities and sense of belonging to the EU.
- exposing anti-EU populist myths on various aspects of the European integration process, including extremist rhetoric based on intolerance and misinformation about the legitimacy, competencies and actual work of the EU.

The Prizes are not intended to reward research as such. Rather, the Prizes will be awarded for outstanding work that is informed by and effectively communicates knowledge and insights emerging from research on the processes of European Integration. For example through publications, the media, newspapers, films, documentaries, or other products or means as well as through institutional initiatives.

Prizes will be awarded to attractive, user-friendly, evidence-based and myth-busting work that achieves the objectives set above and that is suitable for outreach to a variety of lay, non-academic and non-specialist audiences.

The 2017 edition attracted 279 applications (and more than 500 expressions of interest). With a budget of EUR 750 000, it is awarding 22 Prizes.

Intentions for the current year 2018:

The "Altiero Spinelli Prize for Outreach" is a pilot project initiated by the European Parliament. 2018 is its second year of implementation. The 2018 edition of the contest is inviting applications from younger applicants. To mark this shift of focus, the 2018 edition of the Prize will be addressed to applicants that are born on or after 01 January 1980.

The Prize aims to reward outstanding contributions that communicate the EU (its founding values, history, action, benefits, etc.) to the citizens, enhance the citizens' understanding of the EU, broaden the ownership of the European project and build trust in the EU.

The Prize is not intended to reward research as such. Rather, it will be awarded to outstanding work that is informed by and effectively communicates knowledge and insights emerging from research on the processes of European Integration. For example through publications, media productions, audio-visual works, newspapers, films, documentaries, exhibitions/events, TV series or programmes, radio programmes, information/communication campaigns, works of fiction, MOOCs, fact-checking initiatives or other "products" or means or emblematic initiatives or combinations/families of such works.

Prizes will be awarded to attractive, user-friendly, evidence-based and myth-busting work that achieves the objectives mentioned above and that is suitable for outreach to a variety of lay, non-academic and non-specialist audiences. The main target of the works proposed for the Prize should be the ordinary citizen.

Like in its first year, this pilot project will be implemented in 2018 by way of prizes in accordance with the provisions of Part I – Title VII of the Financial Regulation. The Prizes will be awarded through a contest that will be published in spring 2018. The 2018 contest itself and the successful proposals will be advertised through many channels, including the Jean Monnet community of academics.

The Rules of Contest for the 2018 edition will be established and published by the Commission which will manage the contest and will award the Prizes based on the assessment carried out by a jury of external independent evaluators appointed by the Commission. The relevant Rules of Contest will lay down the detailed conditions for participation, the deadlines, the award criteria, the arrangements for the payments to winners, etc.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	June 2017
Publication of the contest	June 2017

Deadline for applications	October 2017
Evaluation of the proposals received	November 2017
Award decision	January 2018
Award ceremony	May 2018
Interim payment(s)	N/A
Final payment(s)	2018

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	March 2018
Publication of the contest	May 2018
Deadline for applications	September 2018
Award decision	November 2018
Award ceremony	First quarter 2019
Interim payment(s)	N/A
Final payment(s)	2019

5.3 Documentation and expected results

Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017) 3847 of 9 June 2017 and open call (EAC/20/2017), available at the following address: https://ec.europa.eu/education/calls/altiero-spinelli-prize-contest-2017_en, as well as Commission Financing Decision for 2018, C(2018)1602 of 21 March 2018, set out the main elements for the implementation of the pilot project.

Expected results:

The "Altiero Spinelli Prize for Outreach 2018" will encourage, reward and provide European level recognition and visibility to high quality contributions to:

- promoting knowledge of the EU and critical reflection on the past, present and future of the EU to non-specialist audiences and to the general public;
- enhancing understanding by citizens of the values, objectives and benefits of the European integration process, of the enormous accomplishments of the EU but also of failures, contradictions and dilemmas;
- proposing, testing and assessing innovative approaches and materials that European and national policy makers, practitioners, civil society organisations and institutions in various fields can use to better inform, educate, inspire and empower citizens to develop positive and positively critical European identities and sense of belonging to the EU;
- exposing anti-EU populist myths on various aspects of the European integration process, including extremist rhetoric based on intolerance and misinformation about the legitimacy, competencies and actual work of the EU.

The Prizes are not intended to reward research as such. Rather, the Prizes will be awarded for outstanding work that is informed by and effectively communicates knowledge and insights emerging from research on the processes of European Integration. For example through publications, media productions, audio-visual works, newspapers, films, documentaries, exhibitions/events, TV series or programmes, radio programmes,

information/communication campaigns, works of fiction, MOOCs, fact-checking initiatives or other "products" or means or emblematic initiatives or combinations/families of such works.

Prizes will be awarded to attractive, user-friendly, evidence-based and myth-busting work that achieves the objectives set above and that is suitable for outreach to a variety of lay, non-academic and non-specialist audiences. The main target of the works proposed for the Prize must be the ordinary citizen.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
- ASSESSMENT OF THE APPLICATIONS OF THE "ALTIERO SPINELLI PRIZE FOR OUTREACH: SPREADING KNOWLEDGE ABOUT EUROPE"	36 external, independent experts appointed by the Commission	81 000
- AWARD CEREMONY ALTIERO SPINELLI PRIZE FOR OUTREACH: SPREADING KNOWLEDGE ABOUT EUROPE	American Express Meetings and Events (travel tickets for the winners to come to the Award Ceremony)	19 000
- ALTIERO SPINELLI PRIZE FOR OUTREACH: SPREADING KNOWLEDGE ABOUT EUROPE	Prize winners	650 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Like in its first year, this pilot project will be implemented in 2018 by way of prizes in accordance with the provisions of Part I – Title VII of the Financial Regulation.

The Prizes will be awarded through a contest that will be published in spring 2018. The 2018 contest itself and the successful proposals will be advertised through many channels, including the Jean Monnet community of academics.

The Rules of Contest for the 2018 edition will be established and published by the Commission which will manage the contest and will award the Prizes based on the assessment carried out by a jury of external independent evaluators appointed by the Commission.

The budget available in 2018 for this activity is: EUR 300 000, including the following estimated amounts:

EUR 125 000 for the Prizes (5 x 25,000);

EUR 100 000 for the evaluation of the applications;

EUR 75 000 for the award ceremony and for communication activities.

In 2018 the action will award up to five prizes of EUR 25 000 each. A Framework Contract for the organisation of events will be used for the Award Ceremony.

5.6 *Types of beneficiaries*

In 2017, the contest was open to individuals (natural persons) or teams of individuals who had at least a Masters Degree and who at the time of application were affiliated to an academic/research institution, organisation, civil society organisation, company or other type of legal entity established and based in an EU Member State. Eligible applicants included individuals in one of the following situations: (Master graduates / Doctoral candidates, PhD holders / Post-doctoral researchers).

In 2018, the Contest will welcome applications from younger applicants. It will be open to private individuals (natural persons) or to teams of individuals. To be eligible, applicants must be EU citizens born on or after 01 January 1980.

Winners of the 2017 Altiero Spinelli Prize for Outreach cannot apply for the 2018 edition with the same product. Other applicants (not winners) of the 2017 edition can apply again in 2018, ideally with a significantly different "product" than the one they proposed in 2017.

1. Heading

32 02 77 08: Pilot Project — Fuel/energy poverty — Assessment of the impact of the crisis and review of existing and possible new measures in the Member States

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	284 816,35	p.m.	203 000	p.m.	203 441	0,0 %	0,2 %
Reserve							0,0 %	0,0 %
Total	0,00	284 816,35	p.m.	203 000	p.m.	203 441	0,0 %	0,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

Objectives followed during 2017 and previous years' implementation

The objective of this pilot project is to identify common indicators and criteria for assessing energy poverty and vulnerability and based on that to collect comparable information (e.g. through an observatory) mapping the energy poverty and actions to tackle it in Europe.

The project is divided into two parts:

- A study for a max. of EUR 169 200 (under a specific contract under a framework contract);
- The establishment of an EU energy poverty observatory for max. EUR 830 000 (open call for tender).

The study (first part) has been successfully completed in 2016.

The call for tender for the second part was published in 2016 and a contract with the successful tenderer was signed on 30 November 2016 with duration of 40 months.

The second part of the project aims at gathering data on energy poverty from all EU Member States and creates a web based platform to present the data and to share best practices on measures to fight energy poverty. The amount for setting up the platform and operating it until the project ends in March 2020 is EUR 813 761,00. The first and second interim payments made in 2017 were for a total amount of EUR 284 816,35.

Intentions for the current year 2018

A third interim payment is foreseen in August 2018.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2015 commitment appropriations

Step	Date
Adoption of the Commission Financing Decision	16 June 2015
First stage – study (finalised in June 2016)	
Invitation to tender under an existing framework contract	10 September 2015
Signature of the contract	01 December 2015
Final report of first stage	20 May 2016
Final Payment (first stage)	June 2016
Second stage – energy poverty observatory	
Open call for tenders, publication	25 May 2016
Deadline for submission of tenders	01 August 2016
Signature of the contract	30 November 2016
First interim payment (inception report)	June 2017
Second interim payment (First interim report)	December 2017
Third interim payment (Second interim report)	August 2018
Fourth interim payment (Third interim report)	June 2019
Final payment	April 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Decision C(2015)4041 of 16 June 2015 sets out the main elements of this pilot project, available at <http://ec.europa.eu/transparency/regdoc/index.cfm?fuseaction=search>.

The first study Selecting Indicators to Measure Energy Poverty has been published in May 2016, available at the following address: <https://ec.europa.eu/energy/en/studies/selecting-indicators-measure-energy-poverty>.

The open call for tender (ENER/B3/2015/507-2) EU Observatory on Energy Poverty was published in eTendering on 25 May 2016, available at the following address: <https://etendering.ted.europa.eu/cft/cft-display.html?cftId=1521>; contract award notice: OJ S : 2016/S 243-442712.

The web-based platform of the EU Energy Poverty Observatory is operational as of January 2018, available at the following address: <https://www.energypoverty.eu/>.

The EU Energy Poverty Observatory was officially launched on 29 January 2018.

More information is available at the following address: <https://ec.europa.eu/energy/en/events/launch-eu-energy-poverty-observatory-epov>.

- Do similar activities exist, which are already covered by a legal basis?

The findings of this pilot build on the results from several Commission studies (e.g. DG JUST - Consumer vulnerability across key markets in the European Union) on closely related topics. Further, the Commission (DG ENER) conducted two INSIGHT-E projects:

- study on "Energy poverty and vulnerable consumers in the energy sector: analysis of policies and measures"; the final report was published in May 2015, available at the following address:
<https://ec.europa.eu/energy/en/studies/energy-poverty-and-vulnerable-consumers-energy-sector-across-eu-analysis-policies>
- study on "Measures to protect vulnerable consumers in the energy sector: an assessment of disconnection safeguards, social tariffs and financial transfers"; the final report was published in February 2017, available at <http://www.buildup.eu/en/practices/publications/measures-protect-vulnerable-consumers-energy-sector-0>.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The pilot project is set up to support Member States in monitoring energy poverty. Such monitoring obligation is already proposed by the Commission under the recast of the directive on common rules for the internal market in electricity COM(2016)864 final presented in November 2016.

5.4 Type of activities financed, number and amounts

– 2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
"Selecting Indicators to Measure Energy Poverty" / Study contract under FWC ENER/A4/516/2014	TRINOMICS B.V.	169 200
EU Observatory on Energy Poverty – service contract ENER/B3/2015/507-2	The University of Manchester (UK), in consortium with Ecofys Germany GmbH (DE) European Policy Centre (BE) Intrasoft International (LU) National Energy Action (UK) Wuppertal Institut für Klima, Umwelt, Energie GmbH (DE)	813 761

5.5 Method of implementation (tenders, grants, other) and evaluations

The first part of the project has been implemented through a service contract No ENER/B3/SER/2015-507/SI2.718653 signed under Implementing Framework contract No ENER/A4/516/2014.

The second part of the project is implemented through a service contract (ENER/B3/2015/507-2) signed after an open call for tender.

5.6 Types of beneficiaries

Consultants and academia.

1. Heading

32 02 77 10: Pilot Project — Fighting Energy Poverty in the Ionian-Adriatic Macro-Region

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	500 000	p.m.	500 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	p.m.	500 000	p.m.	500 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2017 budget.

Objectives followed during 2017 and previous years' implementation

This pilot project proposes the promotion of energy efficiency in the Ionian-Adriatic Macro-Region and will aim to reduce energy consumption and tackle energy poverty as well as reduce greenhouse gas emissions through the funding of regional/local initiatives supporting energy renovation of public or private residential buildings with the possibility of using renewable energy, of which the Macro-Region has a huge potential.

The proposal is to have a study that would focus on the islands in the Ionian-Adriatic Macro region which are big enough to have a relevant amount of residential inhabitants and do not get alive only in summer time for tourism (but have the need to deal with higher energy demand in high touristic season). The focus on islands is interesting for the fact that many of these islands are not connected to the grid of the main land and generate energy with local inefficient old technologies. Tackling energy poverty and reducing energy consumption can happen in these places not only with retrofitting of buildings but also with retrofitting of the technology to generate and distribute energy.

The results of the project will be assessed in a regional level in order to structure efficient use of structural funds and additional funding in order to promote larger scale energy efficiency programs deployed by national or regional authorities.

The pilot project will be implemented by an open call for tender.

Intentions for the current year 2018

February - Evaluation Committee Meeting (06 February 2018)

April – Send out letters to successful and unsuccessful offers

April – Project kick-off meeting

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	10 July 2017
Publication of call for tender	24 August 2017
Closing date of call for tender	04 October 2017
Pre-financing payment	May 2018
Interim payment	October 2018
Final payment / final report	Mid 2019
Dissemination event/Work Shop	Mid 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017)4673 of 10 July 2017 on the financing in 2017 of one new preparatory action and one new pilot project in the field of energy sets out the main elements of this pilot project, available at <http://ec.europa.eu/transparency/regdoc/index.cfm?fuseaction=search>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

Information is not yet available as the tenders are currently under evaluation

5.5 Method of implementation (tenders, grants, other) and evaluations

Tender service contract.

5.6 Types of beneficiaries

Consulting firms, academia, innovation companies and energy companies.

1. Heading

32 02 77 11: Pilot Project — Feasibility study for the distributed ledger technology applied to the European energy market

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		400 000	200 000	p.m.	200 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		400 000	200 000	p.m.	200 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

This pilot project (PP) is in line with the proposal for the new Electricity Directive adopted on November 30 2016 which contains provisions on local energy communities (draft Art.16). These communities are a new type of market actor, which would be one of the principle users of distributed ledger based on block-chain technology and other peer-to-peer ICT solutions.

The PP can involve different analyses, information events, setting up network for stakeholders. The PP could be implemented by setting up a platform of best practices and technical advice, based on the experience and needs of the new actors in the energy market in order to stimulate the development of technical standards for distributed ledgers.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	1 March 2018

Publication of call for proposals / call for tender	Second quarter 2018
Closing date of call for proposals / call for tender	Third quarter 2018
Award decision	Third quarter 2018
Pre-financing payment(s)	Fourth quarter 2018
Interim payment(s)	2019
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2018)1179 of 1 March 2018 to finance in 2018 one new pilot project and two new preparatory actions in the field of energy sets out the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation, this information is not available yet.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The Commission intends to implement this pilot project by means of a study awarded through a direct contract.

5.6 *Types of beneficiaries*

Study service providers.

Heading 1b - Economic, Social and Territorial Cohesion

1. Heading

09 04 77 16: Pilot Project — European platform on vulnerable people in the Information Society: mapping best practices and socio-economic impact for the empowerment of vulnerable communities through information and communication technologies (ICTs)

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	750 000,00	0,00	p.m.	300 000,00	p.m.	300 000,00	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	750 000,00	0,00	p.m.	300 000,00	p.m.	300 000,00	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The overall objective is to develop an interactive online map of Europe and a catalogue of existing best practices that take place on a local, regional or national level to better integrate vulnerable/disadvantaged groups in the digital society across the 28 EU member states and also identifies areas where no initiatives exist to tackle digital exclusion and therefore vulnerable people are more at risk of exclusion. It is expected that the action will help different stakeholders to become aware of what has already been developed and implemented and use the experience of the existing tools at local/national and European levels.

This initiative will allow stakeholders to avoid duplication of efforts, and to use and consolidate existing knowledge and experience in order to better integrate vulnerable communities in Europe and to reinforce the information exchange among different stakeholders from public, private and civil society sectors.

A call for proposals was published in 2017.

Intentions for the current year 2018:

Following the evaluation of proposals received, an award decision, and the subsequent grant agreement, is expected to be signed in 2018.

As the action will start in 2018, preliminary results and outcomes will only be known in 2019.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for proposals	12 July 2017
Closing date of call for proposals	25 September 2017
Award decision	21 March 2018
Pre-financing payment(s)	Second quarter 2018
Interim payment(s)	2019
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)2755 adopted on 28 April 2017 sets out the main elements of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As the grant agreement has not yet been concluded, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented through a grant awarded following an internal evaluation process.

5.6 *Types of beneficiaries*

The call is open to legal entities established in EU Member States.

1. Heading

09 04 77 17: Pilot Project — Start This Up! Start-up-based ecosystem (connecting universities, entrepreneurs and a start-up hub in Western Pomerania) harnessing regional potential away from central cities in Poland

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	736 147,12	0,00	p.m.	500 000	p.m.	368 074	0,0 %	-26,4 %
Reserve							0,0 %	0,0 %
Total	736 147,12	0,00	p.m.	500 000	p.m.	368 074	0,0 %	-26,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The overall objective of this pilot project is to change a still unfavourable growth of the start-up market in Poland which is still concentrated around the main cities. The pilot project will start to build the ecosystem in the region of Western Pomerania, corresponding to three basic needs of the market: sharing knowledge, new ideas and know-how in order to build a start-up scheme.

Intentions for the current year 2018:

Following the call for proposals, the WEP UP proposal has been selected. The coordinator ZARR (West Pomerania Regional Development Agency) together with accelerators, investors and corporates will bring up to 200 young and more mature start-ups from Poland Western Pomerania to visit and establish networks with the start-up ecosystems in Italy, Germany and Estonia. The project will further assist a number of start-ups to seek scale-up funding on the Swedish financial market and proposes to set up a cross-border funding instrument for start-ups where Germany, Italy and Poland would be equal partners.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of Financing Decision	April 2017
Publication of call for proposals	July 2017
Closing date of call for proposals	September 2017
Award decision	November 2017
Pre-financing payment	December 2017
Final payment	February 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)2755, adopted on 28 April 2017, sets out the main elements for the implementation of the pilot project. The call for proposals is available at the following address: <https://ec.europa.eu/digital-single-market/en/news/pilot-project-start>

– Do similar activities exist, which are already covered by a legal basis?

No.

– At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No legislative follow-up is envisaged at this stage

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
WEP UP	ZARR S.A. - Zachodniopomorska Agencja Rozwoju Regionalnego S.A.	736 147,12 -

5.5 Method of implementation (tenders, grants, other) and evaluations

Grant following a call for proposals and internal evaluation.

5.6 Types of beneficiaries

Regional Development Agency, accelerator, entrepreneurship support agency.

1. Heading

13 03 77 21: Pilot Project — European Strategy for the Adriatic and Ionian Region (EUSAIR): generation and preparation of initiatives and projects with genuine added value for the region as a whole

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 300 000,00	0,00	1 300 000	1 300 000	p.m.	650 000	-100,0 %	-50,0 %
Reserve							0,0 %	0,0 %
Total	1 300 000,00	0,00	1 300 000	1 300 000	p.m.	650 000	-100,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2017 and 2018 budgets.

The European Strategy for the Adriatic and Ionian Region was proposed by the European Commission in June 2014 (COM(2014) 357), and endorsed by the Council in the second semester of 2014 (General Affairs Council 29 September 2014 and European Council 23-24 October 2014).

The pilot project is intended to provide EUSAIR key implementers (national and pillar coordinators, steering group members) and stakeholders with a wide range of support required for the effective implementation of the strategy.

Support domains should include: multi-level governance; capacity building of key implementers; awareness-raising initiatives, conferences, seminars, cultural events and/or training programmes for stakeholders and citizens in the region; generation, preparation and implementation of initiatives and projects with a genuine macro-regional value.

Objectives followed during 2017 and previous years' implementation:

For the 2017 amount, grant agreements still need to be prepared for the EUR 500 000 of assistance to participating candidate/potential candidate countries (Albania, Bosnia and Herzegovina, Montenegro, Serbia), and for the EUR 800 000 planned for the AI-NURECC project.

The AI-NURECC is a joint initiative of the key stakeholders of the Adriatic Ionian regions aiming at supporting the EUSAIR strategy implementation, ensuring deep territorial coverage at regional and local level. It will be coordinated by the CPMR (Conference of Peripheral Maritime Regions of Europe) The AI-NURECC will bring together Regions, Cities, Chambers of Commerce and Universities, and the aim is to collaborate with and involve other key Adriatic and Ionian networks that have been working in topics in line with the thematic pillars of the EUSAIR action plan (e.g. blue growth, connectivity – transport and energy networks, environmental quality, sustainable tourism and cross-cutting issues such as capacity-building, SME development and RI).

Intentions for the current year 2018:

In the second year of the pilot project the Commission will continue activities already initiated under the 2017 budget but will also support new initiatives in line with the objectives of the pilot project.

The 2018 appropriations will complement the support to candidate/potential candidate countries participating in the EUSAIR, provided by 2017 appropriations. This support will be provided via direct grants awarded to the designated EUSAIR National Coordinators of these countries.

For new initiatives, direct grants may be provided to national/regional authorities designated by their respective countries (i.e. de jure or de facto monopoly situation) as national or pillar coordinators responsible for the implementation of the strategy.

The Commission could also seek specific technical support notably e.g. in the form of expertise, studies, seminars, communication activities, etc. in accordance with the needs of the strategy as a whole or of any of its pillars for which the relevant procurement or other procedures shall be used.

Coordination with EUSAIR National and Pillar Coordinators is essential as they are the key implementers of the Strategy. The timing for the Financing Decision for 2018 appropriations is subject to the outcome of this coordination. Accordingly, grant agreements and/or contracts are expected to be signed before end 2018.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	November 2017
Publication of call for proposals / call for tender	N/A - direct grants / specific contracts under framework contracts
Closing date of call for proposals / call for tender	N/A – direct grants / specific contracts under framework contracts
Award decision	2018
Pre-financing payment(s)	2018
Interim payment(s)	2018
Final payment(s)	2021

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	End of April 2018
Publication of call for proposals / call for tender	N/A - direct grants / specific contracts under framework contracts

Closing date of call for proposals / call for tender	N/A – direct grants / specific contracts under framework contracts
Award decision	End of September 2018
Pre-financing payment(s)	End of 2018
Interim payment(s)	Expected in 2019
Final payment(s)	Expected in 2022

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017) 8441 final for the 2017 commitment appropriations and the Commission Financing Decision for the 2018 commitment appropriations to be adopted in 2018, include the main elements of the pilot project.

The rationale of this pilot project is to be found in the above Communication C(2014)357 of 17 June 2014 concerning the EU Strategy for the Adriatic and Ionian Region (EUSAIR) and its accompanying Action Plan [SWD(2014) 190].

All documentation concerning the implementation of the strategy and the work of the EUSAIR key implementers (National and Pillar Coordinators, members of the Thematic Steering Groups) which are the main beneficiaries of this pilot project, can be found on the EUSAIR website, available at the following address: www.adriatic-ionian.eu.

- Do similar activities exist, which are already covered by a legal basis?

The Interreg ADRION transnational cooperation programme 2014-2020 provides support to the EUSAIR through a strategic project "Facility Point" aiming at supporting the EUSAIR governance structures and the stakeholders. However, this strategic project is not sufficient to thoroughly address all the main needs of the EUSAIR and notable those of the participating accession countries. This pilot projects aims at complementing the support required by the EUSAIR to be successful.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

In the long term, follow up activities shall be taken up by the Interreg ADRION Programme (Interreg transnational programme). Several cooperation programmes in the 2014-2020 programming period are fully matching the macro-regional strategies in the Baltic, Danube, Adriatic-Ionian and Alpine areas. Not only in terms of geography, but they are also meant to provide key support to their implementation. In this context, all four programmes have included a Priority Axis based on thematic objective 11 (Enhancing institutional capacity of public authorities and stakeholders and efficient public administration), which provides support to the key implementers and other horizontal activities. This support will take different shapes in each programme, taking into account the specificities and stages of implementation of each macro-regional strategy.

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Assistance to participating IPA countries	Albania, Bosnia and Herzegovina, Montenegro, Serbia	500 000
AI-NURECC	CPMR (Conference of Peripheral Maritime Regions of Europe)	800 000

– 2018 commitment appropriations

These details cannot yet be confirmed. The indicative breakdown is as follows:

– Direct grants: EUR 1 100 000.

– Studies and technical assistance: EUR 200 000.

5.5 Method of implementation (tenders, grants, other) and evaluations

Direct grants to national/regional authorities designated as "key implementers" of the EUSAIR in accordance with Article 190 of the Rules of application to the Financial Regulation.

Procurement: service contracts (specific contracts under framework contracts)

5.6 Types of beneficiaries

EUSAIR key implementers (National and Pillar Coordinators, Thematic Steering Groups) and stakeholders.

1. Heading

13 03 77 24: Pilot Project — Measuring what matters to EU citizens: social progress in European regions

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		900 000	450 000	p.m.	450 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		900 000	450 000	p.m.	450 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

This pilot project has the main aim of helping regions to empirically use the European Social Progress Index (EU-SPI) to test how it could be used to improve policy-making, in particular for policies supported by Cohesion policy. This pilot could serve as a guide to other regions in using the EU-SPI. Furthermore, such a pilot could gather recommendations on how to improve the next editions of the index.

More specifically, during the current year the following outputs are being pursued:

- select a consortium of regions by involving a number of EU regions, at least five, in different Member States and geographical areas.
- networking & knowledge exchange by organising meetings to disseminate information and encourage the dialogue among regions.
- discussing best practices by sharing insights into why some EU regions performed better than others, as measured by the indicators and components included in the EU-SPI.
- informing policies by identifying where resources could be focused within current and future cohesion policy programmes.

- informing the EU-SPI developers by providing insights on how to improve the next editions of the index.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	12 April 2018
Publication of call for proposals / call for tender	End of April 2018
Closing date of call for proposals / call for tender	End of June 2018
Award decision	By end of August 2018
Pre-financing payment(s)	NA
Interim payment(s)	2019
Final payment(s)	2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2018) 2115 of 12 April 2018 sets out the main elements for this pilot project. It available at the following address:

http://ec.europa.eu/regional_policy/en/newsroom/funding-opportunities/financing-decisions/.

- Do similar activities exist, which are already covered by a legal basis?

There are no similar activities.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

At this stage, it is not envisaged to follow up this project/action with a legislative proposal.

5.4 *Type of activities financed, number and amounts*

Results for 2018 commitment appropriations will be published following the call for tenders by end August 2018.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project shall be implemented following a call for tenders to be published upon adoption of the financing decision/work programme through a service contract.

5.6 *Types of beneficiaries*

Contractors (economic operators).

Heading 2 - Sustainable Growth: Natural Resources

1. Heading

05 08 77 10: Pilot Project — Agropol: development of a European cross-border Agribusiness Model Region

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	201 695,00	p.m.	605 085	p.m.	201 695	0,0 %	-66,7 %
Reserve							0,0 %	0,0 %
Total	0,00	201 695,00	p.m.	605 085	p.m.	201 695	0,0 %	-66,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 budget.

Objectives followed during 2017 and previous years' implementation:

Objectives followed during 2017 and previous years' implementation:

The aim of the pilot project is to examine how to bring together and promote cross-border agribusiness expertise in rural areas and to form agribusiness competence regions – so-called 'Agropols', which will offer a sustainable economic perspective to those areas.

The pilot project is implemented in the form of a study in order to provide the background knowledge that could be used by European regions to facilitate cross border co-operation in the agricultural sector.

Intentions for the current year 2018:

The contract was signed and work started in December 2015. The pilot project is on-going and will be implemented over a period of 3 years. The draft results of the pilot project will be presented at a conference after summer 2018. The final report is scheduled for December 2018. After the Commission's verification and approval, it will be published in first half of 2019.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2014 commitment appropriations

Step	Date
Adoption of financing decision	30 April 2014 (C(2014)2753)
Publication of call for proposals / call for tender	24 July 2015
Closing date of call for proposals / call for tender	24 September 2015
Award decision	11 December 2015
Pre-financing payment(s)	N/A
Interim payment(s)	Interim payments in 2016, 2017 and 2018
Final payment(s)	The final payment is envisaged in 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2014)2753 adopted on 30 April 2014 sets out the main elements for the implementation of the pilot project. The tender specifications with other tender documents are published on the following website, available at the following address:

http://ec.europa.eu/agriculture/sites/agriculture/files/calls-for-tender/tender-documents/2015/258964/specs_en.pdf

- Do similar activities exist, which are already covered by a legal basis?

The global aim of the pilot project is also addressed by the European Innovation Partnership on Agricultural Productivity and Sustainability (EIP AGRI). The EIP AGRI aims at building a competitive primary sector that secures global food availability, diversified products and production, long-term supply of various raw-materials or food and non-food uses, as well as a better allocation of added value across the food chain. The approach of the EIP AGRI is to form bottom-up partnerships linking various actors from research and practice in "Operational groups" and connect them via an EIP network. In the setting up of the "Operation Groups", cross regional cooperation is encouraged.

The global aim of the pilot project is also addressed by the European Innovation Partnership on Agricultural Productivity and Sustainability (EIP AGRI). The EIP AGRI aims at building a competitive primary sector that secures global food availability, diversified products and production, long-term supply of various raw-materials or food and non-food uses, as well as a better allocation of added value across the food chain. The approach of the EIP AGRI is to form bottom-up partnerships linking various actors from research and practice in "Operational groups" and connect them via an EIP network. In the setting up of the "Operation Groups", cross regional cooperation is encouraged.

The EIP AGRI builds on existing Union policies and its main funding sources are the Regulation on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) as well as the Regulation establishing Horizon 2020 - the Framework Programme for Research and Innovation.

More information about the EIP AGRI is available at the following address: <http://ec.europa.eu/eip/agriculture/>.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2014 commitment appropriations

Title / Action	Beneficiary / contractor	EUR
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		(maximum)
Pilot project — Agropol: development of a European cross-border agribusiness model region - study	IDEA Strategische Economische Consulting	1 008 475

Main steps of the pilot projects:

- description of past/on-going cross border co-operations;

The aim is to gather the knowledge about the past and on-going cross border regional co-operations under relevant policies, especially but not limited to those under rural development, regional as well as research and innovation policy. An inventory of such cross border co-operations will be established with at least 5 examples in the agriculture sector.

- development of a strategy for the cross border co-operation;

The aim is the knowledge and experience gained from the process of developing a strategy of the cross border regional co-operation in agricultural sector. The purpose is to have a bottom-up approach in creating the strategy; this is why high involvement of relevant partners and stakeholders is a must.

- management of two new projects;

The aim is to assess/"challenge" the agreed strategy via two new projects and to learn from that experience.

- blueprint and dissemination of results.

The aim is to establish a blueprint/model of the cross border co-operation that could be easily used by other interested regions in the future. The dissemination of results will include, among others, the organisation of the above-mentioned conference to present the pilot project's draft results.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by means of an open call for tenders.

5.6 *Types of beneficiaries*

The study is conducted by the successful tenderer of the open call for tenders. The final outcomes with the blueprint may help the regions in the Member States in organising such cross-border cooperation.

1. Heading

05 08 77 12: Pilot Project — Social eco-village

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	120 000	p.m.	252 000	0,0 %	110,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	120 000	p.m.	252 000	0,0 %	110,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

Objectives followed during 2017 and previous years' implementation:

The pilot project is in the form of a study. The aim is that the pilot project will develop models for various types of 'smart village' in the European Union. It will develop replicable models and serve as an example by identifying best practice.

The procurement process started in 2016 and it was finalised and the contract signed with a winning tender in December 2017.

Intentions for the current year 2018:

The work started in December 2017 and will continue for a period of 16 months. The final report is scheduled for April 2019. After the Commission's verification and approval, it will be published mid-2019.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	12 April 2016 (C(2016)2070)

Publication of call for proposals / call for tender	29 March 2017
Closing date of call for proposals / call for tender	17 May 2017
Award decision	19 December 2017
Pre-financing payment(s)	N/A
Interim payment(s)	Interim payments are envisaged for 2018
Final payment(s)	The final payment is envisaged in 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)2070 adopted on 12 April 2016 sets out the main elements for the implementation of the pilot project.

The tender specifications and other tender documents of the call for tenders are available at the following address: https://ec.europa.eu/agriculture/calls-for-tender/115363-2017_en.

- Do similar activities exist, which are already covered by a legal basis?

Article 6 in general and more specifically Article 20 of Regulation (EU) No 1305/2013 of the European Parliament and of the Council on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) are covering some of the aspects of this pilot project on smart eco-social villages.

In 2017 an "EU action plan for Smart Villages" was launched in which the above-mentioned pilot project is part of, available at the following address: https://ec.europa.eu/agriculture/sites/agriculture/files/rural-development-2014-2020/looking-ahead/rur-dev-small-villages_en.pdf.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project: Smart eco-social villages - study	Ecorys Brussels	360 000

The main steps of the pilot projects are: i) What are challenges and needs of the EU rural area?; ii) What is a smart eco-social village?; iii) Identification of "best practice" of existing smart eco-social villages; and iv) How to become a smart eco-social village in practice?

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by means of an open call for tenders.

5.6 *Types of beneficiaries*

The study is conducted by the successful tenderer of the open call for tenders (Ecorys Brussels).

The results of the study may be used, among others, for the policy makers of the field at the European Institutions as well as for the representatives of local governments in the Member States.

1. Heading

05 08 77 13: Pilot Project — Improving crisis prevention and management criteria and strategies in the agricultural sector

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	90 000	p.m.	208 418	0,0 %	131,6 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	90 000	p.m.	208 418	0,0 %	131,6 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The aim of the pilot project is to improve the implementation of measures for preventing and managing crises in the agricultural sector.

The procurement process started in 2016 and the contract signed with a winning tender in December 2017.

Intentions for the current year 2018:

The work started in December 2017 and will continue for period of 16 months. The final report is scheduled for April 2019. After the Commission's verification and approval, it will be published in mid-2019.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2016 commitment appropriations

Step	Date
Adoption of financing decision and global commitment	12 April 2016 (C(2016)2070)
Publication of call for proposals / call for tender	1 August 2017

Closing date of call for proposals / call for tender	14 September 2017
Award decision	19 December 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2018
Final payment(s)	2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)2070 adopted on 12 April 2016 sets out the main elements for the implementation of the pilot project.

The tender specifications and other tender documents of the call for tenders are available at the following address: https://ec.europa.eu/agriculture/calls-for-tender/298473-2017_en.

- Do similar activities exist, which are already covered by a legal basis?

Regulation (EU) 1308/2013 (Common Market Organisation) provides for a range of instruments to tackle market imbalances. In particular, the exceptional measures under Articles 219-221 CMO targeted at market disturbance and the loss of consumer confidence complement the safety net of public intervention and private storage aid. In view of the unpredictable nature of crises situations and the difference of market structures across various sectors, these measures have been designed for a high degree of flexibility.

However, the debate around the current development in certain agricultural sectors (milk, pig meat, etc.) has shown that the European Institutions have an important role to play in making sure that farmers, policy makers and other stakeholders have access to relevant, accurate and timely information to implement adequate risk management strategies and crisis prevention actions. The provision of such information, its interpretation and the risk and crises strategies to be applied are of great importance.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, but the results of the study may be used, among others, by the European Institutions for possible policy reflections in the area of crisis management.

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project: Improving crisis prevention and management criteria and strategies in the agricultural sector - study	Stichting Wageningen Research	297 740

The overall aim of this study is to provide a comprehensive analysis and a critical review of all aspects related to crisis situations, its impact and practices, in order to better focus and improve the effectiveness of CAP policy and better contribute to the European Union's priorities. Furthermore, the study should distinguish two aspects: crisis prevention and crisis management.

The following themes should be addressed:

– what a 'crisis situation' is?

- what are the needs/expectations of different actors in supply chain?
- how the needs of different supply chains actors are currently addressed?
- coverage of needs.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by means of an open call for tenders.

5.6 *Types of beneficiaries*

The study is conducted by the successful tenderer of the open call for tenders.

1. Heading

05 08 77 14: Pilot Project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	199 200,00	0,00	p.m.	450 000	p.m.	269 760	0,0 %	-40,1 %
Reserve							0,0 %	0,0 %
Total	199 200,00	0,00	p.m.	450 000	p.m.	269 760	0,0 %	-40,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

Objectives followed during 2017 and previous years' implementation:

The pilot project takes the form of a study. It aims at providing in-depth knowledge of the problems and challenges facing the beekeeping sector.

The procurement process started in 2016 and the contract signed with a winning tender in December 2017.

Intentions for the current year 2018:

The work started in December 2017 and will continue for a period of 3.5 years. The final report is scheduled for June 2021. After the Commission's verification and approval, it will be published in second half of 2021.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision and global commitment	12 April 2016 (C(2016)2070)
Publication of call for proposals / call for tender	18 July 2017

Closing date of call for proposals / call for tender	4 September 2017
Award decision	19 December 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2018, 2019 and 2020
Final payment(s)	2021

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	25 April 2017 (C(2017)2508)
Publication of call for proposals / call for tender	18 July 2017
Closing date of call for proposals / call for tender	4 September 2017
Award decision	19 December 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2018, 2019 and 2020
Final payment(s)	2021

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decisions C(2016)2070 adopted on 12 April 2016 and C(2017)2508 of 25 April 2017 set out the main elements for the implementation of the pilot project.

The tender specifications and other tender documents of the call for tenders are available at the following address: https://ec.europa.eu/agriculture/calls-for-tender/restructuring-honey-bee_en.

- Do similar activities exist, which are already covered by a legal basis?

The pilot project is already partly covered by the EU legislation related to national apiculture programmes (articles 55-57 of Regulation (EU) No 1308/2013). The EU funding is available for this type of project under technical assistance to beekeepers and beekeepers' organisations, measures to support the restocking of hives in the Union and apiculture products (article 55(4)(a)(e)(f)). However, these measures can be funded only at national level.

Moreover, the scope of the proposed pilot project is also partially covered by a FP7 programme called SMARTBEES which started in November 2014 (FP7 KBBE programme 2013.1.3-02, Grant Agreement number 613960; available at the following address www.smartbees-fp7.eu) and will continue till 2018.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, but the results of the study may be used by the European Institutions and Member States in possible future reflections for the beekeeping sector.

5.4 Type of activities financed, number and amounts

– 2016 and 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project: "Restructuring the honey	Joint tender submitted by: Landesbetrieb	899 200

bee chain and Varroa resistance breeding and selection programme" - study	Landwirtschaft Hessen; Bieneninstitut Kirchhain (LLH), together with ConsulTech GmbH (CT), INRA Centre de Recherche Provence-Alpes-Côte d'Azur (INRA), and Council for Agricultural Research and Economics – Research Centre for Agriculture and Environment (CREA).	
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The pilot project is to explore the current and future possibilities for breeders of European honey bees to place and use reproductive material of Varroa resistant European honey bees on the EU market, for EU beekeepers to have access to such reproductive material and to assess via concrete case studies what can be achieved in terms of bees' health and production for commercial breeding and beekeeping.

In this context, the project shall:

- firstly, describe the EU market for reproductive material of honey bees;
- secondly, describe the availability and quality of reproductive material of Varroa resistant European honey bees for EU beekeepers;
- thirdly, based on this description, it shall set up a European expert team of commercial breeders of Varroa resistant honey bees and commercial beekeepers producing honey with such bees. The European expert team shall propose a methodology to develop commercial production of Varroa resistant honey bees by breeders and dissemination of such bees to commercial beekeepers. The European expert team shall cover at least five Member States.
- fourthly, the methodology proposed by the European expert team should be tested and validated in at least five Member States by a concrete study carried out in each of those Member States. The concrete case studies will aim at identifying the key elements that need to be in place to further develop commercial production and placing on the EU market of reproductive material of Varroa resistant European honey bees for their use by commercial beekeepers. All the above should result in a business model be proposed for commercial breeders and beekeepers.
- finally, the results of this study should be summarised in a comprehensive report and presented to the EU apiculture sector in a conference.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by means of an open call for tenders.

5.6 *Types of beneficiaries*

The study is conducted by the successful tenderer of the open call for tenders.

1. Heading

05 08 77 15: Pilot Project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	90 000	p.m.	208 950	0,0 %	132,2 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	90 000	p.m.	208 950	0,0 %	132,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

Objectives followed during 2017 and previous years' implementation:

The pilot project takes a form of a study. It intends, in particular in the fruit and vegetable sector, to cover the following analysis:

- the incentives and disincentives for farmers to join and work together in producer organisations;
- the governance arrangements, areas for cooperation and levels of concentration of supply which best allow POs to carry out their activities effectively and provide support to their members;
- the most effective financial and legal tools that the Common Agricultural Policy could offer to support POs.

The procurement process started in 2016 and the contract signed with a winning tender in December 2017.

Intentions for the current year 2018:

The work started in December 2017 and will continue for period of 15 months. The final report is scheduled for March 2019. After the Commission's verification and approval, it will be published in mid-2019.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2016 commitment appropriations

Step	Date
Adoption of financing decision and global commitment	12 April 2016 (C(2016)2070)
Publication of call for tender	20 July 2017
Closing date of call for tender	6 September 2017
Award decision	19 December 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2018
Final payment(s)	2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)2070 adopted on 12 April 2016 sets out the main elements for the implementation of the pilot project.

The tender specifications and other tender documents of the call for tenders for the study are available at the following address: https://ec.europa.eu/agriculture/calls-for-tender/280414-2017_en.

- Do similar activities exist, which are already covered by a legal basis?

The outcomes of a previous pilot project: "EP pilot project: Support for Farmers' Cooperatives" (The report was published in 2013 and is available at the following address: http://ec.europa.eu/agriculture/external-studies/support-farmers-coop_en.htm), where the producer organisations' issues was addressed, may be a starting point for this pilot project. The availability of more information on the recognised producer organisations, especially in the sectors where they are less established, will be crucial in a successful implementation of the pilot project.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, but the results of the study may be used, among other, by the European Institutions for future policy reflections in the area of producer organisations.

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project: Analysis of the best ways for producer organisations to be formed, carry out their activities and be supported - study	Joint tender submitted by: Arcadia International E.E.I.G, together with Ernst and Young Advisory.	298 500

The overall purpose of the study is to provide an overview and background knowledge about recognised POs and recognised APOs in the EU and other forms of producer organisations, which are not recognised, e.g.: cooperatives and other types of producer organisations. The study should further investigate which incentives producer have to create or join a recognised PO/APO or non-recognised producer organisation

and whether these producer organisations can achieve (or not) benefits for the agricultural producers as well as for the food supply chain.

The three study themes should:

- provide an inventory of existing recognised POs and APOs;
- present an overview on the number of non-recognised producer organisations in the EU and for each Member State
- analyse the incentives/disincentives for producers in creating or joining a producer organisation, which parameters positively or negatively influence the work of these producer organisations and which impact producer organisations can have on their members and the food supply chain.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by means of an open call for tenders.

5.6 Types of beneficiaries

The study is being conducted by the successful tenderer of the open call for tenders.

1. Heading

07 02 77 22: Pilot Project — Biodiversity protection through a results-based remuneration of ecological achievements

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	124 230,00	p.m.	300 000	p.m.	350 000	0,0 %	16,7 %
Reserve							0,0 %	0,0 %
Total	0,00	124 230,00	p.m.	300 000	p.m.	350 000	0,0 %	16,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2013 and 2014 budgets.

Objectives followed during 2017 and previous years' implementation:

The main objective of this pilot project is to demonstrate the potential of results based payment schemes for delivering effective and efficient biodiversity/nature protection in the context of the common agricultural policy. This objective is to be achieved through a combination of:

- a study to assess previous and existing results- based payment schemes and to benchmark best practice;
- a number of pilot schemes to "road-test" innovative result-based payment schemes in different parts of the EU.

The study carried out to review existing schemes and to benchmark best practice provided a handbook for practitioners, technical fiches, and a web-based platform for information exchange. They are used to promote results-based payment schemes and to provide support to the pilot schemes that started in 2015 and 2016.

In 2014, the Commission organised and completed a call for proposals for the award of grants to carry out and test result based payment schemes in the EU. The call for proposals pooled together resources from the 2013 (EUR 1 500 000) and the 2014 (EUR 500 000) budgets.

The Commission decided to award two grants for one project in Romania and another project in Ireland/Spain. The grant agreements were signed in December 2014 and the projects started in 2015 and last for around 4 years. The total value of the grants awarded was EUR 1 452 434, with an amount of EUR 547 566 which was not awarded.

In 2015, a new call for proposals was organized using EUR 500 000 of the money that was not awarded in 2014. The Commission decided to award one grant for a project in the United Kingdom. The project started at the beginning of 2016 and will last approximately 3 years. The value of the grant award was EUR 499 800.

2015 was the first year for the implementation of the projects in Romania and Ireland/Spain. These projects have been implemented according to the schedule set out in the respective grant agreements. The grant agreement for the project in Romania was amended during the course of 2015 and for the project in Ireland/Spain during the course of 2017 in order to make some adjustments to the budget tables. The total value of the projects and the contributions from the EU, have not been affected by these changes.

For the projects in Romania and Ireland/Spain, 2017 was the second year of testing of the results-based payment schemes that were developed in 2015. Both projects look forward to repeat the implementation success from the first year.

For the project in the UK, 2017 was the first year of testing of the results-based payment schemes that were developed in 2016. The emphasis is on monitoring and evaluation as well as working with selected farmers to ensure a successful implementation

Intentions for the current year 2018:

The project in Ireland/Spain will finish on 30 June 2018. Activities this year will include the finalisation of the project's outputs. The projects in Romania and the UK will finish on 31 December 2018. The activities this year for both will include another annual implementation of the schemes on the ground and finalisation of the projects' outputs.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2013 and 2014 appropriations

Step	Date
Adoption of 2013 Financing Decision	5 July 2013
Adoption of 2014 Financing Decision	26 June 2014
Call for tender (EUR 500 000)	
Publication of call for tender	13 August 2013
Closing date of call for proposals / call for tender	30 September 2013
Award decision	26 November 2013
Pre-financing payment(s)	N/A
Interim payment(s)	July 2014
Final payment(s)	April 2015
Calls for Proposals (EUR 2 000 000) – single call for proposals combined with 2014 commitment appropriations	
Publication of the call	15 July 2014
Closing date of the call	15 September 2014
Award Decision	10 December 2014
Pre-financing payments	January 2015 (40%)
Interim payments	23 August 2016 (Ireland/Spain), 30 May 2017 (Romania)

Final payments	2018-2019
Call for Proposals (EUR 500 000)	
Publication of call for proposals	1 June 2015
Closing date of call for proposals	15 September 2015
Award decision	2 December 2015
Pre-financing payment(s)	February 2016
Interim payment(s)	April 2018
Final payment(s)	2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

2013 appropriations: the Commission Financing Decision of 5 July 2013 (C(2013)4170) sets out the main elements of the implementation of the pilot project.

2014 appropriations: the Financing Decision of 26 June 2014 (C(2014) 4204) sets out the main elements to be covered by this final tranche of the appropriations.

The call for tender for the study carried out in 2013/14 was published on the Europa website under the reference ENV.B.2/ETU/2013/0046, available at the following address:

http://ec.europa.eu/environment/archives/funding/calls2013_en.htm

The outputs from the study are available at the following address:

http://ec.europa.eu/environment/nature/rbaps/index_en.htm

The call for proposals made in 2014 is available at the following address:

http://ec.europa.eu/environment/funding/rbaps_14.htm

The call for proposals made in 2015 is available at the following address:

http://ec.europa.eu/environment/funding/rbaps_15.htm

- Do similar activities exist, which are already covered by a legal basis?

Results-based payment schemes are foreseen to contribute to the implementation of the Common Agricultural Policy (CAP), Pillar II, Rural Development Programmes. To date there are very few examples of such schemes and one of the objectives of the pilot action is to promote the development of result based schemes that are compatible with the rules of the CAP.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The pilot action is not foreseen to give rise to new legislation. If the pilot schemes are successful the intention would be to integrate such schemes into the delivery of the CAP and in particular within the context of the agri-environment measures

5.4 Type of activities financed, number and amounts

– 2013 and 2014 appropriations

Title / Action	Beneficiary / contractor	EUR
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		(maximum)
Biodiversity protection through results based remuneration of ecological achievement-study contract.	Institute for European Environmental Policy	485 494
Pilot results-based agri-environment measures in Ireland and Navarra- Grant agreement.	European Forum for Nature Conservation and Pastoralism	1 038 334
Results-based agri-environment schemes for support of broad biodiversity at landscape scale in Transylvanian High Nature Value Farmland-Grant agreement	Fundatia ADEPT Transilvania	414 100
Pilot Result-Based Payment approaches for agri-environment schemes in arable and upland grassland systems in England - Grant agreement	Natural England together with the Yorkshire Dales National Park Authority	499 800

5.5 *Method of implementation (tenders, grants, other) and evaluations*

One contract awarded on the basis of a call for tenders and three grant agreements established on the basis of calls for proposals (see section 5.4 above for further details).

5.6 *Types of beneficiaries*

A contract was awarded to a European not-for-profit think tank (IEEP) and grant agreements were established with two NGOs—the European Forum for Nature Conservation and Pastoralism and Fundatia ADEPT—and with government agencies—Natural England together with the Yorkshire Dales National Park Authority.

1. Heading

07 02 77 29: Pilot Project — Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	482 245,72	p.m.	110 000	p.m.	190 000	0,0 %	72,7 %
Reserve							0,0 %	0,0 %
Total	0,00	482 245,72	p.m.	110 000	p.m.	190 000	0,0 %	72,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

Objectives followed during 2017 and previous years' implementation:

According to the budgetary remark, this pilot project focused on two key objectives:

- it will contribute to further developing market-based instruments (MBI) in the field of environmental policy and support the participation of the civil society in this process;
- it will support the participation of civil society organisations in EU policy-making on green public procurement (GPP).

Tender procedures were launched related to the following activities:

- capacity building in the field of environmental taxation, including further analysis of the subject with a focus on pollution and resource taxes, and exchanges of best practice and experience, involving stakeholders, the civil society and governments/parliaments (ca. EUR 600 000).
- capacity-building for NGOs in GPP policy development and implementation and support for participation of NGOs' experts in events related to environmental taxation, environmentally harmful subsidies and Green Public Procurement (ca. EUR 400 000).

1. Environmental taxation.

The increased use of market-based instruments, in particular environmentally-related taxes, and the phasing out of environmentally-harmful subsidies, has been advocated in EU policy documents for a long time, as highly efficient tools for policy making. These issues have also figured prominently in the country-specific recommendations and related analysis under the European Semester.

In the field of energy taxes, activity by Member States is based on the Energy Taxation Directive (ETD). In the large field of pollution and resource taxes, however, there is very little or no harmonisation at Union level, so that some MS use them extensively, while others hardly employ them. While the impacts and design features of energy taxes have been thoroughly studied, knowledge about taxes on pollution and resources is far more limited and uneven across Member States. This also reflects the fact that energy taxes form the biggest part of environmental tax revenues so that their impact on national budgets is clearly visible, while pollution and resource taxes focus on environmental objectives and often they feed into local budgets.

With the limited evaluations of pollution and resource taxes in terms of their effectiveness, efficiency and distributional impacts, an improvement of the knowledge base in this area and capacity building among the actors active in the policy process, in particular civil society, can help advance the use of these instruments. Exchanges of experience and best practice among them, including public authorities and stakeholders, will contribute to the creation of a network in this field.

The contract contributes to these efforts and includes the following activities:

- analysis of the use of pollution and resource taxes in the EU as well as the reform of environmentally-harmful subsidies. This includes the assessment of their environmental effectiveness and economic efficiency as well as distributional and competitiveness impacts and design issues. It should identify best practices, and might differentiate among specific conditions in different parts of the EU. The analysis should also point at the role of civil society in triggering implementation and discuss potential policy mixes. It should yield a number of discussion papers
- a number of conferences in different parts of the EU to debate the discussion papers, exchange experiences and best practices, discuss how economic findings can be translated into policy actions at local and regional level to reduce pollution/resource depletion, and support networking in order to build up knowledge and capacities. The conferences would target stakeholders from civil society as well as government officials and other stakeholders.

The conferences would strengthen the inclusion of the national perspectives into the discussion.

- Publication of the above analytical study and the results of the conference discussion.

The consultants delivered the inception report in January 2016, a teleconference was organised before the kick-off to shape the first meeting. In line with the contract conditions, pre-financing was initiated in the same month (January 2016). The kick-off took place in February 2016.

The interim stage was finalised in December 2016 with a delivery of 40 case studies and a synthesis report. The invoice was received in December 2016 with a payment initiated in January 2017.

In line with the technical specification, the first half of 2017 was dedicated to workshops and further analytical work.

Five workshops took place across the first half of 2017; preliminary findings of the study were validated during workshops and also additional input was provided, especially as regards NGOs and other stakeholders' experience with decision making process in taxation area.

Final conference took place in October 2017 – a synthesis report was prepared for this occasion by the consultants, inputs from the event served as a final contribution to the project.

The final report together with 40 case studies were accepted by the Commission on 29 November 2017.

2. Green public procurement.

Europe's public authorities are major consumers. By using their purchasing power to choose environmentally friendly goods, services and works, they can make an important contribution to sustainable consumption and production.

Green Public Procurement (GPP) is a voluntary instrument with a key role in the EU's efforts to become a more resource-efficient economy. It can help stimulate a critical mass of demand for more sustainable goods and services which otherwise would be difficult to get onto the market. GPP is therefore a strong stimulus for eco-innovation.

The main objective is to increase NGO participation in the EU GPP decision making process and GPP implementation at national level. There are significant differences between the uptake of GPP in the Member States. While there are and have been numerous European and national projects/initiatives to help public authorities in getting more active in

GPP, there is comparatively little involvement of NGOs in GPP policies and implementation. Encouraging NGOs to get informed and involved on GPP, especially, in those countries with a low GPP uptake could support the mainstreaming GPP in the EU with substantial positive impacts on the environment. This project is expected to increase NGO input during the development process of EU GPP criteria and to raise NGO awareness about GPP.

The contracts will include the following activities:

- participation in the EU GPP criteria development process. This will require the delivery of written feedback on draft criteria and participation at stakeholder meetings.
- setting up a European NGO network for GPP with the aim of informing national NGOs about how GPP can be done, good practices and how to lobby public authorities to do more GPP.
- development of GPP information material especially targeted at NGOs.
- support for the participation of NGOs' experts in events related to environmental taxation, environmentally harmful subsidies and Green Public Procurement.

For the criteria development, the contractor will continue providing input in writing and in the Working group meetings to key product groups such as Data Centres, Road Lighting and Maintenance of Public Spaces. The NGO GPP network has been set up: a first training session was held in January 2017 and a second one in June 2017. Several tools are made available to NGOs to help them working on GPP: a guide, a newsletter and periodic webinars. An annual meeting of the GPP NGO network is going to take place in April 2018.

Intentions for the current year 2018:

The overall objectives for the pilot project followed during 2015 remain valid. All contracts are in their execution stage.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2015 commitment appropriations

Step	Date
Adoption of Financing decision	5 May 2015
Environmental Taxation	
Publication of call for proposals / call for tender	4 August 2015
Closing date of call for proposals / call for tender	30 September 2015
Award decision	December 2015
Pre-financing payment(s)	January 2016
Interim payment(s)	End 2016
Final payment(s)	End 2017
NGO Representation in the EU GPP Criteria Development Process Contract	
Invitation to tender	17 November 2015
Closing date of calls for tender	08 December 2015
Award decisions	08 February 2016
Possible pre-financing payment(s)	N/A
Possible interim payment(s)	February 2017
Final payment(s)	February 2019
NGO Capacity Building in GPP Contract	
Publication of calls for tender	12 August 2015
Closing date of calls for tender	5 October 2015
Award decision	January 2016
Possible pre-financing payment(s)	N/A
Possible interim payment(s)	October 2016 and May 2018
Final payment(s)	Approx. May/June 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2015)2851 of 5 May 2015 sets out the main elements for the implementation of the pilot project.

Calls for tender ENV.F.1/SER/2015/0039, ENV.F.1/SER/2015/0040, ENV.F.1/SER/2015/0047rl

The calls for tender are available at the following address:
http://ec.europa.eu/environment/archives/funding/calls2015_en.htm.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
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Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform	IIEP / DCE / Serveis de Suport à la Gestio SL	663 287,45
NGO Representation in the EU Green Public Procurement Criteria Development Process	EEB	59 815,00
NGO Capacity Building in Green Public Procurement (GPP)	ICLEI	224 976,00

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by calls for tender. The maximum duration of the contracts is 36 months.

5.6 *Types of beneficiaries*

Policy makers, civil society.

1. Heading

07 02 77 30: Pilot Project — Fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — Bridging the green innovations gap

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	77 954,60	p.m.	300 000	p.m.	420 000	0,0 %	40,0 %
Reserve							0,0 %	0,0 %
Total	0,00	77 954,60	p.m.	300 000	p.m.	420 000	0,0 %	40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The main objectives of the pilot project are to facilitate knowledge transfer and exchange of innovative best practices related to circular economy and to develop capacity and innovation potential to develop eco-innovative solutions and seize new opportunities in the circular economy.

As explained in the executability letter (October 2015) and in the interim report to the Budgetary Authority of 4 March 2016, the pilot project will focus in particular on capacity building for SMEs, through a facility structured around a series of training modules to help SMEs fully realise their innovation potential in the context of the circular economy.

Under the contract the following activities are being executed:

Task 1: Delivery of capacity building training modules for SME support organisations

Task 2: Scaling up green solutions through capacity building, knowledge transfer and exchange of best practices

Task 3: Supporting model demonstrator regions in strengthening the role of SME related resource efficiency and the circular economy play in regional or industrial development strategies

During the first few months of the contract, the contractor has completed the selection of those entities that will benefit from the activities. This was done through a call for expression of interest. The call was highly successful, as it attracted around 350 applications for the 50 available places. The group of selected participants appropriately reflects the diversity of the European Union.

Towards the end of 2018, the contractor developed a general plan for the capacity building activities and drafted the necessary training materials.

Intentions for the current year 2018:

Through individual contacts with the recipients, the contractor will develop individually tailored capacity building activities for each recipient companies and organisations in the first quarter of 2018.

From the second quarter of 2018 the capacity building activities will be executed.

For task 1: this means individual training sessions given to the staff and partners of the participating SME support organisations.

For task 2: the participating companies will receive training on scaling up and will receive direct support in matchmaking activities.

For task 3: the participating regional authorities will receive individual consultancy advice on SME and circular economy issues for regional development plans.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of Financing decision	10 June 2016
Publication of call for proposals / call for tender	16 September 2016
Closing date of call for proposals / call for tender	28 October 2016
Award decision	10 May 2017
Pre-financing payment(s)	N/A
Interim payment(s)	April 2018
Final payment(s)	2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2016)3480 of 10 June 2016 sets out the main elements for the implementation of the pilot project.

The call for tender was published under the reference ENV.A.3/SER/2016/0046, available at the following address: http://ec.europa.eu/environment/archives/funding/calls2016_en.htm.

- Do similar activities exist, which are already covered by a legal basis?

The implementation of the pilot project will ensure complementarity with existing initiatives such as Eco-Innovation Action Plan (EcoAP) and the Green Action Plan for Small and Medium-Size Enterprises (GAP SMEs).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*
– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Service contract fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — bridging the green innovations gap	KPMG Advisory N.V.	779 546

5.5 *Method of implementation (tenders, grants, other) and evaluations*

A single call for tender was launched and awarded for this pilot project that has the objective to facilitate knowledge transfer and exchange of innovative best practices related to SMEs, circular economy and eco-innovation. See the section above for further details (5.4).

5.6 *Types of beneficiaries*

Consultants and organisations specialised in supporting and advising SMEs.

1. Heading

07 02 77 31: Pilot Project — Mitigating infectious diseases to counteract loss of European biodiversity as required by the Habitats Directive

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	210 000	p.m.	270 000	0,0 %	28,6 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	210 000	p.m.	270 000	0,0 %	28,6 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

This pilot project will design sustainable mitigation measures to counteract the impact of the new amphibian epidemic caused by the fungus *Batrachochytrium salamandrivorans*, in both the short and long terms, in a joint effort by the most relevant Member States (based on the current occurrence of the fungus and the most salamander-diverse countries, including but not limited to Belgium, the Netherlands, Germany, the United Kingdom, France, Spain, Portugal, Italy and Greece).

In the short term (first two years) the pilot project will determine the current distribution of the fungus in threatened salamander populations across Europe. To limit further spread of the fungus and raise awareness about the issue, the project will be setting up an early warning system, involving networks of field volunteers and laboratories able to diagnose the presence of the fungus.

Furthermore the project will investigate different practical mitigations methods (protective value of barriers, dilution effect of temporary removal of part of the population during an outbreak), both in- and ex-situ in a multi-Member-State collaborative effort.

In the long term (three years), proof of concept will be developed for vaccination strategies (increasing the amphibians' resistance to the fungus) and/or bio-augmentation (steering the environment towards conditions that limit the fungus' survival and impact on amphibians).

In February 2017, following a call for tender and the evaluation of the only offer received, the Commission signed a 3-year service contract with an 8-members consortium under the lead of the veterinary department of the University of Ghent. During its three-year period, in line with then tender specifications, the contract aims at implementing the following actions:

- an accurate determination of the current range and spread of the salamander disease in Europe, on the basis of geographically targeted and cost-effective sampling methods, using best-available sampling techniques;
- the set-up of an EU network of diagnostic centres capable to diagnose the disease;
- the set-up of a European reference laboratory that will also develop standard protocol for sampling and hygiene procedures, and will serve as a quality control node, verifying sample analysis congruency for other diagnostic centres;
- the establishment of a network of regional hotlines that will set-up a passive surveillance system, collect and store samples before their diagnoses in one of the above centres, and act as an information relay to local and regional stakeholders;
- the set-up of a European network of stakeholders in the most relevant EU Member States, involved in the monitoring of salamander populations ;
- the development of general and species-specific emergency action plans, targeting species that are either policy relevant (f. ex. those covered by the Habitats Directive) or most sensitive to the disease in terms of extinction risk;
- elaborate at least one positive "proof of concept" for reducing the negative impact of the salamander disease in the wild, the details of which need to be elaborated during the contract period;
- the set-up and maintain a dedicated website, which will compiling and maintain up-to-date and relevant information related to the contract subject and contract results and outputs, and which will display a series of instructional videos concerning tissue sampling and hygienic protocols to be applied by the network of diagnostic centres, regional hotlines and stakeholders involved in the field monitoring and sampling.

Intentions for the current year 2018:

The contract is ongoing since March 2017. On 28 March 2017, an inception meeting took place where the contractor presented its work plan for 2017. A progress meeting, where the contractor will present the progress achieved so far and the first results, is scheduled for early May 2018.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of Financing decision	10 June 2016
Publication of call for proposals / call for tender	30 June 2016
Closing date of call for proposals / call for tender	25 August 2016
Award decision	08 March 2017
Pre-financing payment(s)	N/A

Interim payment(s)	2018
Final payment(s)	2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)3480 of 10 June 2016 sets out the main elements for the implementation of the pilot project.

The call for tender with the reference ENV.B.3/SER/2016/0028 is available at the following address: http://ec.europa.eu/environment/archives/funding/calls2016_en.htm.

- Do similar activities exist, which are already covered by a legal basis?

A project on this topic could potentially have been covered through a LIFE Nature and Biodiversity project under the LIFE Environment strand of the current LIFE programme.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

This project is focusing on the identification and implementation measures required to react to a new and emerging threat to amphibian species. It is therefore primarily related to policy implementation (of the EU Habitats Directive) and EU Level coordination of that implementation, rather than on further development of policy. Hence, it is currently not envisaged to follow-up this project with a legislative proposal.

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Mitigating a new infectious Disease in Salamanders to counteract a loss of European biodiversity	Universiteit Gent, Stichting Reptielen Amfibieën Vissen Onderzoek Nederland (RAVON), Universität Trier (UT), Centre National de la Recherche Scientifique (C.N.R.S.), Università degli Studi di Genova (UNIGE), Zoological Society of London, Agencia Estatal Consejo Superior de Investigaciones Científica (CSIC) and NATAGORA	900 000

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by way of a call for tender.

5.6 Types of beneficiaries

Private and public bodies, research institutions.

1. Heading

07 02 77 32: Pilot Project — Protocols for the establishment of green infrastructure assessment schemes across the Union

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	p.m.	p.m.	150 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	p.m.	p.m.	150 000	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

"EnRoute" stands for enhancing resilience of urban ecosystems through green infrastructure. EnRoute is a project of the European Commission in the framework of the EU Biodiversity Strategy and the Green Infrastructure Strategy.

EnRoute provides scientific knowledge of how urban ecosystems can support urban planning at different stages of policy and for various spatial scales and how to help policy-making for sustainable cities. EnRoute is testing the MAES indicator framework on mapping and assessment of urban ecosystems in 20 cities (so-called city labs) across Europe. It aims to promote the application of urban green infrastructure at local level and delivers guidance on the creation, management and governance of urban green infrastructure. Importantly, it illustrates how collaboration between and across different policy levels can lead to concrete green infrastructure policy setting.

EnRoute also aims at developing a cross scale assessment of urban ecosystems in Europe. The expected result is a standardized assessment of Urban Green Infrastructure (UGI) across 700 Functional Urban Areas (FUAs) in Europe, representing all the cities which participated to the Urban Atlas project.

The city labs presented their policy context and how they will contribute to EnRoute during two meetings/conferences, held in Rome (6-7-8 March 2017) and Tallin (24-25 October 2017).

An interim report has described in detail the progress made by EnRoute since the start of the project (01 December 2016). The report collected the relevant policy questions for these cities with respect to urban green infrastructure and identified which indicators of the MAES analytical framework can be used to support local policy. The report includes the datasets and models that will be used for an EU wide assessment of urban ecosystems and their services.

The report contains a first proposal for an online survey on the functionality of a science policy interface on urban green infrastructure at different governance levels.

The report describes the contributions of EnRoute to other initiatives: update of the MAES indicator framework for ecosystem condition, the task force on an impact evaluation framework for nature based solutions under Horizon 2020, and the EU urban agenda.

Supplementary information is available at the following address: <https://circabc.europa.eu/w/browse/d26e3ff7-a37a-44a3-b91a-806444142b7f>; <https://oppla.eu/enroute>.

Intentions for the current year 2018:

In 2018, EnRoute aims:

- to provide a Pan European analysis of condition of urban ecosystems and provision of urban ecosystem services. The idea is to compare European cities understanding the relationship and spatial distribution of multiple ecosystem services and analysing the structural and functional role of urban green infrastructure.
- to explore the synergies between policy levels.

EnRoute also plans two more workshops in 2018. A first meeting is scheduled on 24 and 25 April 2018 in Sofia, Bulgaria.

The first day of the meeting will be a public conference dedicated to urban biodiversity. The second day will be an EnRoute workshop to measure progress of the project.

The final EnRoute workshop will be held in Brussels. This workshop will bring together EnRoute cities and stakeholders from the Commission and aims to demonstrate how urban green infrastructure can be mainstreamed into EU policies.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2016 commitment appropriations

Step	Date
Adoption of Financing decision	10 June 2016
Administrative Agreement procedure	Third quarter 2016
Signature of contract	10 October 2016
First payment(s)	19 December 2016
Final payment(s)	End 2018 / Beginning of 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)3480 of 10 June 2016 sets out the main elements for the implementation of the pilot project.

An internet website reflecting the activities of the pilot project is available at the following address: <https://oppla.eu/enroute>.

The interim report after the first full year of implementation is also available as follows: Zulian, G., Thijssen, M., Günther, S. Maes, J., Enhancing Resilience Of Urban Ecosystems through Green Infrastructure (EnRoute). Progress report, EUR 29048 EN, Publications Office of the European Union, Luxembourg, 2018, ISBN 978-92-79-77697-7, doi:10.2760/958542, JRC110402.

- Do similar activities exist, which are already covered by a legal basis?

Some relevant and related initiatives do exist, such as the EU initiative on Mapping and Assessment of Ecosystem Services (MAES); they are not similar nor based on a legislative instrument, but on EU agreed strategies, such as the EU Biodiversity Strategy to 2020.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Enhancing Resilience Of Urban Ecosystems through Green Infrastructure (EnRoute) project	JRC	300 000

5.5 Method of implementation (tenders, grants, other) and evaluations

Administrative Agreement with the Joint Research Centre of the European Commission.

5.6 Types of beneficiaries

Joint Research Centre of the European Commission.

1. Heading

07 02 77 33: Pilot Project — Mitigating the impact of wind turbines on bat and bird populations and their migration routes

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	300 000	p.m.	400 000	0,0 %	33,3 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	300 000	p.m.	400 000	0,0 %	33,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The development of renewable energy sources is crucial for achieving EU energy and climate change goals and it is likely to expand, especially offshore. At the same time such developments may give rise to conflicts with EU biodiversity goals, especially those related to nature conservation. In that regard EU nature legislation includes key provisions that need to be complied with: Articles 6(3) and 6(4) of the Habitats Directive set out a series of procedural and substantive safeguards that must be applied to plans and projects that are likely to have a significant effect on a Natura 2000 site. In addition, Article 5 of the Birds Directive also requires that Member States establish a general system of protection for all wild bird species in the EU. Article 12 and 13 of the Habitats Directive require Member States to establish a general system of protection for species listed in Annex IV throughout their natural range within the EU.

As birds, bats, marine mammals, as well as other species and habitats protected under the Birds and Habitats Directives have all been found to be vulnerable to wind farms and other renewable energy developments, the above provisions will need to be taken duly into account by developers, planners and authorities, irrespective of whether their projects are to be located in a Natura 2000 site or not.

The objective of the call for tender organised for the implementation of the pilot project is to provide specific information on and an understanding of the potential direct and indirect impacts that the different forms of renewable energy developments (wind, solar, ocean, geothermal energy as well as bioenergy) may have on habitats and species protected under the EU legislation and to suggest concrete ways of mitigating them.

The outputs of the contract are expected to help overcome obstacles that often appear during the permitting procedures of renewable energy developments in MS, arising from insufficient scientific knowledge of the impacts of the developments on the protected species and habitats, as well as of the available mitigation measures.

The call of tender was published in April 2017 and the service contract was signed in December 2017.

Intentions for the current year 2018:

The implementation of the project started beginning of 2018 and the inception meeting already took place at the end of January 2018. The implementation of all six tasks included in the project has begun and the draft deliverables of a number of these tasks are expected to be submitted by the consultant by the end of 2018.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of Financing decision	10 June 2016
Publication of call for tender	29 April 2017
Signature of the contract	18 December 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2018 and 2019
Final payment(s)	2020

.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)3480 of 10 June 2016 sets out the main elements for the implementation of the pilot project.

The call for tender ENV.D.3/SER/2017/0002 is available at the following address: http://ec.europa.eu/environment/funding/calls_en.htm.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Reviewing and mitigating the impacts of renewable energy developments on habitats and species protected under birds and habitats directives	Arcadis Belgium SA	997 050

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The selection and the subsequent monitoring of this pilot project will be implemented through one call for tender.

It is foreseen that one contract will be concluded with the aim to implement the following tasks that fall under the 2 Lots:

Lot 1: Impacts of renewable energy developments (wind, solar, geothermal and ocean energy): The objectives of this lot are to review and analyse the potential direct and indirect impacts of renewable energy developments (wind, solar, geothermal and ocean energy) on protected habitats and species under the Birds and Habitats Directives, with special focus on birds, bats and marine mammals, and to present the available mitigation measures in order to avoid, prevent or reduce those impacts.

Lot 2: Impacts of bioenergy developments.

Task common to both lots: Promotion of the outcomes of the contract: After concluding all tasks under the 2 Lots, the contractor shall organise events in the 28 Member States to present and discuss the overall outputs of the contract and inform authorities and relevant stakeholders.

5.6 *Types of beneficiaries*

Private sector (researchers, conservationist, developers, planners) and the public sector.

1. Heading

07 02 77 34: Pilot Project — Inventory of species and habitats in the French outermost regions

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	500 000,00	p.m.	400 000	p.m.	250 000	0,0 %	-37,5 %
Reserve							0,0 %	0,0 %
Total	0,00	500 000,00	p.m.	400 000	p.m.	250 000	0,0 %	-37,5 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

In accordance with the budgetary remarks this pilot project is intended to finance the development of an inventory of species and habitats and environmentally sensitive areas in the French Outermost Regions, which number among the world's biodiversity hotspots.

As underlined in the executability letter (29 October 2015) and in line with the Environment Council Conclusions, this pilot project is not conceived as a first step on the road towards the adoption of legislation to preserve biodiversity in the French outermost region, but a further element to build a sustainable partnership to promote the conservation of biodiversity and the sustainable use of ecosystem services including ecosystem-based approaches to climate change adaptation and mitigation in the EU Outermost Regions and Overseas Countries and Territories. This is in line with the Environment Council Conclusions adopted on 16 December 2015 where the Environment Council 'noted the results of the preparatory action on Biodiversity and Ecosystem Services in Territories of European Overseas (BEST), the funding available under the Commission's Best 2.0 Programme, and urged the Commission and the Member States to move forward on sustainable partnerships dedicated to mobilising resources to protect the unique ecosystems and the services they provide in the EU Outermost Regions and Overseas Countries and Territories'.

According with the budgetary remarks, advantage will be taken of any possible synergies with the work carried out under the BEST preparatory action including the developed regional ecosystem profiles, the identified key biodiversity areas (KBAs) and information on species and habitats. Through mapping of ecosystems and ecosystem services the link to relevant on-going activities such as the "Mapping and

Assessment of Ecosystems Services" (MAES, more information available at the following address: <http://biodiversity.europa.eu/maes>) will be encouraged.

The expected results of the pilot project entitled "BEST RUP" are:

- to deliver an inventory of species and habitats of the French Outermost Regions (ORs);
- to explore a MAES pilot for one or more French ORs;
- to provide an opportunity for small grants for biodiversity actions in the French ORs following the modalities of the BEST 2.0 Programme.

The action is implemented via a grant awarded to the International Union for the Conservation of Nature (IUCN). The grant agreement was signed in December 2016.

The action grant includes the following activities:

- activity 1: Deliver species and habitats inventories (lists) for the French ORs capitalising – inter alia -on the species and habitats lists of the existing BEST regional ecosystem profiles and the identification of KBAs and KBESAs in the French ORs;
- activity 2: Explore the use of the MAES methodology in ORs capitalising on the Amazonian Ecosystem profile elaborated in French Guyana. The Amazonian Ecosystem profile works and the identification of KBESAs will be promoted and shared in order to foresee the modalities of the MAES methodology's implementation in ORs context. An active participation of the BEST ORs Officer in French Guiana, French Guiana representatives and the UICN Secretariat at the ESMEALDA workshop (February 2017, Azores) will enable discussion about the specificities of EU Overseas and recommendations for future works on MAES in EU Overseas;
- activity 3: Carry out one dedicated call for swift small grants of up to 50 000 EUR per third party beneficiary in the French ORs. The guidelines and the details of the conditions of application (topics and types of activities, types of beneficiaries and criteria of selection and funding) is based on the modalities of the BEST 2.0 Programme, its operational manual and guidelines (section swift small grants). The adapted section and guidelines for applicants will be validated within 1 month after the signature of the grant agreement.

Intentions for the current year 2018:

In the frame of the call for proposals BEST RUP 12 projects (3 in the Amazon, 4 in the Indian Ocean and 5 in the Caribbean Region) have been proposed for funding. All projects shall start their work in 2018.

The draft overview on existing data and lists of species and habitats is being discussed and will be completed in collaboration with representatives of French ORs.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2016 commitment appropriations

Step	Date
Adoption of Financing decision	10 June 2016
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Signature of the grant agreement	22 December 2016

Pre-financing payment(s)	January 2017
Interim payment(s)	Mid-2018
Final payment(s)	2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)3480 of 10 June 2016 sets out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

Yes, the BEST 2.0 programme funded under B4LIFE (Thematic Programme for Global Public Goods and Challenges under the Development Cooperation Instrument) provides small and medium grants for biodiversity actions in OCTs. The call for small grants under the project BEST RUP has followed the modalities of the BEST 2.0 Programme.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Inventory of Species and Habitats in French Outermost Regions	International Union for the Conservation of Nature (IUCN)	1 000 000

5.5 Method of implementation (tenders, grants, other) and evaluations

This pilot project is implemented through a grant without call for proposals awarded to IUCN, since the conditions set out in article 190(1)(c) or (f) of the rules of application to the Financial Regulation are met.

5.6 Types of beneficiaries

IUCN was chosen as beneficiary as final beneficiaries need:

- a high level expertise on both species and habitats able to cover the wide and heterogeneous context of the Outermost Regions and Overseas Countries and Territories and their specificities;
- decentralised structure able to reach the local level to adapt methods, approaches and strategies while preserving a central coordination;
- solid partnership with local authorities;
- governance structure to carry out call for proposals for small grants.

1. Heading

07 02 77 35: Pilot Project — Mapping and assessing the state of ecosystems and their services in the outermost regions and overseas countries and territories: establishing links and pooling resources

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	1 500 000	1 350 000	p.m.	1 000 000	-100,0 %	-25,9 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	1 500 000	1 350 000	p.m.	1 000 000	-100,0 %	-25,9 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

Action 5 of the EU Biodiversity Strategy urges Member States to map and assess the state of ecosystems and their services in their national territory. A Working Group on Mapping and Assessment of Ecosystems and their Services (MAES) was established in 2012 and has been working very actively since then.

An open call for proposals for 1 project will be organised.

The project shall start by assessing the state of the art of the MAES exercise within the participating overseas entities, and by inventorying and substantiating the human and material capacities present in each of them. It will then choose case studies in one or several regions and a particular contribution on which to focus the work of a dedicated team of local experts, policymakers and civil society members pooled from across the outermost regions (ORs) and overseas countries and territories (OCTs).

It shall capitalise on the work of BEST initiative (initially supported by the BEST preparatory action under the 2011, 2012 and 2013 budgets) and of NETBIOME (ERA-net and Co-ordination Support Action supported under the 6th and 7th research Framework Programmes) and it can also build on the initiating MAES experience carried out under the pilot project entitled 'Inventory of species and habitats in the French outermost regions' adopted under the 2016 budget (07 02 77 34). This project shall produce a tangible contribution to the MAES work and it will demonstrate the feasibility and the added value of a bottom-up

approach, involving and empowering local actors. If successful, this project will allow testing and implementation of the MAES methodology in different regions of the world, providing methodologies and good practice guidelines and contributing to worldwide EU leadership in this area.

The project will involve policymakers, researchers and civil society in the development of methodologies for mapping and assessing the state of ecosystems and their services in ORs and OCTs. A coordinated and synergistic approach is advocated so as to turn the geographical, political and knowledge base fragmentation of these entities into assets, pooling resources and building robust participatory tools.

Intentions for the current year 2018:

According to the budgetary remarks, it aims at expanding the activities already initiated during the first year of the pilot project with the selection of a project following an open call for proposals.

The grant MOVE – Facilitating MAES to support regional policy in overseas Europa: mobilising stakeholders and pooling resources financed under the first year of the pilot project will assess the state of the art of the "Mapping and Assessing of Ecosystem Services" (MAES, available at the following address: http://ec.europa.eu/environment/nature/knowledge/ecosystem_assessment/index_en.htm) within the participating overseas entities, and inventory and substantiate the human and material capacities present in each of them. It will capitalise on the work carried out in the frame of NETBIOME (available at the following address: <http://www.netbiome.org/>) network and the BEST (Biodiversity and Ecosystem Services in Territories of European overseas) (more information available at the following address: <http://ec.europa.eu/best>) initiative and it may consider the initial MAES experience planned under the project BEST RUP which implements the pilot project entitled "Inventory of species and habitats in the French outermost regions" adopted under the 2016 budget (07 02 77 34).

This second pilot project will aim at enabling further case studies and specific regions on which to focus the work of dedicated teams of local experts, policymakers and civil society members pooled from across the outermost regions and overseas countries and territories.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of Financing decision	06 July 2017
Publication of call for proposals	10 July 2017
Award decision	January 2018
Pre-financing payment(s)	April 2018
Interim payment(s)	November 2019
Final payment(s)	May 2021

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for proposals	Second half 2018
Award decision	First half 2019
Pre-financing payment(s)	First half 2019
Interim payment(s)	To be determined
Final payment(s)	To be determined

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)4545 of 06 July 2017 sets out the main elements for the implementation of the pilot project.

The call for proposal with the reference ENV/2017/CFP/MAES-OR-OCT is available at the following address: <http://ec.europa.eu/environment/funding/maesoroct2017.htm>.

The 2018 Commission Financing Decision expected to be adopted by the end of May 2018 will set out the main elements for the implementation of the 2018 pilot project.

- Do similar activities exist, which are already covered by a legal basis?

The Biodiversity Strategy (COM/2011/0244 final) called on Member States to map and assess the state of ecosystems and their services in their national territory by 2014, with the assistance of the European Commission. They must also assess the economic value of such services, and promote the integration of these values into accounting and reporting systems at EU and national level by 2020 (see Target 2, Action 5).

This specific action aims to provide a knowledge base on ecosystems and their services in Europe. It underpins the achievement of all 6 targets of the strategy and is also relevant to a number of other EU sectorial policies such as agriculture, maritime affairs and fisheries, and cohesion.

A coherent analytical framework has been developed (see first technical report, 2013) to be applied by the EU and its Member States in order to ensure consistent approaches. It contributes to the ongoing discussion on the conceptual framework for sub-global assessments of ecosystems and ecosystem services under the Intergovernmental Platform on Biodiversity and Ecosystem services (IPBES). A second technical report (2014) proposes indicators that can be used at European and Member State's level to map and assess biodiversity, ecosystem condition and ecosystem services.

The third technical report (2016) is taking stock on Mapping and assessing the condition of Europe's ecosystems: Progress and challenges. The fourth technical report (2016) is on mapping and assessment of urban ecosystems and their services.

All Member States are actively involved in mapping and assessing the state of ecosystems and their services in their national territory. At EU level also, a lot of MAES-related activities are supported by the European Environment Agency and its Topic Centres, the Joint Research Centre, Eurostat, DG Research & Innovation.

So far ORs and OCTs have not been included. This gap is being addressed by the pilot project.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
MOVE – Facilitating MAES to support regional policy in overseas Europa:	Fundo Regional para Ciência e Tecnologia, Portugal	999 989

mobilising stakeholders and pooling resources		
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For the 2018 project such implementation details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Grants, through open call for proposals.

5.6 *Types of beneficiaries*

Eligible applicants and their partners can be public or private bodies, NGOs, universities, research institutes and international organisations.

1. Heading

07 02 77 36: Pilot Project — Network of European Green Cities

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	300 000	p.m.	300 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	p.m.	300 000	p.m.	300 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The overall aim of this pilot project is to provide support for the development of the "Network of European Green Cities" (comprising as a starting point European Green Capital (EGC) winners and cities shortlisted for the award) in order to share their experiences, knowledge and expertise on sustainable urban development with other European cities. In effect this will also enhance the impact of the European Green Capital Award and its network.

The pilot project will start the facilitation of sharing best-practises and exchanges between the responsible city-level decision makers through the organisation of targeted workshops focussing inter alia on the 12 European Green Capital environmental indicator areas. A specific set of toolkits will be developed, collecting the wide range of lessons learnt from the workshops, which is aimed at other cities being inspired by such actions and to help them understand what was involved in putting the actions in place. At the end of the contractual period, the results of the workshops and toolkits shall be shared with a wider stakeholder audience (e.g. city networks, NGOs, business community) during a conference "European Green capital Network - Mayoral Summit" in Brussels to achieve spill-over effects for the benefit of entire regions and countries in the long term effect.

The pilot project will furthermore compile one toolkit of best practice examples from winning European Green Capital cities on how to successfully build a green capital year and programme. This toolkit will be handed over to the runners up / winners upon nomination (usually during a festive award ceremony in June).

The pilot project will provide the necessary appropriations to finance the setting up and running of the network. The membership to the network is managed by the European Commission.

The call for tender was published on 17 October 2017 and the time limit for receipt of tenders was 28 November 2017. The opening took place on 11 December 2017. Ten offers were received.

Intentions for the current year 2018:

The signature of contract is expected in May 2018.

For 2018 the implementation of the following tasks is foreseen:

Task 1 (workshop organisation): first thematic workshop "100% renewable" to take place in month 6 of the contract implementation.

Task 2 (development of thematic toolkits): draft final version of toolkit template during month 7 of the contract (final version ready in month 9 of the contract)

Start of the implementation of task 4 (promotional and dissemination campaign): develop restricted area for EGCN members as part of EGCA website during month 4 of contract (including details on thematic workshops)

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	06 July 2017
Publication of call for tender	17 October 2017
Award decision	May 2018
Pre-financing payment(s)	N/A
Interim payment(s)	2018 and 2019
Final payment(s)	2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)4545 of 06 July 2017 sets out the main elements for the implementation of the pilot project.

The call for tender with the reference ENV.A.3/SER/2017/0033 is available at the following address: http://ec.europa.eu/environment/archives/funding/calls2017_en.htm.

- Do similar activities exist, which are already covered by a legal basis?

The European Green Capital awards complemented by the European Green Leaf awards for smaller cities are financed through a LIFE Governance action under the LIFE Environment strand of the current LIFE programme. The pilot project adds important momentum to the European Green Capitals Network, which is a network of winning and finalist cities that have been recognised under the EU Green Capital initiative. This network emphasises and energises the need to share best practices and other useful, practical experiences which can bring important progress towards sustainability in EU cities. The wealth of knowledge and expertise on sustainable urban development available within the Network will be spread

more effectively to other European cities, providing a solid contribution to the promotion and achievement of environmental sustainability in cities.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

The award is not yet public.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project is implemented through an open call for tender.

5.6 *Types of beneficiaries*

Public entities, industrial entities, research and technology organisations, academia, city networks, NGOs.

1. Heading

07 02 77 37: Pilot Project — Effect of residential solid waste burning on ambient air quality in Europe and potential mitigation measures

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	750 000,00	0,00	1 400 000	925 000	p.m.	945 000	-100,0 %	2,2 %
Reserve							0,0 %	0,0 %
Total	750 000,00	0,00	1 400 000	925 000	p.m.	945 000	-100,0 %	2,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

The objective of this project is to study the nature and magnitude of solid waste burning for residential heating purposes in Central and Eastern Europe and its contribution to air pollution, notably in terms of particulate matter.

Following the Commission comments included in the letter of executability of 28 October 2016, a meeting with the MEP at the origin of the initiative was held to refine the scope of the pilot project taking into account the available budget.

The project will focus on the situation in Hungary and Romania and, wherever possible, it would also build on experiences from projects funded under the LIFE programme, notably the Polish LIFE Integrated Project on the implementation of air quality plan for Małopolska Region "Małopolska in a healthy atmosphere (LIFE14 IPE/PL/000021)".

The project will be drawing on experiences from Germany and should identify concrete steps how the issue can be addressed at local and regional level.

The project will have two main components:

- a study looking to distinguish waste burning practices between rural and urban areas and the tracer compounds related to various types of waste, and mapping hotspots in the regions covered by it in HU and RO; identifying the likely predominant source types of solid waste burned, possible mitigation measures and assessing the relationship between poverty and residential solid waste burning;
- an experimental part with measurements and sampling at selected locations in the two countries (to be carried out during the heating season), including an analysis of tracer compounds specific for the different types of solid waste to assess their actual contribution to the overall problem at the various locations.

The project should provide an assessment of the contribution of residential solid waste burning to ambient air pollution, determine the major waste types used, the pollutants caused by their combustion and the associated pollution levels, notably of particulate matter.

An open Call for tender was launched in August 2017. Only one offer was received. Following the opening of the offer, it was concluded that the offer did not meet the minimum requirements set in the Terms of Reference and a Non-Award decision was adopted. The applicant was informed accordingly. The call for tender was relaunched in March 2018, with closing date of 3rd May 2018.

Intentions for the current year 2018:

The objective of this pilot project is to build further on the first stage of the study (originally foreseen to be launched in 2017) which looks at the nature and magnitude of solid waste burning for residential heating purposes in Central and Eastern Europe and its contribution to air pollution, notably in terms of particulate matter.

Work under this continuation of the pilot project will focus on 5 main areas:

- further developing the knowledge base for assessing the impact of residential solid waste burning on ambient air quality in Europe, by also assessing the health impact of such practices, building on work already carried out, by, for example, the World Health Organisation, or other entities;
- developing proposals for concrete actions which could be taken at local, regional or national level to tackle the effects and reduce the occurrence of such practices and, where relevant, test-pilot them at local or regional scale;
- estimate the cost-benefit ratio of potential different options for tackling such practices.
- raising awareness, including through targeted information campaigns and specific capacity-building activities, to facilitate communication on the outcomes of the ongoing project on the same subject;
- assess and test possibilities to foster administrative and technical capacity building (including monitoring and surveillance) and to include relevant measures and practices in Air Quality Plans and National Air Pollution Control Programmes as mentioned in EU air quality and air emissions legislation.

The project will concentrate on Hungary and Romania while drawing on experiences from other EU Member States which were or are facing similar problems, and will focus on assessing the overall impact on local populations, raising awareness among medical professionals and authorities at local and regional level. A key element of the project would be to test-pilot identified measures to address the issue of solid waste burning, for viability and cost-benefit, which, in addition to providing valuable information on their subsequent scalability potential would also help deliver immediate results in the test areas. Dissemination activities will be organised in cooperation with relevant projects elsewhere in the EU (such as LIFE Integrated Projects).

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	06 July 2017
Publication of call for tender	29 August 2017
Non-Award decision	30 December 2017
Re-launch of the call for tender	22 March 2018
Award decision	01 June 2018
Pre-financing payment(s)	N/A
Interim payment(s)	End 2018
Final payment(s)	End 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for tender	September 2018
Award decision	December 2018
Pre-financing payment(s)	N/A
Interim payment(s)	2020
Final payment(s)	2021

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017)4545 of 06 July 2017 sets out the main elements for the implementation of the pilot project.

The call for tender with the reference ENV.C.3/SER/2017/0025 is available at the following address: http://ec.europa.eu/environment/archives/funding/calls2017_en.htm

Commission Financing Decision expected to be adopted by the end of May 2018 will set out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No similar activities exist. Under Horizon 2020 there are no calls for such a topic, and it is outside the scope of ESIF.

For the first stage (2017 budget) a project on this topic could not have been covered through a LIFE environment and resource efficiency project under the LIFE Environment strand of the current LIFE programme either. The project is more focused on studying the scale, chemical components and their health effects from solid waste burning for domestic heating purposes, as opposed to concrete implementation of measures on the ground to reduce such burning and the related emissions as is required for this strand. In this sense, it can be seen as providing potential input for a LIFE project like the one referenced in Section 5.1.

For the second stage (2018 budget) only some awareness activities could partially be covered through a LIFE governance and information project (but the basis and coherence with the other elements of this project would be lost if such a split would be introduced); all other elements would not be possible

considering the priorities under this LIFE strand in the recently adopted Multi Annual Work Programme 2018-2020. In this sense, it can be seen as providing potential input for a LIFE project like the one referenced in Section 5.1.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 *Type of activities financed, number and amounts*
– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Ongoing call for tender	Not yet known	750 000

The 2018 project will be implemented with the support of experts selected on the basis of two calls for tenders, which should address the constitutive elements of the project outlined above, over a period of 3 years. One call will cover the analytical work related to build up the knowledge base, in particular on health impacts, developing proposals for concrete actions to reduce the occurrence of such practices and estimate their cost-benefit ratios. A second call will focus on raising awareness of citizens on the issue and on possible mitigating measures, undertake some pilot tests and assess their usefulness for air quality plans and programmes in EU legislation. The contracts will also include a communication and dissemination component aimed at raising awareness about the project and its results.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The projects are and will be implemented through open calls for tenders.

For the 2017 project an open Call for tender was launched in August 2017. Only one offer was received. Following the opening of the offer, it was concluded that the offer did not meet the minimum requirements set in the Terms of Reference and a Non-Award decision was adopted. The applicant was informed accordingly.

The call for tender was relaunched in March 2018, with closing date of 3 May 2018. It will be promoted more widely, beyond the usual means, to encourage more applications.

The 2018 project will be implemented with the support of procurement contractors on the basis of two calls for tenders.

5.6 *Types of beneficiaries*

Member States national, regional and local authorities or environmental agencies, citizens' associations, academics, environmental consultants, environmental NGOs, health practitioners.

1. Heading

07 02 77 39: Pilot Project — Establishing regional or local platforms on coexistence between people and large carnivores focused on key actions for large carnivores in areas with high levels of conflict

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	499 500,00	0,00	500 000	400 000	p.m.	300 000	-100,0 %	-25,0 %
Reserve							0,0 %	0,0 %
Total	499 500,00	0,00	500 000	400 000	p.m.	300 000	-100,0 %	-25,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

The objective of this project is to establish pilot local or regional groupings of stakeholders and authorities to improve the coexistence between people and large carnivores, based on the model of the EU Large Carnivores Platform and on the experience from other regional platforms, e.g. established through projects funded under the LIFE programme. The geographical areas should be selected in those EU Member States/regions where there are serious conflicts on coexistence between humans and large carnivores. They should include the main interest groups active in the area and the local/regional authorities responsible for the management of large carnivores. The acceptance of the coexistence with large carnivores and of the principles established under the EU Platform should be the starting point/pre-condition of the stakeholders' involvement. In each of the selected areas, the project will support the implementation of two or three actions included in the document "Key actions for large carnivore populations in Europe". The focus will be on actions relating to conflict resolution, communication, reducing socio-economic problems and establishing win-win socio-economic benefits in line with the objectives of the EU Nature Directives and the EU 2020 biodiversity strategy.

Intentions for the current year 2018:

Under the contract ENV.D.3/SER/2017/0028, concluded on 28.12.2017, three pilot local or regional platforms of stakeholders and authorities will be established to improve the coexistence between people and

large carnivores, based on the model of the EU Large Carnivores Platform (available at the following address: http://ec.europa.eu/environment/nature/conservation/species/carnivores/coexistence_platform.htm) and on the experience from other regional platforms, e.g. established through LIFE projects (available at the following address: http://ec.europa.eu/environment/nature/conservation/species/carnivores/promoting_best_practices.htm), or the previous year of this pilot project. Further more recent LIFE projects include: LIFE15 NAT/GR/001108 - LIFE AMYBEAR; LIFE16 NAT/ES/000573 - LIFE OSO COUREL; LIFE16 NAT/SI/000634 - LIFE Lynx and LIFE16 GIE/DE/000661 - LIFE EUROLARGE CARNIVORES. In particular, the latter involves 17 countries and proposes a stakeholder oriented information and learning approach to ensure effective implementation of population based conservation strategies for large carnivores.

The three geographical areas (in Romania, Spain and Italy) have been selected in those EU Member States/regions where there are serious conflicts on coexistence between humans and large carnivores. The platforms will include the main interest groups active in the area and the local/regional authorities responsible for large carnivores' management. The acceptance of the coexistence with large carnivores and of the principles established under the EU Platform should be the starting point/pre-condition of the stakeholders' involvement.

In each of the three selected areas, the project will support the implementation of two or three relevant actions included in the document "Key actions for large carnivore populations in Europe" (available at the following address: http://ec.europa.eu/environment/nature/conservation/species/carnivores/pdf/key_actions_large_carnivores_2015.pdf). The focus will be on actions relating to conflict resolution (available at the following address: http://ec.europa.eu/environment/nature/conservation/species/carnivores/promoting_dialogue.htm), communication, reducing socio-economic problems and establishing win-win socio-economic benefits in line with the objectives of the EU Nature Directives (available at the following address: http://ec.europa.eu/environment/nature/legislation/index_en.htm) and the EU 2020 biodiversity strategy (available at the following address: http://ec.europa.eu/environment/nature/biodiversity/strategy/index_en.htm).

Another call for tender will be launched mid-2018 to support the establishment of additional regional or local platforms.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	06 July 2017
Publication of call for tender	16 September 2017
Award decision	28 December 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2018
Final payment(s)	To be determined

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for tender	Mid-2018
Award decision	End of 2018

Pre-financing payment(s)	N/A
Interim payment(s)	2019
Final payment(s)	To be determined

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)4545 of 06 July 2017 sets out the main elements for the implementation of the 2017 pilot project.

The call for tender with the reference number ENV.D.3/SER/2017/0028 is available at the following address: http://ec.europa.eu/environment/archives/funding/calls2017_en.htm.

The 2018 Commission Financing Decision expected to be adopted by the end of May 2018 will set out the main elements for the implementation of the 2018 pilot project.

- Do similar activities exist, which are already covered by a legal basis?

The Commission currently supports via the LIFE programme the EU Platform on Coexistence between People and Large Carnivores whose focus is on EU level stakeholder dialogues. This Large Carnivores Platform functions at broad EU-level, while the pilot project targets exactly what the Platform cannot do on its own, which is to bring that dialogue, exchange of best practices, solutions, etc., to the regional, biogeographical and local level, supporting concrete actions on the ground to reduce existing conflicts.

The recently approved Action Plan for nature, people and the economy (COM(2017) 198 final of 27 April 2017) has included actions to address problems of coexistence between protected large carnivores species and human activities.

In particular, under Action 7 it is envisaged to further support stakeholder platforms/fora to promote dialogue, to exchange experience, knowledge and cooperate in addressing conflicts between people and protected species. The pilot project will thus support the immediate follow-up of the actions in the plan, including in relation to strengthening stakeholder platforms and dialogue on conflict species (large carnivores).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Service contract establishing regional or local platforms on coexistence between people and large carnivores	Istituto Ecologia Applicata – Rome Italy	500 000

These details of the implementation of the 2018 pilot project are not yet known. The Commission intends to implement this pilot project through an additional single service contract, on the basis of an open call for tenders. The expected duration of the contract will be 3 years.

5.5 Method of implementation (tenders, grants, other) and evaluations

The projects are implemented through open calls for tender.

5.6 *Types of beneficiaries*

Stakeholders' groups, authorities.

1. Heading

07 02 77 40: Pilot Project — Towards evidence-based improvements in Birds and Habitats Directives (BHD) implementation: systematic review and meta-analysis

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	0,00	p.m.	150 000	p.m.	350 000	0,0 %	133,3 %
Reserve							0,0 %	0,0 %
Total	500 000,00	0,00	p.m.	150 000	p.m.	350 000	0,0 %	133,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The Fitness Check on the Birds and Habitats Directives (BHD) conducted by the Commission found that inadequate knowledge and poor access to data are obstacles to the successful implementation of the Birds and Habitats Directive (BHD).

The proposed pilot project will aim to respond to this problem by building on the knowledge base and evidence gathered during the fitness check and by bringing together and analysing relevant knowledge, evidence, tools, approaches and good practices that can help improve BHD's performance.

Taking into account the budget reduction in comparison with the initial proposal, as underlined by the Commission in the letter of executability of 28 October 2016, the pilot project will focus on the practical application in real life situations, including in relation to site protection management, restoration and dealing with the evaluation of plans and projects that may affect sites.

The knowledge base will be organised to ensure that practitioners and stakeholders have access to information necessary for implementing different requirements of the Directives. This will require developing and strengthening approaches for defining information needs, sourcing, gathering and ensuring good access to and use of evidence for implementation, building on and with a view to promoting good practice in the Member States. As such it will not only involve the scientific community but also practitioners and stakeholders that are directly involved in the day to day challenges of implementation.

The pilot project will strategically support the delivery of an Action Plan focused on improved implementation of the Directives as follow up to fitness check.

Following call 2017/S 242-501933, which ran from 16 December 2017 to 06 February 2018, one offer was received. The offer fulfilled all submission conditions and was admissible for evaluation.

Intentions for the current year 2018:

The evaluation process is ongoing with an expected award decision in April/May 2018. The contract builds on the objectives defined above.

The tenderer will provide services to advise the Commission, Member State authorities and other stakeholders on the better use of scientific knowledge and scientific networks in support of the implementation of the nature directives. Building on the evidence gathered during the Fitness Check, the project shall mobilise the scientific community in support of better and more effective implementation of the EU nature legislation. The contract shall enhance the accessibility of scientific knowledge to policy makers and all players involved in implementation, i.e. providing a science-policy interface, by performing a systematic review and meta-analysis of existing scientific evidence, closing as far as possible scientific knowledge and data gaps defined in the Fitness Check and by assessing how far the scientific community can help or even offer solutions to strategic problems in the area of EU nature conservation.

The contractor will analyse relevant knowledge, evidence, tools, approaches and good practices and by bringing together scientists and scientific networks of relevance to the particular topics defined in the tender specifications. The work will focus on two areas most relevant for better implementation of the Nature Directives. The first topic covers better availability of data, information and knowledge on habitat types, species and sites, particularly in relation to data required for conservation status assessment and data required for site designation and management. The second topic focuses on underpinning the effectiveness of Natura 2000 by scientific evidence in several areas (impact of management and restoration measures at site and network level, network coherence and connectivity, contribution of Natura 2000 to maintaining and improving ecosystems). The contract foresees an extensive collection and analysis of scientific data and information, including several consultation activities with the scientific community and other relevant stakeholders. Envisaged outputs are user-friendly, easy-to-read online handbooks. These handbooks will include a summary of the analysis done and the conclusions and recommendations on how to use existing information and databases, streamline methodologies and approaches, improve the quality, use and cost-effectiveness of data use and methodologies and tackle knowledge gaps. The handbooks will be addressed to all stakeholders and practitioners involved in or concerned by the implementation of the Nature Directives.

All tasks should be completed within 30 months of the signature of the contract, including several milestones: inception report 4 weeks, interim 10 months, draft handbooks 18 months, two workshops 20 months, draft final handbooks 28 months, final handbooks 30 months, final report 30 months after signature of the contract.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	06 July 2017
Publication of call for tender	16 December 2017
Award decision	April-May 2018
Pre-financing payment(s)	N/A

Interim payment(s)	2019 (interim report 10 months after signature of the contract)
Final payment(s)	To be determined

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)4545 of 06 July 2017 sets out the main elements for the implementation of the pilot project.

The call for tender with the reference number ENV.D.3/SER/2017/0038 is available at the following address: http://ec.europa.eu/environment/archives/funding/calls2017_en.htm.

- Do similar activities exist, which are already covered by a legal basis?

A project on this topic could potentially have been covered through a LIFE Nature and Biodiversity project under the LIFE Environment strand of the current LIFE programme. It should be noted, however, that the need to strengthen the knowledge base and improve access to data for implementation of the Nature Directives is highlighted as a key action in the recently approved "Action Plan for nature, people and the economy" (COM(2017) 198 final of 27 April 2017). The pilot project will thus support the immediate follow-up of the Actions in the Plan.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
	Not yet known	500 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project is implemented through an open call for tender.

5.6 *Types of beneficiaries*

Stakeholders' organisations, academic institutions, authorities.

1. Heading

07 02 77 41: Pilot Project — Promoting alternatives to animal testing

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	700 000,00	0,00	p.m.	300 000	p.m.	400 000	0,0 %	33,3 %
Reserve							0,0 %	0,0 %
Total	700 000,00	0,00	p.m.	300 000	p.m.	400 000	0,0 %	33,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The purpose of the project is to promote the use of alternatives to animal testing in the EU through information sharing and education activities. This pilot project should play a pivotal role for the implementation of the legislation at present in force in view of replacing animal use in research and testing by alternative approaches. It aims to actively promote existing alternatives, facilitate development and validation of new alternatives, foster exchanges of information, knowledge and best practices and provide tools for education and training to facilitate the application of the principle of Three 'R's—to Replace, Reduce and Refine the use of animals used for scientific purposes—in line with Directive 2010/63/EU.

The above-mentioned objectives were discussed and agreed with the MEP at the origin of this pilot project. Three separate, identifiable pillars are envisaged:

- To strengthen the European Union Reference Laboratory for alternatives to animal testing (EURL ECVAM) in the Joint Research Centre (JRC) specifically to improve information and knowledge exchange between the scientific community, EU agencies, national authorities and users of animals in EU Member States.

Specific action(s) will be identified as a result of Action 1 of the Commission response to European Citizens' Initiative "Stop Vivisection" with a view to:

- encourage and optimise scientific research in order to develop and validate alternatives to animal use,
 - establish linkage between the EU and national regulatory frameworks and research community in basic and applied research in order to make the uptake of alternative methods more effective.
- To support structures such as the Education and Training Platform for Laboratory Animal Science (ETPLAS), which pools together Member State authorities, course providers and course accreditors, as a one-stop-shop in the EU for provision of information on available modular training courses, of agreed quality, in line with the EU Education and Training Framework guidance.
 - To develop education and training programmes, including on-line courses, addressed to those involved in use and care of animals for scientific purposes and to the authorities in the field, including an assessment of the feasibility of a creation of Master's Degree in the field. The training will focus on the development of new alternatives to animal testing, on the search for existing alternatives and on the implementation of obligations under Directive 2010/63/EU.

Intentions for the current year 2018:

The Commission has advanced with the three pillars as follows:

- EURL ECVAM identified recommendations made under Action 1, report "Accelerating progress in the replacement, reduction and refinement of animal testing through better knowledge sharing" (available at the following address), that best support the aims of the EP Pilot. In line with the two objectives as described in the interim report, it was considered that
 - in addition to addressing current training needs, the provision of *future educators* with guidance and practical tools at three key levels (high school, university, early career), would have the widest and most long-term impact on the uptake of Three Rs and non-animal alternatives;
 - using selected fields of biomedical research in the area of basic and applied research as case studies, to assess how Three Rs uptake could be optimised by ensuring effective communication amongst all key contributors, and what specific actions could support and accelerate broad multi-disciplinary approaches.

In 2017, EURL ECVAM launched—prior to EP Pilot funds becoming available—four strategic initiatives with the specific objective to gather a sufficient knowledge base for concrete follow-up actions to be undertaken within the EP Pilot. These initiatives were to:

- identify feasibility of the creation of Three Rs indicators to assess progress in the promotion and uptake of the Three Rs more efficiently;
- mapping existing education and training provision to identify how, where and to whom the Three Rs principles and alternative-to-animal approaches are currently being taught also when implicitly supporting Three Rs progress;
- a study to review non-animal models and methods already in use for basic and applied research, using Respiratory Tract Diseases and Neurodegenerative Disorders as case studies with the aim to understand whether these could be applied in a more general manner across different biomedical research areas; and

- bEAMS (Bridging Across Methods in bioSciences) initiative to discuss the utility and feasibility of establishing a knowledge sharing forum specifically for bridging across scientific methods in the biosciences.

In 2018, the deliverables from all these actions will start to merge allowing EURL ECVAM, within the EP Pilot to:

- develop a set of teaching resources on alternative approaches (including scientific, policy and societal aspects) tailor-made to support learning within each of the three target groups (high school, university, early career);
 - deliver a feasibility study in support of the development of guidance for educators, educational institutes and relevant ministries. This actual guidance, to be developed as a second step, will aim to advise on effective ways of creating, adapting and implementing curricula and practices specific to teaching of the Three Rs and alternatives approaches; and
 - support a follow-up activity on the basis of BEAMS initiative and findings thereof.
- In 2017, a strategic plan was developed to promote ETPLAS as the one-stop-shop in the EU for information on available modular education and training in line with the EU Education and Training Framework guidance. An element requiring urgent attention, and which closely follows the novelty of the Directive with the focus on competence (in addition to the requirements on education and training), is the current lack of tools to facilitate harmonised approach to the assessment of learning outcomes within the Modular Training Framework and competence. Furthermore, the deliverables from the first and third pillar should ideally be hosted, as well as disseminated as part of a wider communication strategy, by ETPLAS, where appropriate. The terms of reference of the grant will be drafted on these bases.
 - Two calls for the development of open access interactive e-learning training modules have been announced.

The first call focuses specifically on non-animal alternatives: "The development of alternatives with a view to regulatory application" aims at accelerating the timeline from the development to regulatory acceptance of new alternative methods. Module "Searching for non-animal alternatives" aims to equip the trainee with a systematic approach to searching for alternatives.

The second call addresses the Three Rs within the framework of Directive 2010/63/EU to develop modules, two of which will focus on all Three Rs namely how to carry out "An effective project evaluation" and "Design of procedures and projects". The third module will address continued Refinement within "Severity Assessment Framework".

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	06 July 2017
Cross sub-delegation with the JRC	27 February 2018
Award decision and signature of the grant agreement without a call for proposal	Second half 2018
Pre-financing payment(s)	At the signature
Interim payment(s)	2019
Final payment(s)	2020
Publication of the calls for tender	Second quarter 2018

Award decision	Second half 2018
Pre-financing payment(s)	N/A
Interim payment(s)	2019
Final payment(s)	2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)4545 of 06 July 2017 sets out the main elements for the implementation of the pilot project.

The call for tender will be published at the following address:
http://ec.europa.eu/environment/funding/calls_en.htm.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Cross sub-delegation with the JRC	Joint Research Centre	300 000
Call for tender	Tender procedure ongoing	400 000
Direct grant	Education and Training Platform for Laboratory Animal Science (ETPLAS)	300 000

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented through:

- a cross sub-delegation to JRC, managing the European Union reference laboratory EURL ECVAM activities;
- two procurement contracts for the production of educational programmes and/or interactive training modules;
- the Commission is also envisaging a possibility to award a grant without call for proposals provided the conditions of article 190(1) (c) or (f) of the Rules of Application of the Financial Regulation are.

5.6 Types of beneficiaries

The Joint Research Centre (JRC), the successful tenderers of the calls for tenders as well as an organisation of key stakeholders (training providers, training accreditors and Member State authorities) in the field of education and training at EU level, ETPLAS.

1. Heading

07 02 77 42: Pilot Project — EU butterfly monitoring and indicators

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		800 000	400 000	p.m.	240 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		800 000	400 000	p.m.	240 000	-100,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2018 budget.

Intentions for the current year 2018:

The project will create a representative butterfly (Lepidoptera) monitoring network across the Union for developing a suite of Lepidoptera indicators to potentially contribute to the improvement of the targeting and efficiency of conservation measures under the Council Directive 92/43/EEC (Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora OJ L 206, 22.7.1992, p. 7–50) and also for informing the biodiversity impact of European sectoral and land use policies.

The objectives are:

- to construct a unified, high-quality database and automated data entry system;
- to support and develop a unified, sustainable and cost-effective European monitoring network based on trained volunteer recorders, supported by new coordinators, together with local access to the on-line data recording system;
- to produce policy-relevant Lepidoptera indicators for a range of habitats, analyse results and disseminate findings to policy makers and the public;
- project management and administration.

Expected results will include:

- a unified database of validated and standardised Lepidoptera counts from across searchable European monitoring schemes;
- volunteer-based and expert-validated Lepidoptera monitoring schemes in most European countries, and increased opportunities for young people to become involved in a European-level project of practical value and policy importance;
- a suite of policy-relevant Lepidoptera indicators for different habitats within the EU and Europe as a whole. A series of reports which interpret the significance of Lepidoptera indicators and trends;
- the development of Lepidoptera as potential policy-relevant indicators at EU level; sharing of best practice; and improved awareness amongst the public.

Activities will include:

- producing a unified, high-quality database and automated data entry system for Lepidoptera monitoring records;
- produce policy-relevant Lepidoptera indicators for a range of habitats and improve the geographical scope of the European grassland butterfly indicator;
- produce a unified, sustainable and effective European monitoring network;
- supporting further development and improving the quality and sustainability of a number of existing and fledgling schemes;
- providing training and essential translations of key documents and facilitating learning among volunteers supported by new coordinators.

Analysing and disseminating results to different (international) institutions, policy makers, researchers and the public.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	16 March 2018
Publication of call for proposals / call for tender	April 2018
Award decision	June 2018
Interim payment(s)	2018/2019
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2018)1514 of 16 March 2018 sets out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

One service contract of an estimated value of EUR 800 000 is envisaged.

5.5 Method of implementation (tenders, grants, other) and evaluations

The Commission intends to implement this pilot project with the support of a contractor selected on the basis of a call for tenders.

5.6 Types of beneficiaries

Member States national, regional and local authorities or environmental agencies, academics.

1. Heading

07 02 77 43: Pilot Project — Using satellite images to improve the operation of the Natura 2000 network

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2018 budget.

Intentions for the current year 2018:

DG ENV is planning to launch an open call for tender by mid-2018, so that a contract can be signed by the end of the year.

This pilot project aims at using available satellites images to better understand and tackle the threats to Natura 2000 areas stemming from habitat loss.

Under the Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora and under the Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds, Member States are obliged to protect Natura 2000 sites. However, in practice thousands of hectares of natural habitats are lost due to factors such as conversion of natural grasslands into cropland, intensification or abandonment of grassland use, urbanisation, water abstraction and drainage, etc.

Freely available satellite imagery from the European Union's Copernicus programme and LANDSAT (Land Remote-Sensing Satellite System) are able to provide high-resolution images for whole Europe, with image archives now spanning several decades. In order to understand how much habitat has been lost in Natura 2000 sites per ecosystem type and over time, multiple satellite images collected from the same area will need to be aligned, analysed and processed. These images will then be converted into habitat maps and verified using existing maps and other data.

The maps will then be presented on an online platform, identifying hotspots of habitat loss in the Natura 2000 network of sites. The platform will have to be user friendly, with downloadable data, as this will enable citizens, civil society organisations and scientists to use the project results to the fullest.

Ultimately, the project will contribute to maintaining land use in Natura 2000 areas that is compatible with conserving biodiversity, and contribute to resolving conflicts over land use. As such, the project will also contribute to achieving the Sustainable Development Goals (SGD), such as SDG 15 on sustainable forest management, halting and reversing land degradation and halting biodiversity loss. The project is also fully in line with Action 3 of the Nature Action Plan aiming at improving knowledge, including through enhanced and more efficient monitoring, and ensuring public online access to data necessary for implementing the above-mentioned Directives (e.g. through satellite imagery from the Copernicus programme).

In line with the budgetary remarks for this pilot project, the contract is expected to focus on the following actions and expected results:

- develop methodologies to identify, on the basis of satellite imagery, the above-mentioned Directives-relevant land use changes that occurred over the last 20 years in Natura 2000 sites throughout the Union; for land use changes that occurred more recently, these methods should also fully take account of the recent improvements in terms of quality (resolution and types of sensors) and frequency of available imagery; the primary focus should be on identifying losses of semi-natural grasslands and the drivers of these losses, but other land use changes (e.g. loss of forests habitats that are important for biodiversity, etc.) should also be considered for the development of such methodologies;
- test these methodologies in a number of pilot projects that will need to involve a comprehensive uncertainty analysis, including groundtruthing, in view of further finetuning these methodologies;
- develop solutions for dealing with large data volumes in an effective and cost-efficient way; and identify the required download and storage capacities;
- developing a public-access pilot online tool that will display qualitative, quantitative and temporal information on land use and land use changes in Natura 2000 sites, and inform users about these changes in the most policy relevant way (for example, in the form of heatmaps identifying hotspots of grassland losses).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	16 March 2018
Publication of call for tender	Mid-2018
Award decision	End-2018
Interim payment(s)	2019
Final payment(s)	To be determined

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2018)1514 of 16 March 2018 sets out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

DG ENV is not aware of any activities that would specifically cover the requirements of the present pilot project.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

One service contract of an estimated value of EUR 1 000 000 is envisaged.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented through a service contract, on the basis of an open call for tenders. The expected duration of the contract will be two to three years.

5.6 Types of beneficiaries

Potential beneficiaries are IT companies specialised in the treatment of satellite imagery.

1. Heading

07 02 77 44: Pilot Project — Map of solutions, best practices and remedies for Lindane pesticide waste decontamination in the EU

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		600 000	300 000	p.m.	300 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		600 000	300 000	p.m.	300 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Intentions for the current year 2018:

In 2018, one or two calls for tender will be launched and awarded to implement a pilot project on Lindane as requested by the European Parliament.

HCH, commonly known as "Lindane", is a persistent organic pollutant (POP). The production and agricultural use of Lindane are the primary causes of environmental contamination. Although it has been banned as a crop pesticide since 2000, its persistence, bioaccumulative and toxic properties have given rise to serious concerns, taking into account the ability of HCH-polluted-spots to widely disperse HCH pollution into surface and groundwater.

Article 6 and in particular indent e) of the 2005 Stockholm Convention on POPs (which includes Lindane in its scope) requires its Parties to identify the sites contaminated by POPs and to dispose of the contaminated soil in an environmentally sound manner.

This pilot project aims at defining effective methods for decontamination of the polluted spots, identifying funding options and research gaps, and creating a framework to facilitate the decontamination efforts needed. Raising public awareness on Lindane, stimulating knowledge exchange between problem owners, policy makers and scientists, and disseminating the results to the stakeholders deserve special attention.

Following the reduction of the amount assigned to this pilot project (from EUR 1 500 000 EUR to EUR 600 000), the scope of this pilot project needs to be revised.

As a consequence, for the implementation of this pilot project the Commission intends to take stock from existing studies and the significant work already undertaken, e.g. the study "Lindane (persistent organic pollutant) in the EU" (available at the following address: [http://www.europarl.europa.eu/RegData/etudes/STUD/2016/571398/IPOL_STU\(2016\)571398_EN.pdf](http://www.europarl.europa.eu/RegData/etudes/STUD/2016/571398/IPOL_STU(2016)571398_EN.pdf)) commissioned by the European Parliament's Policy Department for Citizens' Rights and Constitutional Affairs at the request of the Committee on Petitions (PETI).

On the basis of the results/information already available, it will be possible to focus on one or more of the following objectives:

- to define practices and solutions developed for Lindane decontamination, to assess the effectiveness of the experiences so far related to the decontamination of identified Lindane waste sites, to take stock of the results of EU funded projects and to use all these findings to develop guidelines for the environmentally sound remediation of Lindane.
- to facilitate the identification and dissemination of best practices for Lindane waste decontamination actions, to identify research gaps, as well as facilitate the technological transfer and exchange of views, knowledge and experiences between scientific experts and other actors and stakeholders (public authorities, citizens, NGOs) from various EU Member States, to raise public awareness and to disseminate the results to the stakeholders and the authorities confronted with Lindane pollution.
- to investigate the funding options for the remediation and the redevelopment of sites contaminated with Lindane.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for tender	Second half 2018
Award decision	End 2018
Pre-financing payment(s)	N/A
Interim payment(s)	2019
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision expected to be adopted in May 2018 will set out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

In 2016, the Policy Department for Citizens' Rights and Constitutional Affairs of the European Parliament delivered a study "Lindane (persistent organic pollutant) in the EU" at the request of the Parliamentary Committee on Petitions (PETI).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of the pilot project, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project will be implemented with the support of one or two procurement contract(s) awarded following one or more call(s) for tenders.

5.6 *Types of beneficiaries*

Beneficiaries of the project results: local, regional, national and European authorities, problem owners, civil society

Type of contractor: private consultants, research institutions, NGOs.

1. Heading

07 02 77 46: Pilot Project — Assessing, identifying, sharing and disseminating best practices for the humane management of invasive alien species

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Intentions for the current year 2018:

The objective of this project is to promote the uptake of the best practices for the humane management of invasive alien animals, through lethal or non-lethal measures, without compromising the objectives of Regulation (EU) No 1143/2014 on invasive alien species.

The project shall identify the existing effective management measures for invasive alien animals with a view to eradicate, control and/or contain their populations in order to minimise their impact on biodiversity, the related ecosystem services and where applicable, on human health or the economy. The identified measures shall be assessed in terms of their cost-effectiveness, how humane they are considered (e.g. sparing any avoidable pain, distress or suffering, being lethal or non-lethal) and other possible side-effects.

The project will address in particular animal species included on the list of invasive alien species of Union concern (Commission Implementing Regulations (EU) 2016/1141 and 2017/1263).

The project shall also target

- the dissemination of the measures for the management of the invasive alien animals among the competent authorities and the key stakeholders.

- the development of materials to be used by the competent authorities of the Member States and practitioners, in relation to their obligations under Article 19 of Regulation (EU) No 1143/2014.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for tender	June 2018
Award decision	Fourth quarter 2018 (to be confirmed)
Pre-financing payment(s)	N/A
Interim payment(s)	To be determined - the structure of the payments is not yet known
Final payment(s)	To be determined - the structure of the payments is not yet known

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision expected to be adopted by the end of May 2018 will set out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As the procurement procedure will only be finalised by the end of 2018, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project will be implemented with the support of a procurement contractor selected on the basis of a call for tenders.

5.6 *Types of beneficiaries*

Participation in this procurement procedure will be open on equal terms to all natural and legal persons coming within the scope of the Treaties, as well as to international organisations. It will also be open to all natural and legal persons established in a third country which has a special agreement with the Union in the field of public procurement on the conditions laid down in that agreement.

1. Heading

07 02 77 47: Pilot Project — Integrating smart sensors and modelling for air quality monitoring in cities

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Intentions for the current year 2018:

Air quality sensors enjoy increasing popularity among the civil society and the scientific community, because they have the potential to provide data with a higher temporal and spatial resolution than conventional networks of air quality monitoring stations. Air quality sensor networks are a relatively young technology whose main challenge is to ensure that the quality of their measurements is sufficient to support air quality monitoring and to inform policy decisions. Developing quality assurance and quality control strategies for air quality sensor networks is also especially important to address data handling issues such as the treatment of outliers, normalisation and averaging.

The main aim of the pilot project is to set up networks of air quality sensors in three cities. This will support the achievement of the following objectives:

- to gather and document experience on setting up such urban networks, including reliable power supply, networking and maintenance;
- to assess the performance and suitability of different types of sensors for different pollutants and purposes. The assessment will be based on technical criteria related to the quality of measurements such as uncertainty, bias, hysteresis, response time, and effects of temperature and humidity on measurements, selectivity and sensitivity. Other practical criteria such as cost, maintenance requirements, failure rates, ease of use, durability and interoperability will also be considered.

- to develop quality assurance and quality control (QA/QC) strategies for sensors and sensor networks, including online calibration procedures.

To develop methods to merge the additional data gathered by air quality sensors with the data supplied by conventional networks of air quality monitoring stations in order to support air quality models and to enhance the availability of reliable air quality information overall.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	16 March 2018
Amendment to the financing decision	May 2018
Signature of administrative agreement (AA) with JRC	June 2018
Interim payment(s)	June 2018
Final payment(s)	At the delivery
Open call for tender (for the deployment of sensors, not part of the AA with JRC)	Third quarter 2018
Interim payment(s)	2019
Final payment(s)	To be determined

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2018)1514 of 16 March 2018 sets out the main elements for the implementation of the pilot project. An amendment to this decision will have to be adopted (foreseen adoption May 2018) in order to include the possibility of the call for tender for the deployment of the sensors.

- Do similar activities exist, which are already covered by a legal basis?

The VAQUuMS project (LIFE 2016 preparatory project), coordinated by the Flemish Environment Agency will assess the possibilities to measure local and regional air quality with mobile and portable devices as well as biological methods, compare results with some official stations and provide the statistical and IT tools to process data adequately in a user-friendly way. The Commission expects to award at most one grant in this area, with a maximum EU contribution of EUR 600 000.

The VAQUuMS project differs fundamentally from pilot project 07 02 77 47 as the former focuses on portable measurement devices with limited measurement capabilities and costing hundreds of EUR, whereas the latter focuses on stationary devices costing approximately EUR 10 000 EUR per unit and capable of measuring and logging several air quality parameters.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

Title / Action	Beneficiary / contractor	EUR (maximum)
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Administrative Agreement with the JRC	Joint Research Centre	750 000
Open call for tender for the deployment of low-cost sensor networks in three EU cities	External contractor not yet known	250 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project will be partly implemented through a three-year administrative agreement with the Joint Research Centre (JRC). The JRC is a natural choice to implement this pilot project meant to develop quality assessment and control strategies for urban air quality sensor networks, because it already runs the European Reference Laboratory for Air Pollution, which is the Commission's body responsible for organising European quality assurance programmes for air pollution measurements.

Part of the funding will be allocated to an external contractor through an open call for tender. The external contractor will develop a deployment strategy for an air quality sensor network and establish three such networks in three EU cities according to the technical specifications provided (to be drafted by DG ENV with the support of the JRC). The interface with local authorities of the selected cities will be managed by the contractor.

5.6 *Types of beneficiaries*

The JRC via the administrative arrangement.

External contractor (via open call for tender).

1. Heading

07 02 77 48: Pilot Project — Nature-Based Solutions for climate and water pollution mitigation in agricultural regions (Budget line in 2018 Budget: (34 02 77 04))

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		700 000	350 000	p.m.	350 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		700 000	350 000	p.m.	350 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Intentions for the current year 2018:

The project will aim to identify the cost and benefits of a range of nature-based solutions, with a focus on treatment of manure-derived waste and sludge, landscape elements in relatively wet and cold regions and landscape elements in relatively dry and hot regions.

The project will be implemented using nine pilot cases where nature-based solutions have been applied to deal with a range of problems: waste water treatment, limit impact of diffuse pollution and water retention. Each case will be studied in detail to establish costs and direct and indirect benefits, set up a hydrological model to allow extending the solution to the entire river basin, identify bottlenecks for implementation and identify a business model to allow implementation.

The results will be synthesised, drawing besides the analysis of the pilot cases also on the results of other relevant European Commission activities such as the Blue2 study (available at the following address: http://ec.europa.eu/environment/blue2_en.htm), on the socio-economic assessment of policies aiming to improve the quality of freshwater and the marine environment, and previous work on natural water retention measures (available at the following addresses: <http://nwrn.eu/>; http://ec.europa.eu/environment/nature/ecosystems/pdf/Green%20Infrastructure/GI_water.pdf) aiming to safeguard and enhance the water storage potential of landscape, soil, and aquifers, by restoring ecosystems, natural features and characteristics of water courses and using natural processes.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Signature of administrative agreement with JRC	June 2018
Delivery of final report	Autumn 2019
Interim payment(s)	December 2018 (after pilot projects and EU meta scale analysis)
Final payment(s)	June 2019 (after finalisation of the project)

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision expected to be adopted by the end of May 2018 will set out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

The previously EU-funded website (available at the following address: <http://nwrn.eu/>) holds an inventory of relevant projects. The LIFE programme has funded projects on the water / nature nexus that are relevant to this project. However, no activity is currently ongoing that systematically looks at a range of pilot cases that have applied nature based solutions, synthesises results and draws policy lessons at EU level.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2018 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Administrative Agreement with the JRC	Joint Research Centre	700 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project will be implemented through an administrative agreement with the Joint Research Centre (JRC). The implementation period is foreseen for 18 months. The JRC has extensive expertise in modelling the impact of various activities on water quality and quantity and has a profound experience with such nature-based solutions.

5.6 *Types of beneficiaries*

JRC.

1. Heading

11 06 77 07: Pilot Project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of Union's Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	361 655,40	p.m.	361 656	p.m.	361 655	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	0,00	361 655,40	p.m.	361 656	p.m.	361 655	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 budget.

Objectives followed during 2017 and previous years' implementation:

The pilot project (short title "Marine Protected areas: network(s) for enhancement of sustainable fisheries in the EU Mediterranean") aims to test and demonstrate whether networks of marine protected areas provide bio-economic usefulness for management and enhancement of sustainable fisheries with a view of exploiting marine biological resources at rates which restore and/or maintain populations of harvested stocks above levels which can produce the maximum sustainable yield.

The pilot projects shall help in identifying, over varying geographic spatial scales depending on the exploited resources and fisheries, likely coherent networks of already existing and (where duly justified) new protected areas which support area-based management for fisheries whilst enhancing cooperation amongst stakeholders and Member States in the regionalised implementation of the Common Fisheries Policy.

Following a call for proposals, three projects were launched at the end of 2015.

The scope of these projects covers the fish stocks and fisheries in the Mediterranean EU waters, as well as in the international waters immediately adjacent.

The fish stocks (i.e. fin-fishes and/or shellfish) and fisheries concerned cover a sufficiently representative proportion of the fisheries' production over a sufficiently wide geographical area including more than two contiguous administrative regions (NUTS 2) within a Mediterranean sub-area and preferably with a cross-border dimension.

Specific objectives of these projects are:

- providing critical analyses of the bio-ecological, fisheries, technical, legal, economic and organizational matters at stake for an effective interplay between nature conservation and sustainable exploitation of the marine resources in line with the maximum sustainable yield objectives while taking into account knowledge about the interactions between fish stocks, fisheries and marine ecosystems;
- identifying possible technical/governance solutions to establishing adequate networks of MPAs in support of the MSY objectives of the CFP while corroborating the MSFD's objectives related to MPAs and GES in the Mediterranean;
- developing a participatory conservation which supports bottom-up approaches to fisheries conservation and management, with a view to feed into the regionalised processes on conservation measures under the CFP.

The expected deliverables have been submitted together with the inception and three interim reports presenting the state of advancement of the different tasks including the consolidated first results for some items. This will serve as basis for subsequent tasks and further progress in the achievement of the final objective.

Intentions for the current year 2018:

Under the projects the relevant tasks and actions continued to be carried out in 2017 and further in 2018 with a view to compiling and collecting the required data, to develop modelling of interactions between target species and fisheries including connectivity amongst MPAs, to continue involving stakeholders and set the basis for multi-criteria analyses of different area-based management frameworks.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2014 commitment appropriations

Step	Date
Adoption of financing decision	12 September 2014
Publication of call for proposals	27 July 2015
Closing date of call for proposals	08 October 2015
Award decision	27 November 2015
Pre-financing payment(s)	December 2015
Interim payment(s)	May 2017 and April 2018
Final payment(s)	March 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2014)6313 final of 12 September 2014 sets out the main elements for the implementation of the pilot project.

Call for proposals MARE/2014/41 is available at the following address: http://ec.europa.eu/dgs/maritimeaffairs_fisheries/contracts_and_funding/calls_for_proposals/2014_41/index_en.htm.

Information on the projects is available at the following addresses: Safenet (<http://www.criobe.pf/recherche/safenet/>); Protomedea (<http://www.protomedea.eu/index.php/en/>); and Mantis (<http://jadran.izor.hr/mantis/>).

- Do similar activities exist, which are already covered by a legal basis?

Issues related to marine protected areas, though with a completely different perspective, have been addressed through different studies and projects funded under the environmental framework (e.g. LIFE programme) and the research and innovation framework programmes. A study on the Economic Benefits of Marine Protected Areas, under the work programme of 2015 for the implementation of the European Maritime and Fisheries Fund, was undertaken in 2016 (contract no. EASME/EMFF/2015/1.3.1.8/SI2.737373).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The outcomes will serve the regionalised approaches on conservation measures in support of the implementation of the Common Fisheries Policy; where appropriate Member States may prepare joint recommendations with a view to the Commission submitting a proposal in accordance with the Treaty or to be empowered in a multiannual plan to establish biologically sensitive protected areas.

5.4 Type of activities financed, number and amounts

– 2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
MARE/2014/41 – Marine protected Areas Network Towards Sustainable fisheries in the Central Mediterranean (MANTIS)	Consiglio Nazionale delle Ricerche	630 000,00
MARE/2014/41 – Sustainable Fisheries in EU Mediterranean waters through network of MPAs – (SAFENET)	Centre National de la Recherche Scientifique	578 277,00
MARE/2014/41 – Towards the establishment of Marine Protected Area Networks in the Eastern Mediterranean (PROTOMEDEA)	Hellenic Centre for Marine Research	600 000,00

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project was implemented through a call for proposals (Ref MARE/2014/41).

5.6 Types of beneficiaries

The following types of entities located in the Mediterranean EU waters as well as, where required or relevant, in the international waters immediately adjacent, were eligible to participate in the call for proposals:

– universities, research centres and educational institutions (private or public);

- public authorities (national, regional, local administrations);
- public or semi-public bodies;
- profit or non-profit making private or public bodies;
- other interested associations, such as:
- advisory councils within the meaning of Regulation (EU) No 1380/2013;
- fishery producer organisations and associations of producer organisations within the meaning of Regulation (EU) No 1379/2013;
- Fisheries Local Action Groups (FLAGs) within the meaning of Regulation (EU) No 508/2014;
- non-governmental environmental organisations, fishermen's cooperatives and associations as officially recognised by EU Member States.

1. Heading

11 06 77 09: Pilot Project — Development of innovative, low-impact offshore fishing practices for small-scale vessels in outermost regions, including exchange of good practices and fishing trials

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	350 000	p.m.	150 000	0,0 %	-57,1 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	350 000	p.m.	150 000	0,0 %	-57,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

Objectives followed during 2017 and previous years' implementation:

The main objective of the pilot project is to encourage and share best practices among fishermen from outermost regions (ORs), especially those involving new fishing techniques which have a low environmental impact, and promoting offshore experimental fishing.

In particular:

- raising awareness of the opportunities to develop innovative fishing techniques which divert fishing effort away from the coastal resources;
- developing and testing low impact fishing techniques adapted to the bio-geographical conditions of each OR;
- creating alternative sustainable fishing opportunities that will help to consolidate jobs in the fishing industry and ensure a steady supply of fisheries products to local markets;
- exchanging of best practice on low-impact offshore fishing techniques between ORs, which will also do good to overseas countries and territories (OCTs) and third countries;

- improving communication among the OR's fishing sectors as part of the good functioning of the Advisory Council on Outermost Regions.

Intentions for the current year 2018:

The implementation of the project has been considerably delayed. The contract was signed on 8 July 2016 but the work started only in October 2017.

As the coordinator proposed a reduction of the work plan of the study, which entailed a reduction of the scope, and as the 30% of the beneficiaries had cancelled their participation in this study, the EU financial contribution will be reduced accordingly. The duration of the agreement will be extended by 15 months.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2015 appropriations

Step	Date
Adoption of financing decision	11 May 2015
Publication of call for proposals	10 July 2015
Closing date of call for proposals	30 October 2015
Award decision	3 May 2016
Pre-financing payment(s)	14 September 2016
Interim payment(s)	Third quarter 2019
Final payment(s)	Fourth quarter 2019/Beginning of 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2015)3052 of 11 May 2015 sets out the main elements for the implementation of the pilot project. The documentation related to the call for proposals (Ref MARE/2015/06) is available at the following address: http://ec.europa.eu/dgs/maritimeaffairs_fisheries/contracts_and_funding/calls_for_proposals/2015_06/index_en.htm.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

- 2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
ORFISH	Conseil Regional de la Guadeloupe (CRG)	750 000

Note: The amendment to the grant agreement, already accepted by the coordinator, is to be concluded in April 2018.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project was implemented by a call for proposals.

5.6 *Types of beneficiaries*

Public authorities (national, regional, local), public or semi-public bodies; Research centres; Universities; Educational institutions; Private bodies; non-profit organisations (private or public).

Only applications from legal entities established in the EU Member States were eligible.

1. Heading

11 06 77 14: Pilot Project — Ocean Literacy for All

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 300 000	650 000	p.m.	390 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		1 300 000	650 000	p.m.	390 000	-100,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The pilot project aims at enhancing the civil engagement and the awareness of European citizens on ocean-related issues and to empower them to become actors of change towards a more sustainable use of natural resources.

The Work Programme plans as main tasks:

- laying the foundation of a European coalition for the seas and oceans with core active partners willing to commit to a European network, with the ambition of expanding it in the coming years. The coalition will include, among others, the experimental European Youth Forum for the Ocean (gathering students and young professionals committed to mobilising their local communities in protecting the oceans) and the pilot of a European Network of Blue Schools (schools joining this initiative will be able to get a "Blue School" label).
- piloting a European ocean literacy hub to:
- coordinate existing activities in Europe and neighbouring countries;
- provide information on how to join the coalition through specific commitments.

- piloting a campaign as a follow up to "Our Ocean 2017" to: raise awareness at all levels (public, private and policy), enlarge the coalition for the ocean, and spread the message

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 appropriations

Step	Date
Adoption of financing decision	April 2018
Publication of call for tender	May 2018
Closing date of call for tender	July 2018
Award decision	September 2018
Pre-financing payment(s)	October 2018
Interim payment(s)	October 2019
Final payment	October 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

No, the Commission Financing Decision to be adopted in April 2018 will contain the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

Since the contracts have not been awarded yet, more details will be available in the third quarter of 2018.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The budget will be mainly implemented through a service contract following an open call for tenders. Some actions may be implemented through specific contracts based on existing Framework Contracts.

5.6 *Types of beneficiaries*

Contractors.

1. Heading

11 06 77 15: Pilot Project — Manual of good practices for cruises

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		700 000	350 000	p.m.	210 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		700 000	350 000	p.m.	210 000	-100,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

Europe is a key market for the global cruise industry. In 2015 the global cruise industry carried 25,3 million passengers, provided 956 597 jobs, with a total output of USD 117 billion worldwide. The demand for cruises increased over the period of 10 years from 2005 to 2015 by 62%.

All of this translates into economic value and jobs for Europe. However, the coastal and maritime regions need to create conditions to better integrate the advantages of cruise tourism. Accordingly, under this pilot project, a manual of good practices should be created for the different players of the cruise industry. This manual will be established through a process involving all interested stakeholders, including the cruise industry, cruise ports and destinations (e.g. local tourist offices) and it could result after the organisation of dialogues between all these cruise operators.

The focus of the manual must be on the environmental impact and on reception of cruise ships but also on the social impact and on how reception facilities in ports and regions should be adapted.

The manual will allow reducing externalities from cruise operations and trigger more economic and social benefits for cities and citizens. An exchange of best practices on managing peak-season congestion could be achieved through the organisation of cruise dialogues, so as to improve mutual understanding between cruise ports and city authorities. It must also cover the possible environmental impact of cruise services. In

addition, so as to avoid duplication, it will help raise awareness of best practices already in place in various cruise ports.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	June 2018
Publication of call for tender	September 2018
Closing date of call for tender	November 2018
Award decision	December 2018
Pre-financing payment	First quarter 2019
Interim payment (s)	Fourth quarter 2019/first quarter 2020
Final payment(s)	Fourth quarter 2020 / first quarter 2021

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

No, the Commission Financing Decision to be adopted in June 2018 will contain the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

More details will be available in the fourth quarter of 2018.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Tender.

5.6 *Types of beneficiaries*

Contractor(s).

1. Heading

11 06 77 16: Pilot Project — EU platform for fishery and aquaculture producer organisations

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

This pilot project aims to establish an online platform to allow fishery and aquaculture producer organisations to reach out to one another and exchange practices. The platform will also test the usefulness of offering specific services in view of increasing transnational cooperation among producer organisations and, possibly, inter-branch organisations. Part of the work under the pilot project will be devoted to dialogue with Member States and identification of steps for the recognition of these transnational organisations.

The pilot project will detect whether the current legal framework set out in Regulation (EU) No 1379/2013 is sufficient, or whether further guidance is needed both to Member States and the sector.

Following adoption of the financing decision in the second half of 2018, a procurement procedure will be launched with a view to signing a contract to carry out this project by October-November 2018. The work will start immediately afterwards.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 appropriations

Step	Date
Adoption of financing decision	Second quarter 2018
Publication of call for proposals/call for tender	Second quarter 2018
Closing date of call for tender / call for tender	Third quarter 2018
Award decision	Fourth quarter 2018
Interim payment(s)	First half 2019 (possible second interim payment in second half 2019)
Final payment(s)	Second half 2019/first half 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

No, the Commission financing decision to be adopted in the second quarter of 2018 will contain the main elements of this pilot project

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. However, it is possible that a non-legislative document will be issued, or that an amendment to Regulation (EU) No 1379/2013 will be proposed, depending on the findings of the pilot project

5.4 *Type of activities financed, number and amounts*

Since the procurement procedure has not yet been launched and the contract will not be signed until the third quarter 2018, it is not possible at this stage to provide a detail of the activities that will be financed

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Procurement procedure.

5.6 *Types of beneficiaries*

Contractor.

1. Heading

11 06 77 17: Pilot Project — Control scheme for recreational catches of sea bass

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		300 000	150 000	p.m.	90 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		300 000	150 000	p.m.	90 000	-100,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The specific aim of this pilot project is to reinforce the control schemes for recreational catches of sea bass in the Atlantic. The study to be contracted to this end shall evaluate available control measures and tools, identify strengths and weaknesses of available solutions, assess the costs and benefits of the different options, explore good practices and propose clear recommendations and guidelines to improve the control schemes. In order to ensure the most effective and efficient use of funding, the control scheme developed in this pilot project should be possible to apply also to other species and sea basins (e.g. Baltic Sea and Mediterranean Sea).

The project will consider several tools, including electronic reporting tools that can be used, for example, via a smartphone application. These tools shall be examined in the broader context of current fisheries control arrangements involving, for instance, fishing licenses, tracking devices, and monitoring and inspection activities.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 appropriations

Step	Date
Adoption of financing decision	May/June 2018
Publication of call for tender	N/A (specific contract under framework contract)
Closing date of call for tender	N/A
Award decision	August/September 2018
Pre-financing payment(s)	N/A
Interim payment(s)	December 2018 June 2019
Final payment(s)	January 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

No, the Commission Financing Decision to be adopted in May/June 2018 will contain the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

The study (EUR 300 000) will cover the following:

- description and evaluation of available measures and tools to ensure an effective control of recreational fisheries by the Member States and, more specifically, recreational fisheries of sea bass in the Atlantic but also other relevant species and sea basins (e.g. Baltic Sea and the Mediterranean Sea).
- development and assessment of different control options, including the costs and benefits of implementing certain measures or tools.
- clear recommendations and guidelines to improve the control scheme for recreational fisheries of selected species
- comprehensive report with options for the control of recreational fisheries, in particular, for sea bass, and also draw conclusions for other species and sea basins.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be carried out under via a specific contract under Framework Contract (EASME/EMFF/2016/029 Lot 1).

5.6 Types of beneficiaries

Contractor.

1. Heading

17 03 77 12: Pilot Project — Reducing health inequalities: building expertise and evaluation of actions.

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	293 516,00	p.m.	294 000	p.m.	440 273	0,0 %	49,8 %
Reserve							0,0 %	0,0 %
Total	0,00	293 516,00	p.m.	294 000	p.m.	440 273	0,0 %	49,8 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 budget.

Objectives followed during 2017 and previous years' implementation:

The objective of the pilot project "HEPP" is to support knowledge sharing and policy development to reduce health inequalities at national and sub-national/regional levels as well as increase knowledge and exchange of good practice amongst relevant public health and policy communities and thereby improve health and reduce health inequalities. "HEPP" shall maintain an EU and Member State focus on health inequalities and help to mainstream measures to address them.

The project has a particular focus on alcohol, nutrition and physical activity, related to the socio-economic gradient and disadvantaged areas. The project, by sharing knowledge and developing policy guidance, will have an impact on better understanding what can be done to address health inequalities related to alcohol consumption, nutrition and physical activity in the EU. It will also help Member States to better address the associated behaviours and risks, and their drivers. It will train countries to overcome barriers to inter-sectoral action through information exchange and collaboration between experts in research, policy and practice.

Specific activities carried out under this pilot project so far include:

- updating scientific evidence and reviewing policies and actions. The literature search for the scientific reports has been completed. The search terms and strategy has been fully documented. The reports have been published in February 2018.

- conducting case studies on policies and actions in different Member States aiming to reduce health inequalities; six case studies out of 10 have been already completed mostly related on nutrition and physical activity.
- a draft dissemination plan as well as input to the creation of the project website have been delivered.
- the template for the 28 country fact sheets is in the final stages of preparation prior to being populated by the initial data that has been sourced and collated.

Intentions for the current year 2018:

- implementing workshops in 6 different countries and expert exchange with the objective of breaking barriers to inter-sectorial action on health inequalities. The training materials shall be based on the scientific reviews and case studies once finalised. The kind of skills which are necessary to catalyse action on health inequalities both generally, and specifically in relation to alcohol consumption, nutrition and physical activity, and how these can be incorporated into a training course, also need to be considered. Capacity building will focus particularly on those countries which have limited capacity and would particularly benefit from support All the six workshops should be implemented by mid- June;
- ensuring synergies and support to the health determinants related Joint Actions as well as include health inequalities into the Joint Action on Nutrition and Physical Activity (JA NPA). "HEPP" shall also exploit the data collected by the Joint Action on Reducing Alcohol Related Harm (JA RARHA) and analyse those from a health inequalities angle;
- facilitating information exchange and collaboration between groups of experts and interest groups. "HEPP" will develop short, clear focused policy briefs to inform senior policy officials. The focus should be on providing information which is useful to Member States also on cost effectiveness of interventions;
- The four last cases studies will be also completed by mid- June and published;
- The second edition of the country facts sheets will be ready for mid- June for a review before publication that would be provided by the expert group on nutrition and physical activity as well as the one on alcohol related harm.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2014 commitment appropriations

Step	Date
Adoption of financing decision	01 December 2014
Publication of call for tender	13 August 2015
Closing date of call for tender	21 September 2015
Award decision	14 December 2015
Pre-financing payment(s)	N/A
Interim payment(s)	2016 and 2017 Expected 2018
Final payment(s)	Expected 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision C(2014)8958 adopted on 1 December 2014 sets out the main elements for the implementation of the pilot project (https://ec.europa.eu/health/social_determinants/projects/ep_funded_projects_en#fragment1).

- Do similar activities exist, which are already covered by a legal basis?

To avoid duplication, the pilot project has drawn on the results of the 'Equity Health' project co-funded under the EU health programme which was completed in February 2014 (available at the following address: <http://www.health-inequalities.eu/projects/past-projects/equity-action/>).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2014 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
PP on Reducing Health Inequalities: Building Expertise and Evaluation of Actions	UK HEALTH FORUM	1 467 578

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by a call for tender.

5.6 Types of beneficiaries

Final beneficiaries are the competent authorities that are responsible for health in the Member States, public sector bodies and non-governmental bodies, acting individually or as a network, mandated by those competent authorities.

1. Heading

17 04 77 05: Pilot Project — Establishment of a harmonised internal market for pig meat obtained from pigs that have not been surgically castrated

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	600 000,00	0,00	p.m.	180 000	p.m.	252 000	0,0 %	40,0 %
Reserve							0,0 %	0,0 %
Total	600 000,00	0,00	p.m.	180 000	p.m.	252 000	0,0 %	40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The surgical castration of young piglets without pain relief is a common and increasingly controversial pig management practice, currently affecting 63 million animals every year. Yet practical experience from major pork supply chains within the European Union shows that viable and profitable alternatives to surgical castration exist. The pilot project will bring major stakeholders together—slaughterhouses, food processors and retailers, and involving farmers as needed—and foster the sharing and dissemination of techniques and best practices that eliminate the need to castrate pigs surgically. Emphasis will be given to knowledge transfer activities concerning reliable and viable boar taint detection methods, utilisation of boar-tainted carcasses during processing, and marketing and promotion of meat from pigs that are not surgically castrated. Trust building and exchange of information among all market participants in a peer-to-peer environment will be an important communication activity. The project will deliver a practice-based guidance document paving the way for a harmonised internal market for pig meat obtained from animals that are not surgically castrated.

The European Declaration on Alternatives to Surgical Castration of Pigs is a voluntary and stakeholder-driven agreement to phase out surgical castration of pigs by 2018. The pilot project will accelerate the process by providing and disseminating practical solutions to commonly perceived problems among key operators of the pork chain, while also showcasing the associated economic and societal advantages of ending the surgical castration of pigs.

The project will be implemented in two phases:

The first phase will consist of collecting information on best available practices at various levels of the food chain to market meat from uncastrated or immunocastrated pigs. This implies data gathering on how retailers may market meat from these animals, how food processors use carcasses with boar taint, how slaughterhouses detect boar taint and how boar taint is reduced at farm level. This phase is therefore about collecting successful experiences within commercial contexts and not to provide recommendations solely based on research. The first phase should be concluded by describing a series of technical, organisational and marketing tools and practices that could be used by business operators to successfully market meat from uncastrated or immunocastrated pigs. This phase will include a series of case studies based on the Member States where such policies have been implemented such as Germany, France, Spain, Belgium, the Netherlands and Denmark.

The second phase will consist in disseminating the information collected during the first phase through proper communication methods, channels and materials adapted to the various actors concerned: farmers, slaughterhouses, food processors and retailers. It may include inter alia the production and the dissemination of brochures, articles in the professional press and media, short films, organisations of events and any combination of them. The dissemination phase will focus its activities on languages and countries where progress would be the most necessary such as Germany, France, Denmark, Italy or Poland. The impact of the second phase should be measured through specific results indicators.

The progress and the final results of the pilot project will be reported to the public and stakeholders through a dedicated web platform and regular meetings of an advisory board consisting of business operators concerned, representatives of the civil society and scientists.

Intentions for the current year 2018:

The contract for the first phase has been signed in February 2018 and a kick off meeting has taken place on 5 March. The contract will last for 8 months.

Once the first phase has been completed (October 2018), the Commission will signed a contract for the second phase that will be achieved during Year 2019.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	23 June 2017
Launch of the request for services	First contract: 09 November 2017 Second contract: expected 2 nd semester 2018
Closing date of the submission of offers	First contract: 01 December 2017 Second contract: expected 2 nd semester 2018
Award decision	First contract: 30 January 2018 Second contract: expected 2 nd semester 2018
Pre-financing payment(s)	N/A
Interim payment(s)	Expected 2018 and 2019
Final payment(s)	Expected 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2017)4229 adopted on 23 June 2017 sets out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No. The surgical castration of piglets is regulated under Council Directive 2008/120/EC laying down minimum standards for the protection of pigs (OJ L 47, 18.2.2009, p. 5) in Paragraph 8 of Chapter I of Annex I to this Directive. However, the text allows surgical castration without anaesthesia before seven days of age while the pilot project proposes promoting alternatives without castration.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Establishing best practices on the production, the processing and the marketing of meat from uncastrated or immunocastrated pigs.	ICF SA	179 707,50

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented with two specific contracts under a framework contract.

5.6 Types of beneficiaries

Private company.

The pilot project is mainly addressed to the business operators involved in the pig meat food chain: farmers, slaughterhouse operators, food processors, retailers and food services. It will also involve indirectly veterinarians, scientists working on pig meat, feeding and pharmaceutical companies working with the pig sector.

1. Heading

34 02 77 03: Pilot Project — Study on life cycles of electric, biofuel and traditionally fuelled vehicles

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	0,00	p.m.	200 000	p.m.	500 000	0,0 %	150,0 %
Reserve							0,0 %	0,0 %
Total	500 000,00	0,00	p.m.	200 000	p.m.	500 000	0,0 %	150,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The aim of the project is to compare the life cycle emissions and broad environmental impacts of different types of light and heavy duty vehicles in the context of achieving transport decarbonisation.

As a first step, this will include a mapping of CO₂ and NO_x emissions, both directly and indirectly, and of other environmental impacts over the entire life cycle (i.e. pre-production, production, in use—on average annually—and post use phases) of light duty vehicles. The vehicle types to be studied should include but not be limited to:

- conventional fossil fuel vehicles;
- electric vehicles (battery, plug-in hybrid, fuel cell);
- biofuel-using vehicles and other vehicles using low-carbon alternative fuels.

As underlined in the Commission letter of executability of 28 October 2016, the emissions and environmental impact of each type of vehicle will be compared with one another, as this is essential to reach the objective of the project.

In 2017, the project was carefully designed. A financing decision was prepared. Tender specifications were drafted resulting in the publication of an open call (CLIMA.C.4/ETU/2017/0019 - Pilot study on

determining the environmental impacts on conventional and alternatively fuelled vehicles through Life Cycle Assessment).

Intentions for the current year 2018:

Signature of the study contract followed by the implementation of it.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	C(2017)4545 of 06 July 2017
Publication of call for tender	22 December 2017
Closing date of call for tender	19 February 2018
Award decision	Second quarter 2018
Pre-financing payment(s)	N/A
Interim payment(s)	2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)4545 of 6 July 2017 sets out the main elements for the implementation of the pilot project. The call for tender is available at: https://ec.europa.eu/clima/tenders/2017_en.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot Study on Determining the Environmental Impacts of Conventional and Alternatively Fuelled Vehicles through Life Cycle Assessment	TBD	500 000

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by way of a call for tender.

5.6 Types of beneficiaries

Consultancies, research organisations, and/or academia.

Heading 3 - Security and Citizenship

1. Heading

09 02 77 06: Pilot Project — Media councils in the digital era

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	350 000	-100,0 %	40,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	350 000	-100,0 %	40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

Through exchanges of best practices, the project will help bring clarity on how to achieve, in a converged media environment, the goals of media self-regulation.

In order to protect media freedom and pluralism and to promote professionalism in journalistic content, the action will help improve understanding of the challenges of digital developments through a press council cooperation platform, and in parallel, will support the transition of media self-regulatory bodies to the online world.

The project will conduct an in-depth survey of the state and models of media self-regulation in the digital environment and elaborate an online database on media self-regulatory bodies.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018

Publication of call for proposals / call for tender	September 2018
Closing date of call for proposals / call for tender	October 2018
Award decision	December 2018
Pre-financing payment(s)	January 2019
Interim payment(s)	September 2019
Final payment(s)	February 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision expected to be adopted in May 2018 will set out the elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of the pilot project, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Grants following a call for proposals.

5.6 *Types of beneficiaries*

EU-established organisations or International bodies active in the field of journalism, media freedom and pluralism.

1. Heading

09 05 77 04: Pilot Project — Media literacy for all

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	196 084,80	p.m.	450 000	p.m.	299 021	0,0 %	-33,6 %
Reserve							0,0 %	0,0 %
Total	500 000,00	196 084,80	p.m.	450 000	p.m.	299 021	0,0 %	-33,6 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitments appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

A first call for proposals was launched in June 2016 with the objective to experiment actions aimed at increasing the critical thinking towards the media among citizens of all ages and to test the feasibility and usefulness of such actions. The actions could include: social media campaigns, creation of networks to share best practices, conferences, workshops and training programmes

In 2017, the Commission launched a second call for proposals along similar broad objectives. A more important focus was put on creating or building upon bridges between different categories of stakeholders, more specifically stakeholders from the media/social media sector.

Intentions for the current year 2018:

Grants awarded under the 2016 budget started at the beginning of 2018 with project durations of 12 months. The award decision for grants awarded under the 2017 budget will be finalised in April 2018 and the projects will start June/July 2018 with project duration of 12 months. The results of these projects will be known in 2019.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	27 April 2016
Publication of call for proposals	30 August 2016
Closing date of call for proposals	14 October 2016
Award decision	22 August 2017
Pre-financing payment(s)	December 2017
Interim payment(s)	N/A
Final payment(s)	January 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for proposals	23 October 2017
Closing date of call for proposals	8 December 2017
Award decision	April 2018
Pre-financing payment(s)	June 2018
Interim payment(s)	January 2019
Final payment(s)	July/August 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project?

For 2016 appropriations: The Commission Financing Decision C(2016)2411 of 27 April 2016 sets out the main elements for the implementation of the pilot project, available at the following address: <https://ec.europa.eu/digital-single-market/en/news/pilot-project-media-literacy-all>.

For 2017 appropriations: The Commission Financing Decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the pilot project, available at the following address:

<https://ec.europa.eu/digital-single-market/en/news/call-proposals-pilot-project-media-literacy-all>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Media in Action	Pontydysgu Ltd	120 106
Mind Over Media in EU	Evens Foundation	125 000

– 2017 commitment appropriations

As the award decision will be finalised near the end of April 2018, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Call for proposals. Grants awarded following an internal evaluation process.

5.6 *Types of beneficiaries*

Any economic operator established in the EU Member States.

1. Heading

09 05 77 07: Pilot Project — Internship opportunities for minority language media

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The main objective of this pilot project is to give media professionals working in minority languages the opportunity to carry out internships with leading European media. The internship scheme will give participants the opportunity to gain professional insights into leading European media companies, enhance their journalists' skills through peer learning, and contribute to the promotion of European affairs in minority-language communities.

Interns will be able to transfer acquired skills to their home media outlets, enabling these outlets to offer minority-language audiences up-to-date, professional and quality products that can be competitive in the current media environment.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for proposals	June 2018

Closing date of call for proposals	July 2018
Award decision	November 2018
Pre-financing payment(s)	December 2018
Interim payment(s)	September 2019
Final payment(s)	February 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision expected to be adopted in May 2018 will set out the elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of the pilot project, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Grants following a call for proposals.

5.6 *Types of beneficiaries*

EU established organisations active in the field of minority communities, media freedom and pluralism.

1. Heading

15 02 77 18: Pilot Project — Sport as a tool for integration and social inclusion of refugees

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	987 312,33	0,00	1 400 000	700 000	p.m.	197 463	-100,0 %	-71,8 %
Reserve							0,0 %	0,0 %
Total	987 312,33	0,00	1 400 000	700 000	p.m.	197 463	-100,0 %	-71,8 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The opportunities that sport projects provide to support social inclusion of refugees in host communities and the usefulness of sport as a tool for social inclusion have been well documented (further information is available at the following address: <http://bookshop.europa.eu/en/mapping-of-good-practices-relating-to-social-inclusion-of-migrants-through-sport-pbNC0416616/>). Across the European Union Member States and within the context of European Union programmes such as Erasmus+ , and, in particular, the Asylum, Migration and Integration Fund (AMIF) and the Action Plan on the Integration of Third-Country Nationals, a wide range of projects and initiatives have been already implemented.

Considering the growing number of refugees settling in the European Union, there is currently an intensified need for effective integration and social inclusion initiatives. As sport is one of the tools that can be exploited for the successful integration of refugees, local sport projects may have an increasing role to play in trying to facilitate refugees' integration into new communities.

This pilot project is a contribution to this effort and to a better integration of refugees through sport. It aims at supporting local sport projects focused on the integration of refugees. An important dimension of this project will be the involvement of local sport clubs in the integration of refugees, leveraging local expertise existing in EU Members States.

Intentions for the current year 2018:

This pilot project will involve local sport clubs in the integration of refugees, leveraging local expertise in EU Members States. The focus will be on the integration of refugee communities across the EU and neighbouring regions through local sport projects to be implemented in the EU. The action will support the identification of good practices in Europe and the development of methods to assess their impact in a systematic and replicable manner. Information on actions relating to the integration of refugees through sport should be made available in a networking platform.

The expected outcomes include:

- promoting the direct engagement of refugees and host communities in strategic locations (within the EU, transit countries, third countries).
- promoting a Europe-wide approach, increasing the potential for European host communities to successfully engage and integrate refugees through sport.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	9 June 2017
Publication of call for proposals	29 June 2017
Closing date of call for proposals	18 August 2017
Award decision	28 November 2017
Pre-financing payment(s)	January 2018
Interim payment(s)	N/A
Final payment(s)	March 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	March 2018
Publication of call for proposals	April 2018
Closing date of call for proposals	1 June 2018
Award decision	October 2018
Grant agreement decision	October 2018
Pre-financing payment(s)	December 2018
Interim payment(s)	N/A
Final payment(s)	March 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision adopted in June 2017 sets out the main elements for the implementation of the pilot project - C(2017)3847 of 9 June 2017.

The call for proposals documentation is available at the following address: https://ec.europa.eu/sport/calls/eac-s16-integration-social-inclusion-refugees_en.

The Commission Decision adopted in March 2018 sets out the main elements for the implementation of the implementation of the second year of this pilot project - C(2018)1602 of 21 March 2018.

- Do similar activities exist, which are already covered by a legal basis?

The pilot project will be implemented taking due account of complementarity with existing initiatives (see 5.1).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Sport as a tool for integration and social inclusion of refugees	STREETFOOTBALLWORLD GGMBH/SFW	60 000,00
	LIBERI NANTES ASSOCIAZIONE SPORTIVA	37 792,40
	ASSOCIAZIONE SPORTIVA DILETTANTISTI	48 000,00
	FUNDACJA INNA PRZESTRZEN/FIP	54 696,58
	INSTITUT DE RELATIONS INTERNATIONAL	59 000,00
	CENTRO SPORTIVO ITALIANO	48 000,00
	MALTA FOOTBALL ASSOCIATION	57 488,00
	MONALIIKU-MONIKANSALLISTEN NAISTEN	47 961,60
	PLAY INTERNATIONAL	60 000,00
	GEMEENTE AMSTERDAM/CITY OF AMSTERDAM	60 000,00
	DIMOS HERAKLION/MUNICIPALITY OF HERAKLION	60 000,00
	ASOCIACIJA AKTYVUS JAUNIMAS/ACTIVE	49 991,00
	STICHTING BASKETBALL ACADEMY UTRECH	38 940,00
	FARE NETWORK LTD	60 000,00
	FUNDATIA TERRE DES HOMMES-ELVETIA	44 804,75
	INTEGRATION FOR ALLA	60 000,00
	ROYAL EUROPA 90 KRAAINEM FOOTBALL C	60 000,00
AGITOS FOUNDATION	60 000,00	
AQUILA BASKET TRENTO 2013 SRL	20 638,00	

5.5 Method of implementation (tenders, grants, other) and evaluations

Through an open call for proposals, the projects support local sport projects focused on the integration of refugees. In 2018, approximately 25 projects will be selected. These projects should involve local sport organisations and should contribute to the promotion of gender equality, in particular by involving women refugees in mixed sport activities.

5.6 Types of beneficiaries

Public or private organisation with legal personality which are mainly active in the sport field and that regularly organise sport competitions, at any level (natural persons are not eligible to apply for a grant under this call); have their registered legal office in one of the 28 EU Member States.

1. Heading

15 02 77 19: Pilot Project — Monitoring and coaching, through sports, of youngsters at risk of radicalisation

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	732 760,33	0,00	p.m.	p.m.	p.m.	146 553	0,0 %	
Reserve							0,0 %	0,0 %
Total	732 760,33	0,00	p.m.	p.m.	p.m.	146 553	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

This pilot project aims at supporting sport-related projects organised by local actors and civil society that focus on prevention of marginalisation and radicalisation, in particular in helping youngsters at risk of exclusion and of radicalisation to find an identity and a sense of belonging. Projects should aim at teaching essential skills such as social and communicative competences, critical thinking and problem solving, that can help youngsters at the margin of societies to feel reengaged into society.

This pilot project involves EU local sport organisation that, in cooperation with local authorities responsible for radicalisation and terrorism, will identify people at risk of radicalisation and will offer them appropriate coaching so to try prevent radicalisation processes. The expected outcomes include:

- an increased sense of belonging into EU societies amongst youngsters at risk of radicalisation;
- a decrease of their risk of radicalisation.

The projects will allow supporting local projects focused on the monitoring and prevention of radicalisation processes through sport. These projects involve local sport organisations and develop cooperation with local authorities active in the field. 14 projects were selected for funding.

Intentions for the current year 2018:

During 2018 projects selected in 2017 are being implemented. No new call is planned for 2018.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	9 June 2017
Publication of call for proposals / call for tender	29 June 2017
Closing date of call for proposals / call for tender	18 August 2017
Award decision	28 November 2017
Pre-financing payment(s)	January 2018
Interim payment(s)	N/A
Final payment(s)	March 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)3847 of 9 June 2017 sets out the main elements for the implementation of the pilot project.

The call for proposals documentation is available at the following address: https://ec.europa.eu/sport/calls/eac-sport-2017-monitoring-coaching-youngsters-radicalisation_en.

- Do similar activities exist, which are already covered by a legal basis?

The pilot project will be implemented taking due account of complementarity with existing initiatives (see 5.1).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Monitoring and coaching, through sports, of youngsters at risk of radicalisation	Race Equality First Ltd	45 455,52
	Municipality of Amsterdam	60 000,00
	DBROEJ BBA (De Brusselse Organisatie voor de Emancipatie van Jongeren, Brussels Boxing Academy)	59 530,00
	CEIPES (Centro Internazionale per la Promozione dell'Educazione e lo Sviluppo)	60 000,00
	Prevent Team, Community Services Wycombe District Council, High Wycombe, UK	50 986,78
	Hamara Healthy Living Centre	39 579,72

	TUFF (The Unity of Faiths Foundation)	60 000,00
Monitoring and coaching, through sports, of youngsters at risk of radicalisation	ASVO Salzburg (Allgemeiner Sportverband Sazburg)	51 642,48
	Common Benefit Enterprise of Services of Neapoli-Sikies	44 527,20
	EDUVIC SCCL	50 894,06
	Stad Mechelen	60 000,00
	AIDEJoven	35 550,53
	Molenbeek Sport	59 719,77

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Grants - Call for proposals in 2017.

5.6 *Types of beneficiaries*

Public or private organisation with legal personality who are mainly active in the sport field and that regularly organise sport competitions, at any level (natural persons are not eligible to apply for a grant under this call); have their registered legal office in one of the 28 EU Member States.

1. Heading

15 04 77 13: Pilot Project — Fight against illicit trafficking in cultural objects

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	489 675,00	180 000,00	750 000	635 000	p.m.	405 870	-100,0 %	-36,1 %
Reserve							0,0 %	0,0 %
Total	489 675,00	180 000,00	750 000	635 000	p.m.	405 870	-100,0 %	-36,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

In 2017, the Commission started the implementation of the 2017 pilot project "Fight against illicit trafficking in cultural goods" (hereinafter: "first phase of the pilot project"). The key objective of the first phase of the pilot project is to protect cultural heritage in danger by devising a coordinated approach for combating the illegal trade in cultural goods.

The specific objectives of the project are threefold:

- to provide research-based analysis of illicit trafficking of cultural objects;
- to promote the use of new technologies for the protection of cultural heritage against looting and trafficking;
- to prepare and carry out training activities for relevant professional groups and awareness raising campaign for the general public.

These three specific objectives of the first phase of the pilot project (2017 budget) are implemented through two separate actions. Firstly, Objectives 1 and 2 will be achieved through the "Study on improving knowledge about illicit trade in cultural goods in the EU, and new technologies available to combat it" that was contracted in December 2017 and should be finalised in the second quarter of 2019. Secondly, objective 3 will be achieved through a project implemented by UNESCO under a PAGODA agreement (Pillar

assessed grant and delegation agreement). UNESCO is considered to be the only body technically competent due to its unique expertise to carry out the activities above.

Intentions for the current year 2018:

The pilot project has been prolonged by twelve months. The second phase of the pilot project (2018 budget) is focused on the specific objective 3, training activities for relevant professional groups and awareness raising activities. For this purpose, it is proposed to conclude a new PAGODA agreement with UNESCO with the maximum Commission co-financing rate of 95 %.

The objectives of the action carried out under second phase are therefore:

- to increase the capacities of the professionals involved in combatting illicit trade in cultural goods and encourage cross-border cooperation on that matter;
- to raise awareness on the implication of illicit trade in cultural goods, in particular amongst the art market professionals.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	June 2017
"Fight against illicit trafficking in cultural goods – study"	
Publication of call for tender	Second quarter 2017
Closing date of call for tender	September 2017
Award decision	November 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2018
Final payment(s)	2019
Grant "Fight against illicit trafficking in cultural goods – training modules"	
Signature of grant agreement with UNESCO	December 2017
Pre-financing payments(s)	January & August 2018
Interim payment(s)	N/A
Final payments	2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	March 2018
Grant "Fight against illicit trafficking in cultural goods – training modules"	
Signature of grant agreement with UNESCO	Second quarter 2018
Pre-financing payment(s)	Second quarter 2018
Interim payment(s)	2019
Final payment(s)	2019 / 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision and the 2017 Annual work programme for the implementation of the second year of the pilot project, C(2017)3848 of 9 June 2017 as well as the following calls (EAC/S05/2017) and (EAC/06/2017) published on the Europa site.

Commission Financing Decision and the 2018 Annual work programme for the implementation of the third and last year of the preparatory action, C(2018)1602 of 21 March 2018.

Expected results

It is proposed to extend the scope of the current project with UNESCO—training activities for relevant professional groups and awareness raising campaigns—as follows:

- training modules prepared under the first phase of the pilot project should be translated into several working languages of the EU to ensure the widest possible outreach;
- trainings should be provided to a highest number of professionals from the EU Member States than foreseen in the first phase of the pilot project;
- to the extent that similar activities are not covered by another project financed by the EU, relevant professionals from the Neighbourhood countries, in particular Western Balkans, should also be eligible to receive trainings.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
TRAINING THE EUROPEAN JUDICIARY AND LAW ENFORCEMENT OFFICIALS ON THE FIGHT AGAINST THE ILLICIT TRAFFICKING IN CULTURAL PROPERTY	UNESCO	300 000
STUDY ON IMPROVING KNOWLEDGE ABOUT ILLICIT TRADE IN CULTURAL GOODS IN THE EU AND THE NEW TECHNOLOGIES AVAILABLE TO COMBAT IT	ECORYS NEDERLAND BV	189 675

For 2018, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented through a tender to be published in the first half of 2017 for the first assignment (study) and for the second phase, a PAGODA agreement. UNESCO is considered to be the only body technically competent due to its unique expertise to carry out the training modules as mentioned above.

5.6 *Types of beneficiaries*

Unesco for its expertise in this field.

Ecorys Nederland B.V (international company providing research, consultancy and management services, specialised in economic, social and spatial development

1. Heading

15 04 77 16: Pilot Project — Protecting the Jewish cemeteries of Europe: A full mapping process with research and monitoring and individual costed proposals for protection

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		800 000	400 000	p.m.	400 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		800 000	400 000	p.m.	400 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The objective of the pilot project is to conduct a broad sample survey (hereafter referred to as 'mapping') of at least 1 500 Jewish cemeteries in European countries, each of which presents a unique challenge with regard to the current state of Jewish cemeteries. The target countries for the project shall be the EU Member States Greece, Slovakia and Lithuania and the neighbourhood countries of Ukraine and Moldova, however, the applicants are invited to also include other European Union and/or European neighbourhood Policy (ENP) countries on condition that a convincing rationale for the suggested additional choices is provided.

Furthermore, in addition to creating a mapping, the pilot project shall recognize successful examples ("good practices") of Jewish burial sites' restorations and preservations carried out in the identified cemeteries (see the expected results for more information).

The action should also identify specific opportunities for cross-fertilisation and stronger interactions between various stakeholders interested in the preservation of Jewish burial sites, the representatives of broadly understood cultural and creative sectors, and local communities. The action shall for example examine how Jewish burial sites can liaise with local or regional education institutions or youth projects to re-involve youths with their community and encourage them to engage with the past and develop the feeling of ownership for Europe's diverse cultural heritage and traditions.

The action should contribute to the objectives of the European Year of Cultural Heritage through dissemination and awareness raising of European value of heritage and cultural diversity of Europe. It will be an opportunity to highlight the importance of education, training and innovation for the maintenance and support of cultural heritage. It will also tap on issues regarding the transmission of knowledge of cultural heritage to the younger generations, the acquisition of heritage-related skills (e.g. heritage restoration skills) by the new generation, as well as the participatory governance for cultural heritage among public and private actors

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	March 2018
Publication of call for proposals	Second quarter 2018
Closing date of call for proposals	2018
Award decision	Last quarter 2018
Pre-financing payment(s)	December 2018 & September 2019
Interim payment(s)	N/A
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision and the 2018 Annual work programme for the implementation of the first year of the pilot project, C(2018)1602 of 21 March 2018.

The expected results are:

More specifically, it is expected that the selected organisation/consortium will:

- produce a mapping which will include:
 - A list of identified Jewish burial sites with accompanying factual and visual reference;
 - list of identified "good practices" of Jewish burial sites' restorations and/or preservation-related activities;
 - proposed models for the involvement of various stakeholders (policy-makers, NGOs, local communities, cultural and creative sectors, etc.), with particular emphasis on young people and educational institutions;
 - policy recommendations for the European, national and regional/local levels on Jewish burial sites' preservation.
- communicate the project results:
 - Interactive communication tools and materials.
- contribute to the objectives of the European Year of Cultural Heritage through:
 - the production of informative and educational material as well as interactive communication tools on the value of Jewish burial sites for Europe, promoting understanding of European heritage and to be disseminated during the European Year of Cultural Heritage.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

Information to be available in the next financial exercise.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented through a call for proposals to be published in the second quarter of 2018. The grant agreement will be signed in the last quarter of 2018.

5.6 Types of beneficiaries

Public or private organisation with legal personality (detailed information provided in the call); single entity active in the cultural heritage sector or a consortium of which most of its members should be active in the cultural heritage sector; have their registered legal office in one of the beneficiary European countries under the Creative Europe programme.

1. Heading

15 04 77 19: Pilot Project — Finance, Learning, Innovation and Patenting for Cultural and Creative Industries (FLIP for CCIs)

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	400 000	-100,0 %	-20,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	400 000	-100,0 %	-20,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

Cultural diversity and identification of the proper cultural mix are of strategic importance for creativity and innovation. The cultural and creative industries (CCIs) in Europe employ more than 12 million workers, or 7.5% of the European workforce. As a result, they create about EUR 509 billion in added value, in particular through the contribution made by small businesses and microenterprises.

The crossover between the cultural and creative sectors and other sectors is of crucial importance to achieve this. The importance of Cultural and Creative Industries has been acknowledged in different policy documents as well as by the European Parliament Resolution on a coherent EU policy for cultural and creative industries of December 2016.

The CCIs play a key role in reindustrialising Europe and trigger innovation spill-overs in many other sectors, from manufacturing to education or social inclusion.

Also in the recent European Parliament resolution of 14 September 2017 on a new skills agenda for Europe (2017/2002(INI)), cultural and creative industries are explicitly mentioned as contributing to social well-being, innovation, employment and as stimulating the EU's economic development.

This pilot project will define and test policies and actions for sustaining and developing cultural and creative industries and aims at generating cross-sectoral benefits and spill-overs in the different areas and sectors CCI interface with.

The pilot project should cover in particular the following aspects concerning CCI: Finance, Learning, Innovation and Patenting (FLIP):

- finance,
- learning,
- innovation and
- patenting.

Proposals should show how the following objectives will be pursued by the action:

- using the ECSO skills classification to analyse CCI-skills;
- the support of CCI-skills and traditional education and skills development systems;
- recognition of intangibles for CCI loans; and
- value recognition and defending intellectual property produced by CCIs.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	March 2018
Publication of call for proposals	First half 2018
Closing date of call for proposals	August 2018
Award decision	Second half 2018
Pre-financing payment(s)	First quarter 2019
Interim payment(s)	Second quarter 2019
Final payment(s)	2021

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision and the 2018 Annual work programme for the implementation of the first year of the pilot project, C(2018)1602 of 21 March 2018.

Expected results:

- enhanced skills concerning access to finance for CCIs;
- enhanced cultural and creative education, skills and readiness for the job market;
- strengthened trans-national and cross-sectoral cooperation within the cultural and creative sectors and other stakeholders;

- guidelines and analyses concerning finance, learning, innovation and patenting for CCIs;
- enhanced learning, skills development, exchanges of experience and cooperation opportunities;
- best practice examples;
- recommendations for further policy making (incl. funding) concerning Finance, Learning, Innovation and Patenting of CCIs.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed

Finance: Elaboration of guidelines, follow-up of EU CCI actions

Learning: Support skills classification system, development of guidelines for CCI skills development, activities and dissemination

Innovation: Activities related to OMC Innovation (workshop), good practice cases and showcase events on innovative models, peer-to-peer hubs exchange

Patenting: Analysis, case studies and recommendations

Organisation of thematic conferences and workshops, networking meetings, skills development actions, peer-to-peer exchanges and study-visits

Organisation of a launching and a closing conference in Brussels

Development of a web-page and social media for the project and maintenance of Crowdfunding4Culture website

Final study (including best practice catalogues/papers/Massive Open Online Courses and other visual material, lessons to be learnt, recommendations)

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented through a call for proposals to be published in the first half of 2018. The grant agreement will be signed in the second half of 2018. The duration of the project shall be 28 months.

5.6 Types of beneficiaries

Public or private organisation active in the cultural and creative sectors or a consortium of which most of its members should be active in the cultural and creative sectors.

1. Heading

17 03 77 17: Pilot Project — Platform for increasing organ donation in the European Union and neighbouring countries: Eudonorg 2015-2016

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	230 400,00	p.m.	346 000	p.m.	144 000	0,0 %	-58,4 %
Reserve							0,0 %	0,0 %
Total	0,00	230 400,00	p.m.	346 000	p.m.	144 000	0,0 %	-58,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

Objectives followed during 2017 and previous years' implementation:

This pilot project will focus on training and social awareness to encourage public reflection on organ donation.

The project is being implemented in two phases:

- the first phase was a "train the trainers" course. The main objective of the course was to support capacity-building efforts, with the overall objective to monitor and improve overall performance in the management of donated and transplanted organs. The course included components on communication, quality improvement methodologies, donor identification, "approaching the family" (in the case of deceased donation) and approaching possible donors such as living donors or via patients' support groups. The applicants could also propose topics for some modules of the course that would help increasing social awareness - also within the healthcare establishment - and to improve the use of donated organs. This training course did cover deceased and living donation as well as organ and tissue donation. It made sure to use and make reference to previous EU-funded projects that included training and improvement methodologies. The course took place in September 2017 at the University of Barcelona (UB) and at the Donation and Transplantation Institute (DTI), Spain. Out of 172

candidates, 101 were selected and participated. The contractor has developed a course in 6 modules, with a supporting web-application. A dedicated website is developed for the full project.

- the second phase will involve the organisation of several communication events (e.g. awareness or information days, journalist workshops), possibly supporting some of the "trainers" trained during the first phase. These events should include information on deceased and living donation as well as organ and tissue donation. Different communication events should be organised in different EU Member States. The selected applicant will be asked to contact the national authorities in charge of donation and transplantation, and should seek their logistical support as well as cooperation and alignment in terms of communication strategies. In this second phase, useful documents and deliverables on communication initiatives and strategies already available in the field of transplantation should be used, e.g. from EU-funded projects, Journalist Workshops on organ donation and transplantation organised by the European Commission, European Organ Donation Days co-organised by the Council of Europe, as well as existing national and local initiatives.

Both phases of the pilot project will include dissemination activities, including towards national competent authorities established in a network by Article 19 of Directive 2010/53/EU.

The contract was signed in the second quarter of 2016. The kick-off meeting took place in the third quarter of 2016.

Intentions for the current year 2018:

2018 will focus on the preparation and organisation of 6 national communication events. 6 candidate countries have been identified for regional events. Discussions are ongoing with the local authorities to start preparations of the events.

- Sweden, neighbouring countries to be invited: Denmark, Finland, Estonia, Norway and Iceland.
- Poland, neighbouring countries to be invited: Latvia, Lithuania, Czech Republic, Slovakia.
- Belgium, neighbouring countries to be invited: the Netherlands, Germany, Northern France, Luxembourg, Ireland and Liechtenstein.
- Hungary, neighbouring countries to be invited: Austria, Croatia, Slovenia, Romania, Bosnia and Herzegovina, Montenegro, Serbia.
- Greece, neighbouring countries to be invited: Bulgaria, Cyprus, Albania, Kosovo, Macedonia and Turkey.
- Portugal, neighbouring countries to be invited: Southern France, Italy, Malta and Spain.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2015 commitment appropriations

Step	Date
Adoption of financing decision	10 July 2015
Publication of call for tender	25 November 2015
Closing date of call for tender	15 January 2016
Award decision	07 April 2016
Pre-financing payment(s)	N/A

Interim payment(s)	2017 and expected 2018
Final payment(s)	Expected 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2015)4583 adopted on 10 July 2015 sets out the main elements for the implementation of the pilot project.

The call for tender is available at the following address: <https://etendering.ted.europa.eu/cft/cft-documents.html?cftId=1173>.

- Do similar activities exist, which are already covered by a legal basis?

Related activities exist in the field of organ donation and transplantation, e.g. as foreseen under Directive 2010/53/EU on standards of quality and safety of human organs intended for transplantation, under the EU Action Plan on Organ Donation and Transplantation and under the EU Health Programme (EU-funded projects in the field of organ transplantation).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. Directive 2010/53/EU was adopted in July 2010, transposed by Member States by August 2012. The transposition check is currently on-going. No legislative proposal is therefore envisaged at this stage in this field.

5.4 Type of activities financed, number and amounts

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Training and Social Awareness for Increasing Organ Donation in the EU	UNIVERSITY OF BARCELONA	576 000

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by call for tender.

5.6 Types of beneficiaries

This project was open to consortia of governmental and non-governmental organisations in charge of tasks described under Directive 2010/53/EU, healthcare institutions and professionals, researchers, professional bodies and stakeholders health-oriented and/or even having specific relevant experience in the field of organ donation & transplantation, in training and communication on health/transplantation topics.

1. Heading

17 03 77 20: Pilot Project — Establishing a registry of rare congenital malformations (as part of the Rare Diseases Registry), drawing on the structure, organisation and experience of the Polish Registry of Congenital Malformations (PRCM)

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	49 968,14	p.m.	p.m.	p.m.	50 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	49 968,14	p.m.	p.m.	p.m.	50 000	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The key objective of this pilot project is to set up a registry of rare congenital malformations as a part of rare diseases national register. The project should be implemented on a limited scale.

The secondary aim of the project is to provide an example how to extend an existing birth defects registration and surveillance system to rare diseases registration system.

The birth defects registration system has already been set up in several MSs as part of the EUROCAT surveillance network. The pilot project should contribute to the developments of an EU Platform on Rare Diseases Registration to provide a common access point to data collections on rare diseases across Europe and to be compatible with platform tools.

The project should in collaboration with an EU Platform on Rare Diseases Registration develop a register on rare congenital anomalies.

Intentions for the current year 2018:

The project should continue development of the birth defects registration system. It should in particular strengthen collaboration with EU Platform on Rare Diseases Registration (JRC). This close collaboration should ensure that the national register is built according to the EUROCAT standards as well as it will provide the example how to extend an existing birth defects registration and surveillance system to rare diseases registration system.

The main deliverables should comprise: register of rare congenital anomalies, documentation of standard operating procedures for congenital anomalies register to be followed when the register is extended to other rare diseases, dissemination materials describing process of extending congenital anomalies register into rare diseases register, list of interoperability assets (in particular those approved by the European Platform on rare diseases registration) to be implemented by rare congenital anomalies register.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	08 September 2016
Publication of call for proposals	27 October 2016
Closing date of call for proposals	31 January 2017
Award decision	19 May 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2017
Final payment(s)	Expected 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2016) 5651 adopted on 8 September 2016 sets out the main elements for the implementation of the pilot project.

The call for proposals was published on the 27 October 2016 and all relevant documentation is available at: <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/3hp/topics/pp-2-1-2016.html>.

- Do similar activities exist, which are already covered by a legal basis?

There is no similar activity covered by a legal basis.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

There is no plan follow this project with legal proposal.

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
CENSUS	THE CYPRUS FOUNDATION FOR MUSCULAR DYSTROPHY RESEARCH	99 936

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented with a grant.

5.6 *Types of beneficiaries*

Legally established organisations, public authorities, public sector bodies, in particular research and health institutions, universities and higher education establishments.

1. Heading

17 03 77 22: Pilot Project — MentALLY

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	198 824,00	p.m.	p.m.	p.m.	199 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	198 824,00	p.m.	p.m.	p.m.	199 000	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

MentALLY will provide evidence- and practice-based knowledge to steer a process towards improved European mental health care by competent, reflective professionals who collaborate effectively within and between the lines of a well-organised mental health care system that has the capacity to treat everyone who needs it.

The specific objectives are:

- to develop and test a multi-applicable framework across European countries and to increase access to mental health care;
- to increase primary care professionals' competencies, evaluate the organisation and capacity of care systems, and improve client outcomes.

The expected result will be a network to add value at European level to help patients. As different models of care lead to different patient outcomes, recommendations will be made to optimize referral processes related to mental disorders and to develop guidelines for evidence-based treatments.

Intentions for the current year 2018:

For the current year 2018, the intentions are to continue the development of the project, in accordance with the work plan included in the grant agreement No PP-2-2-2016 – Mentally.

In particular the work packages WP3 (Professionals' accounts and mental literacy), WP4 (Clients' accounts and mental health literacy) and WP5 (public debate on mental health) started in the fourth quarter of 2017 will be pursued during the year 2018. The work package WP6 (Implementation of practices and tools) will be started in the second half of 2018.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	13 September 2016
Publication of call for proposals	27 October 2016
Closing date of call for proposals	31 January 2017
Award decision	18 May 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2017
Final payment(s)	Expected 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2016) 5715 adopted on 13 September 2016 sets out the main elements for the implementation of the pilot project. The call for proposals was published on the 27 October 2016 and all relevant documentation is available at: <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/3hp/topics/pp-2-2-2016.html>.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
MENTALLY	UNIVERSITY OF GENT	397 648

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented with a grant.

5.6 *Types of beneficiaries*

Legally established organisations, public authorities, public sector bodies, in particular research and health institutions, universities and higher education establishments.

1. Heading

17 03 77 23: Pilot Project — Severe mental disorders and the risk of violence: pathways through care and effective treatment strategies

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	359 755,77	p.m.	360 000	p.m.	360 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	0,00	359 755,77	p.m.	360 000	p.m.	360 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The objectives of the project are:

- to identify factors associated with risk of violence to self and others in patients with severe mental disorders;
- to assess tools capable of predicting risk, for decision-making;
- to evaluate effective treatments to assist in the planning of services (clinicians, managers, lawmakers and governments) in the development of preventative and supportive measures;
- to compare national variations in pathways into and out of care, including specialized secure services in different countries.

The expected results should provide added European value information to improve the quality of mental health care for population in countries with different health and legal systems.

Intentions for the current year 2018:

Continue development of the Project, in accordance with the work plan included in the grant agreement:

The following work packages started in 2017, will continue to be developed during the year 2018: work package 1 (project management), work package 2 (evaluation) and work package 3 (dissemination), work package 4 (risk factors for violence and risk assessment tools), work package 5 (evaluation of treatment effectiveness), work package 6 (comparison of national variations in pathways into and out of care), work package 7 (data analysis).

The main milestones for the year 2018 are: preparation of the project leaflet, launching of the project website, approval from the coordinator ethical committee, online set up of the project database and beginning of data uploading. Delivery of the first project interim report to the Commission.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	09 September 2016
Publication of call for proposals	27 October 2016
Closing date of call for proposals	31 January 2017
Award decision	12 May 2017
Pre-financing payment(s)	2017 and expected 2019
Interim payment(s)	N/A
Final payment(s)	Expected 2021

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2016) 5672 adopted on 9 September 2016 sets out the main elements for the implementation of the pilot project.

The call for proposals was published on the 27 October 2016 and all relevant documentation is available at: <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/3hp/topics/pp-2-3-2016.html>

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
EU VIORMED	PROVINCIA LOMBARDO VENETA	1 199 186

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented with a grant.

5.6 *Types of beneficiaries*

Legally established organisations, public authorities, public sector bodies, in particular research and health institutions, universities and higher education establishments.

1. Heading

17 03 77 25: Pilot Project — Integrate: development of integrated strategies to monitor and treat chronic and rheumatic diseases: the role of quality indicators and patient-reported outcomes in addition to physician evaluation of disease activity and damage

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	248 285,80	p.m.	250 000	p.m.	248 000	0,0 %	-0,8 %
Reserve							0,0 %	0,0 %
Total	0,00	248 285,80	p.m.	250 000	p.m.	248 000	0,0 %	-0,8 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

This pilot project will focus on exploring the potential of specific indicators, information on self-reported outcomes, and medical reports of physicians towards developing more efficient strategies to monitor, prevent and treat musculoskeletal diseases, based on / or occurring in combination with other chronic diseases.

The first phase will be a review and assessment of existing information and evidence regarding monitoring, prevention and treatment of musculoskeletal diseases, based on an agreed methodology.

The second phase should then develop integrated strategies for the best possible monitoring, prevention and treatment of musculoskeletal diseases, and associated co-morbidities, for the best possible health outcomes.

Both phases will include dissemination activities, including towards national competent authorities established in a network by Article 19 of Directive 2010/53/EU.

Intentions for the current year 2018:

In addition to the work packages of "Project Coordination", "Dissemination and Communication" and "Project Evaluation" which are carried out over the whole duration of the project, the "State of the Art",

existing clinical, quality indicators, PROMS and IT solutions work package will be further developed and completed during the year 2018. A survey and a focus group will be designed and conducted during 2018, for elicitation of knowledge, practice needs and expectations. The data collected through the survey and the focus group will be analysed in the second half of the year 2018.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	12 September 2016
Publication of call for proposals	26 October 2016
Closing date of call for proposals	31 January 2017
Award decision	23 May 2017
Pre-financing payment(s)	2017
Interim payment(s)	N/A
Final payment(s)	Expected 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2016)5689 adopted on 12 September 2016 sets out the main elements for the implementation of the pilot project.

The call for proposals was published on the 27 October 2016 and all relevant documentation is available at the following address:

<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/3hp/topics/pp-2-4-2016.html>.

- Do similar activities exist, which are already covered by a legal basis?

Several research projects on rheumatic diseases have been funded under the Seventh Framework Programme. Horizon 2020 explicitly mentions musculoskeletal conditions, hence it can be expected that research projects in this area will be funded. However, the focus of the research projects seems to be more clinical, while the "Integrate" project is looking at indicators and patient-reported outcomes. A direct overlap seems not likely.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
INTEGRATE	UNIVERSITY OF PISE	496 572

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented with a grant.

5.6 *Types of beneficiaries*

Legally established organisations, public authorities, public sector bodies, in particular research and health institutions, universities and higher education establishments.

1. Heading

17 03 77 27: Pilot Project — Food redistribution

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	150 000	p.m.	150 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	150 000	p.m.	150 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The pilot project is carried out under an existing framework contract (FWC) (DG SANTE): Evaluation Lot 2 for Food Chain. The tendering procedure was finalised in December 2017. For this reason, in 2017 the particular objective for this pilot project was to finalise the terms of reference (ToR), launch the procurement procedure, carry out the evaluation of the offer and finalise the contract with the selected contractor.

The pilot project will support the Commission's work to facilitate food donation in the EU in order to make available safe, surplus food to people in need and help to prevent food waste. As part of the EU action plan for the circular economy, the Commission adopted EU food donation guidelines for food donors and food banks on how to comply with relevant EU legislation in the current regulatory framework (e.g. food safety, food hygiene, traceability, liability, VAT etc.) on the 16 October 2017.

The pilot project will support the implementation and outreach of the guidelines in the EU and provide a basis for possible future developments in this area. The project will focus on two main tasks:

- research and analysis of both policy/regulatory and operational frameworks for food redistribution in the EU Member States and their impact on food donation practices, including translation of existing guidelines in Member States in this area (Tasks 1 and 2 of the ToR);
- information and dissemination of the future EU guidelines on food donation, promoting dialogue with policy makers and stakeholders at national level (industry, food banks, charity organisations, etc.),

including the analysis on the effectiveness and usefulness of the EU guidelines (Tasks 3 and 4 of the ToR). EU guidelines provide clarification of relevant provisions in EU legislation in order to facilitate their application by Member States and stakeholders.

The two activities may partially overlap as the research/investigation phase may also require dissemination activities, meetings with stakeholders and policy makers etc.

Intentions for the current year 2018:

- the kick-off meeting of the for the project took place on the 22 January 2018;
- the inception report will be delivered by 30 March 2018;

Intentions on Task 1: 'provide comprehensive information and analysis on existing regulatory and policy frameworks relevant for food redistribution in the EU Member States ' for 2018

- report on Task 1 will be delivered by November 2018

Intentions on Task 2: 'provide comprehensive information and analysis on existing operational frameworks relevant for food redistribution' for 2018

- scoping report on Task 2 will be submitted by the end of the second quarter of 2018;
- report on Task 2 will be submitted by the end of the fourth quarter of 2018.

Intention on Task 3 and Task 4: Dissemination and stakeholders dialogue on the EU guidelines on food donation/Analysis of the added value and effectiveness of the EU guidelines on food donation based on stakeholders' feedback for 2018

- scoping report on Task 3 and Task 4 will be submitted by the third quarter of 2018, after the scoping report on Task 2
- the dissemination of the EU guidelines will take place during 2019; the reports on Task 3 and Task 4 will be delivered by the first quarter of 2020.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2016 commitment appropriations

Step	Date
Adoption of financing decision	28 June 2016
Launch of the request for services	26 October 2017
Closing date of the submission of offers	20 November 2017
Award decision	11 December 2017
Pre-financing payment(s)	N/A
Interim payment(s)	Expected 2018 and 2019
Final payment(s)	Expected 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2016)3904 adopted on 28 June 2016 sets out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

The Fund for European Aid to the Most Deprived may provide financial support, in Member States who so wish, for the costs of collection, transport, storage and distribution of food donation, as well as for directly related awareness raising activities. This programme would not cover, however, the core activities of DG SANTE's project which are: research and analysis of existing food redistribution frameworks (policy and operational) in the EU Member States and; information and dissemination activities related to the EU food donation guidelines adopted by the Commission on the 16 October 2017.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. The aim of the project is to support redistribution of food in the EU Member States within the current regulatory framework. The project may however identify new issues which can only be resolved through the modification of provisions laid down in relevant EU rules (e.g. food hygiene, food information to consumers etc.).

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
FOOD REDISTRIBUTION	ECORYS BRUSSELS NV	499 380

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented with a specific contract under a framework contract.

5.6 Types of beneficiaries

Private consultancy. The final beneficiaries will be different actors participating in the food supply chain as for example policy makers and stakeholders at national level, with particular attention to those who are involved in food donation activities (industry, food banks, charity organisations etc.)

1. Heading

33 02 77 09: Pilot Project — Capacity-building for Roma civil society and strengthening its involvement in the monitoring of national Roma integration strategies

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	245 000,00	p.m.	939 000	p.m.	566 000	0,0 %	-39,7 %
Reserve							0,0 %	0,0 %
Total	0,00	245 000,00	p.m.	939 000	p.m.	566 000	0,0 %	-39,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 and 2015 budgets.

Objectives followed during 2017 and previous years' implementation:

The objectives of this pilot project are to contribute to the creation and capacity building of local Roma civil society as well as a monitoring mechanism regarding Roma integration and inclusion in all 28 EU Member States. This includes especially the production and dissemination of 'shadow reports', where civil society coalitions could supplement or present alternative information and data to the reports submitted by Member States concerning the implementation of their national Roma integration strategies. The monitoring would focus on the local implementation of strategies in the four priority areas (employment, education, housing, health), in the fields of anti-discrimination and gender equality and would also provide information on the level of involvement of civil society, the use of EU funds and mainstreaming of Roma inclusion measures.

In September 2014, the Commission has adopted the financing decision and already planned to implement this pilot project through a call for tenders. In order to maximise the impact of the project, the Commission decided to launch a single call for tenders for a higher value, combining appropriations of 2014 and of 2015. *As soon as the 2015 financing decision was adopted, the Commission proceeded with the publication of the call for tenders, selection of the contractor and signature of the contract in November 2015. The duration of the execution of the tasks is of 36 months. No pre-financing was envisaged.*

Intentions for the current year 2018:

Activities have started and the contractor submitted

- preliminary inception report 15 March 2017
- inception report on 02 June 2017.
- first Interim report 31 July 2017
- second Interim Report 02 December 2017

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2014 and 2015 commitment appropriations

Step	Date
Adoption of financing decision 2014	C(2014)6309 of 10 September 2014
Adoption of financing decision 2015	C(2015)4524 of 06 July 2015
Publication of call for proposals / call for tender	10 July 2015
Closing date of call for proposals / call for tender	19 September 2015
Award decision	25 November 2015
Pre-financing payment(s)	N/A
Interim payment(s)	July 2017 (first); November 2017 (second); March 2018 (third); July 2018 (fourth)
Final payment(s)	Around February 2019

The nature and scope of the monitoring reports in the second annual reporting cycle will depend on the possible implementation of a third annual reporting cycle. The decision whether the extension of the project's duration will be initiated needs to be made by July 2018 at the latest.

In case the end date of the project will be extended and a third annual reporting cycle will be implemented, the above final report can be replaced by a fifth interim report and there can be a final report at the end of the project.

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decisions C (2014) 6309 of 10 September 2014 and C(2015)4524 of 10 July 2015 set out the main elements for the implementation of this pilot project.

Documentation on the call for tender can be found at: http://ec.europa.eu/justice/newsroom/contracts/2015_239895_en.htm.

- Do similar activities exist, which are already covered by a legal basis?

Support to the Roma is covered by the Rights, Equality and Citizenship programme 2014-2020, under the non-discrimination specific objective, and by the subsequent Annual Work Programmes of 2014, 2015 and 2016. The 2016 Annual Work programme provides for a restricted call for National Roma contact points with the aim to create National Roma Platforms. National Roma contact points should be public authorities appointed by the Member States, but not civil society.

More information on the Rights, Equality and Citizenship programme 2014-2020, including work programmes and calls for proposals are available at the following address:

http://ec.europa.eu/justice/grants1/programmes-2014-2020/rec/index_en.htm.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2014 and 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project for - Capacity-building for Roma civil society and strengthening its involvement in the monitoring of National Roma Integration Strategies JUST/2014/RPPI/PR/EQUA/0150	Central Europe University HU	1 750 000

5.5 Method of implementation (tenders, grants, other) and evaluations

This pilot project was implemented by way of a call for tenders.

5.6 Types of beneficiaries

Local civil society organisations, public or private organisations legally established in an EU Member State or international organisations involved in Roma integration.

1. Heading

33 02 77 10: Pilot Project — Fundamental rights review of Union data-collection instruments and programmes

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	125 690,00	p.m.	253 000	p.m.	249 760	0,0 %	-1,3 %
Reserve							0,0 %	0,0 %
Total	0,00	125 690,00	p.m.	253 000	p.m.	249 760	0,0 %	-1,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

Objectives followed during 2017 and previous years' implementation:

The Commission kicked-off the pilot project on 13 January 2017. The contractor designated the Steering Committee and created the group of experts, which the contractor now manages. Deliverable 1 and workshop 1 were successfully delivered in the second quarter of 2017 (mapping of EU legislation, law-enforcement instruments and cooperation, and third-party agreements involving the collection, retention, storage or transfer of personal data). Workshop 2 was delivered in the fourth quarter of 2017. However, deliverable 2 (due for the end of 2017: thematic analysis of the fundamental rights aspects of EU legislation on data collection) has still not been validated by the Commission due to the unsatisfactory quality of the deliverable.

Intentions for the current year 2018:

It is expected that the contractor submits a satisfactory deliverable 2 in the first quarter of 2018 and uses the remaining three quarters of the year for the finalisation of the project.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2015 commitment appropriations

Step	Date
Adoption of financing decision	C(2015)4524 of 06 July 2015
Publication of call for proposals / call for tender	08 September 2016
Closing date of call for proposals / call for tender	21 October 2016
Award decision	05 December 2016
Pre-financing payment(s)	N/A
Interim payment(s)	June 2017 April 2018 October 2018
Final payment(s)	January 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission decision C(2015)4524 adopted on the 6 July 2015 sets out the main elements of this pilot project.

The project has four main deliverables, to be delivered every six months:

- mapping of EU legislation, law-enforcement instruments and cooperation, and third-party agreements involving the collection, retention, storage or transfer of personal data;
- thematic analysis of the fundamental rights aspects of EU legislation on data collection;
- concrete proposals for the review (amending/ repealing/ replacing/ revoking/ aligning) of existing EU acts on data protection for police and criminal justice authorities, in particular those Union legal acts referred to in Article 60 of the Data Protection Directive (EU) 2016/680 for Police and Criminal Justice Authorities.
- final report containing specific policy recommendations (e.g. on the functioning of a particular collection instrument) as well as general guidelines for EU data collection instruments and mechanisms, in particular in the light of the new EU data protection legal framework.

The call for tender is available at the following address:

<https://etendering.ted.europa.eu/cft/cft-display.html?cftId=1831>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The Commission should follow up this project with legislative proposals in the fourth quarter of 2019, in case deliverable 3 demonstrates the need for an alignment of legal acts adopted by the Union which regulate processing by the competent authorities for the purposes of the prevention, investigation, detection or prosecution of criminal offences or the execution of criminal penalties, including the safeguarding against and the prevention of threats to public security (review envisaged in Article 62(6) of Directive (EU) 2016/680).

5.4 Type of activities financed, number and amounts

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project "Fundamental rights review of Union data-collection instruments and programmes" JUST/2015/RPPI/PR/RIGH/0218	FONDAZIONE GIACOMO BRODOLINI	628 450

5.5 Method of implementation (tenders, grants, other) and evaluations

This pilot project is implemented by way of a call for tenders.

5.6 Types of beneficiaries

The independent group of experts will invite the data protection supervisory authorities, including the European Data Protection Supervisor, to participate in their work as observers, in order to draw on their expertise. On the basis of the specific policy recommendations produced, the group of experts will also draw up general guidelines for EU data collection instruments and mechanisms.

1. Heading

33 02 77 13: Pilot Project — Europe of diversities

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	757 849,58	p.m.	400 000	p.m.	189 463	0,0 %	-52,6 %
Reserve							0,0 %	0,0 %
Total	0,00	757 849,58	p.m.	400 000	p.m.	189 463	0,0 %	-52,6 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The aim of the pilot project on "Europe of diversities" is to enhance the motto of the European Union: "United in diversity" especially on local and regional level. With the pilot project EU citizens in regions with multicultural and multilingual background, or where minority groups are particularly excluded or subject to discrimination, would receive the possibility to understand better each other's culture, language and identity. Mutual understanding, acceptance and tolerance is a core element of fruitful coexistence of different ethnic, religious, national and linguistic groups, as well as of different generations and minority groups more generally. The pilot project would contribute to the work which has been already done in the field of non-discrimination, education and language learning, however the objective is to reach different groups of the society and to foster the multicultural dialogue between the EU citizens on local and regional level on one hand, and through the exchange of opportunities on EU-level on the other hand.

One of the strengths and richness of the EU is in its diversity. Therefore it should be adequately promoted and made understood that it is an added value to the EU. The pilot project would aim to finance trainings, seminars, workshops, and conferences on how to maintain the uniqueness of Europe of diversities and how to promote unity in diversity. It would also promote the exchange of good practices in fighting discrimination and promoting diversity at local and regional level. Another added value of the pilot project would be that it could help to identify and target discriminatory practices at local and regional level and build understanding between majority and minority societies. Target group: local and regional stakeholders, in particular public authorities (cities, regions, municipalities), NGOs, youth organisations, schools, civil society and businesses.

The Commission Financing Decision C(2016)5815 was adopted in June 2016. The Commission launched a call for proposals and the award decision was adopted in July 2017.

Intentions for the current year 2018:

Five proposals were recommended for funding. The grant agreements have been signed at the end of 2017 and the projects are in the implementation stage.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	C(2016) 5815 of 19 September 2016
Publication of call for proposals / call for tender	30 September 2016
Closing date of call for proposals / call for tender	28 February 2017
Award decision	July 2017
Pre-financing payment(s)	November-December 2017
Interim payment(s)	June 2018
Final payment(s)	April 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Documentation on the call for proposals is available at the following address:

<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/rec/topics/rec-rppi-eudi-ag-2016.html>

The Commission Financing Decision C(2016) 5815 final, adopted on 19 September 2016, sets out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

Diversity is covered by the Rights, Equality and Citizenship programme 2014-2020, under the non-discrimination specific objective, and by the subsequent Annual Work Programmes of 2014, 2015 and 2016. The 2016 Annual Work programme provides for a call for proposals to support activities which tackle at least one of the following groups at risk of discrimination: racial or ethnic minorities, persons with disabilities, older/younger people, religious minorities and LGBTI people. More information on the Rights, Equality and Citizenship programme 2014-2020, including work programmes and calls for proposals, is available at the following address:

http://ec.europa.eu/justice/grants1/programmes-2014-2020/rec/index_en.htm.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

Grants were awarded in July 2017.

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Hostis-Hospes. Connecting People for a Europe of Diversities	UNIVERSITA DEGLI STUDI DI ENNA KORE	219 194
Go deep: Together in diversity	Altekio, iniciativas hacia la sostenibilidad, S.Coop.Mad.	158 670
Network of Cities/Towns and NGO's against racism and discrimination in Croatia	Inicijativa mladih za ljudska prava	136 000
Voices of diversity - connecting people and policies for more integrated neighbourhoods in European cities	Comparative Research Network e.V.	240 000
Intersectional approach for local public equality and non-discrimination policies	AJUNTAMENT DE TERRASSA	196 954

5.5 *Method of implementation (tenders, grants, other) and evaluations*

This pilot project is implemented by way of a call for proposals.

5.6 *Types of beneficiaries*

Public or not profit making private organisations legally established in an EU Member State or international organisations under a partnership from at least two different eligible countries.

1. Heading

33 02 77 14: Pilot Project — E-voting: making the best use of modern technologies for more active and democratic voting procedures

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	503 322,40	p.m.	400 000	p.m.	125 831	0,0 %	-68,5 %
Reserve							0,0 %	0,0 %
Total	0,00	503 322,40	p.m.	400 000	p.m.	125 831	0,0 %	-68,5 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

European society has changed considerably over the last 25 years as EU citizens increasingly live and work in other Member States. EU citizenship gives these mobile EU citizens the right to vote and stand in elections to the European Parliament and to municipal elections under the same conditions as nationals of their host State. Mobile EU citizens may also wish to exercise their right to vote in their home states. Political participation is central to citizenship, and it is essential to legitimising decision making in government. However, EU citizen's political participation can be affected by red tape and outdated voting procedures. At the same time, low turnout in elections, including European Parliament elections and particularly among young people, is a challenge for democracies. To address these issues, ways should be explored to stimulate participation in elections for all citizens by making it easier to vote through streamlined procedures, including by making best use of modern technologies.

The activities funded under this pilot project will culminate in inter alia a tele-voting good practice guide aiming to help make voting procedures more accessible to mobilise a broader group of voters and thus enhance democratic participation at the EU level. The guide will be built on the findings of an external study into the potential benefits and drawbacks of alternative voting solutions—with a view on tele-voting (including postal voting) and a particular focus on the advantages of an e-voting system—and on the results of projects to explore the role of internet tools in stimulating democratic participation and to investigate how digital tools can contribute to the stronger and longer-term engagement of citizens, delivered through an open call for action grants. The external study will survey relevant Member State experience and consult relevant organisations, universities and industries to assess the advantages and risks of tele-voting and

options as regards the protection of the secrecy of the vote, the prevention of fraud, the guarantee of independence and data protection. The study will also assess financial impacts in particular on the national administrations. Complementing this, the aim of the action grants will be to elicit a broad range of proposals, potentially also for inclusion in the guide, especially but not exclusively targeting young people and mobile EU citizens.

Intentions for the current year 2018:

The award decision for projects was made in June 2017. Selected projects were launched late 2017/ early 2018. The contract to deliver the study was awarded in June 2017 and work began in October.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Timetable of the call for tenders (budget foreseen EUR 300 000)

Step	Date
Adoption of financing decision	C(2016)5815 of 19 September 2016
Publication of call for proposals / call for tender	4 March 2017
Closing date of call for proposals / call for tender	20 April 2017
Award decision	June 2017
Pre-financing payment(s)	N/A
Interim payment(s)	March 2018 (1 st); April 2018 (2 nd)
Final payment(s)	Second quarter 2018

Timetable of the call for proposals (budget foreseen EUR 700.000)

Step	Date
Adoption of financing decision	C(2016)5815 of 19 September 2016
Publication of call for proposals / call for tender	30 September 2016
Opening date for submitting applications	17 November 2016
Closing date of call for proposals / call for tender	24 January 2017
Award decision	June 2017
Pre-financing payment(s)	October 2017
Interim payment(s)	N/A except in cases of weak financial capacity of beneficiaries
Final payment(s)	Second quarter 2019 (duration of projects will be maximum 18 months)

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2016)5815 was adopted on 19 September 2016. The Commission launched a call for tenders on 4 March 2017, available at the following address:

<https://etendering.ted.europa.eu/cft/cft-display.html?cftId=2018>.

In addition a call for proposals was launched in September 2016, which closed on 24 January 2017. It was published on the Participant Portal, available at the following address:

<https://ec.europa.eu/research/participants/portal/desktop/en/opportunities/rec/topics/rec-rppi-evot-ag-2016.html>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
JUST/2016/RCIT/PP/RIGH/0094 - Study on the benefits and drawback of remote voting solution to support the preparation of a best practice guide for the user of digital tools to facilitate the exercise of EUR citizens' political rights	Open Research-Rand consortium	247,500
REC-RPPI-EVOT-AG-2016 - A digital platform for YOU as VOTERs to participate in the 2019 European parliament elections	Associazione Riparte il futuro	349,636
REC-RPPI-EVOT-AG-2016 – Elections Go	University College Cork - National University Of Ireland, Cork	280,295

5.5 *Method of implementation (tenders, grants, other) and evaluations*

This pilot project will be implemented by way of one call for tenders and one call for proposals.

5.6 *Types of beneficiaries*

Private organisations legally established in an EU Member State or international organisations able to provide the services requested.

1. Heading

33 02 77 16: Pilot Project — European Survey on gender-based violence

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	300 000	p.m.	700 000	0,0 %	133,3 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	p.m.	300 000	p.m.	700 000	0,0 %	133,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

Commitment appropriations for this pilot project were voted under the 2017 budget.

5.1 Objectives

Objectives followed during 2017 and previous years' implementation:

The objectives of this pilot project is to support the development of an EU-wide survey on gender-based violence and therefore gaining a better understanding on the prevalence, circumstances and consequences of violence. Data is sorely needed to raise awareness of gender-based violence, develop better legislation and policies, allow mutual learning, target funding priorities and measure progress towards goals, ensuring proper accountability.

The survey development and implementation will be coordinated by EUROSTAT, in cooperation with the National Statistics Institutes. More precisely, EUROSTAT will launch a restricted call for proposals for National Statistics Institutes and will provide grants to the beneficiaries.

Intentions for the current year 2018:

In 2018, EUROSTAT will re-launch a restricted call for proposals to enable additional National Statistics Institutes to participate and benefit from the grants.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
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Adoption of financing decision	24 August 2017 C(2017)5756
Publication of call for proposals / call for tender	January 2018
Closing date of call for proposals / call for tender	20 March 2018
Award decision	June 2018
Pre-financing payment(s)	June 2018
Interim payment(s)	None
Final payment(s)	October 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017) 5756 concerning the adoption of the financing decision for 2017 for the pilot projects 'European Survey on gender-based violence' and 'Letterbox companies'.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

The award decision is not due until June 2018.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Grants.

5.6 *Types of beneficiaries*

National Statistical Institutes of the 28 Member States, Iceland, Liechtenstein and Norway

- Office Fédéral de la Statistique, Switzerland.

1. Heading

33 03 77 05: Pilot Project — Letterbox companies

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	300 000,00	0,00	p.m.	90 000	p.m.	210 000	0,0 %	133,3 %
Reserve							0,0 %	0,0 %
Total	300 000,00	0,00	p.m.	90 000	p.m.	210 000	0,0 %	133,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

This pilot project will provide useful information on the work carried out by the Commission in the area of company law, in particular as regards letterbox companies. The project aims to look at some purposes of letterbox companies relevant for company law and to address more general questions related to abuses and loopholes. The findings of the pilot project could possibly prove useful in the process of proposing legal solutions and standards based on company law.

Objectives followed during 2017 and previous years' implementation:

Commitment appropriations were voted for this pilot project under the 2017 budget.

In 2017 the Commission the Financing Decision was adopted.

Intentions for the current year 2018:

The intention is to implement the action through a procurement procedure for a study by using an existing framework contract (Contractors/Leaders of Lot 1: Lot1-01 **Deloitte Consulting**, Lot1-02 EY DG JUST Consortium, Lot1-03 ICF Consulting Services, Lot1-04 Justice and Consumers Evaluation Consortium, Lot1-05 Consortium led by Optimity Advisors with reopening of competition (FWC JUST/2015/PR/01/003 for impact assessment, evaluation and evaluation related services). The request for services is expected to be launched in the second quarter of 2018 and the contract to be signed in the third quarter of 2018.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018 and 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	24 August 2017
Launch of the request for services	Expected in the second quarter 2018
Closing date for the submission of offers	Expected in the second quarter 2018
Award decision for a specific contract	Expected in the third quarter 2018
Pre-financing payment(s)	N/A
Interim payment(s)	Expected at the end of the fourth quarter 2018
Final payment(s)	Expected in the second quarter 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)5756, adopted 24 August 2017 sets out the main elements for the implementation of the pilot project.

The preparation of the documentation related to the public procurement procedure (under an existing framework contract) is on-going.

- Do similar activities exist, which are already covered by a legal basis?

The issue of letter-box companies was also raised in two studies, i.e. on cross-border transfers and divisions and on the impact of using digital tools in the context of cross-border company operations.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

It is not envisaged at this stage.

5.4 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
A study aiming at gathering comprehensive information about the purposes of letterbox companies relevant for company law.	To be determined during the procedure for reopening of competition under the framework contract	300 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The intention is to implement the action through a procurement procedure by using an existing framework contract.

5.6 *Types of beneficiaries*

Not applicable to framework contracts.

1. Heading

33 03 77 07: Pilot Project — Terrorism victim response coordination centre

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The pilot project was voted under the 2018 budget with the following nomenclature: 18 02 77 03. It was not clear at the beginning who should implement the PP: HOME or JUST, first it was decided that HOME under the line 18 02 77 03 but after it was considered that DG JUST is better place so the line should be under 33 title.

The objective of the pilot project is to set up and organise the EU Victims of Terrorism Response Coordination Centre (the Centre). The Centre will constitute an EU level platform gathering the necessary expertise on all matters related to victims of terrorism. Facilitating the implementation and practical application of the EU rules on victims of terrorism by national authorities and relevant victims' support services, it will also promote a victim oriented approach in all actions related to victims of terrorism and victims in general.

The Victims' Rights Directive (Directive 2012/29/EU) together with the victims-related provisions under the 2017 Counter-terrorism Directive (Directive 2017/541/EU) and the 2004 Directive on Compensation (Council Directive 2004/80/EC) provide for a general framework of the rights for victims of terrorism. In particular Title V of the Counter-terrorism Directive provides for a set of specific rights for victims of terrorism and corresponding obligations for the Member States. These obligations are formulated in a general manner. They leave a large margin of discretion to the Member States as to the methods of their implementation. Concrete measures are crucial for the correct implementation and practical application of

these general rules. Such measures must be well-adapted to the specificity of each Member State and based on practical experience. Most Member States lack the knowledge and practical experience on how to implement concrete measures on victims of terrorism.

The Centre should act as a hub gathering expertise on victims of terrorism. It should provide guidelines and training to national authorities and victims' associations on the practical application of the EU rules on rights of victims of terrorism.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	July of 2018
Publication of call for proposals / call for tender	September/October 2018
Closing date of call for proposals / call for tender	September/October 2018
Award decision	November/December 2018
Pre-financing payment(s)	First quarter 2019
Interim payment(s)	TBC
Final payment(s)	Third quarter 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

No.

- Do similar activities exist, which are already covered by a legal basis?

Under 2017 Justice Programme, call on procedural rights and victims' rights, the Commission financed a small project related to rights of victims of terrorism (with a budget not exceeding 200 000).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, the project aims at facilitating practical application of the recently adopted EU rule – 2017 Counter-terrorism Directive.

5.4 *Type of activities financed, number and amounts*

The award decision is due in November/December 2018.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The Commission intends to implement this pilot project through a call for proposals.

5.6 *Types of beneficiaries*

Public or non-public bodies, including victims' associations, others.

1. Heading

33 04 77 04: Pilot Project — Training for SMEs on consumer rights in the digital age

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	227 072,00	p.m.	300 000	p.m.	455 000	0,0 %	51,7 %
Reserve							0,0 %	0,0 %
Total	0,00	227 072,00	p.m.	300 000	p.m.	455 000	0,0 %	51,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

Objectives followed during 2017 and previous years' implementation:

The pilot project will help small and medium-sized enterprises (SMEs) to comply with consumer protection law in the online environment. Better compliance with the rules would reduce problems for consumers and benefit traders by avoiding problems with the enforcement authorities. The project is led by a consortium of BEUC, UEAPME and Eurochambres.

The contractor (supported by lead trainers from each Member State), has developed training modules for SMEs on EU consumer contract and marketing law for the online environment, including on Directive 2011/83/EU (Consumer Rights Directive), Directive 2000/31/EC (e-Commerce Directive) Directive 2005/29/EC (Unfair Commercial Practices Directive), Directive 93/13/EEC (Unfair Contract Terms Directive), Directive 2013/11/EU (ADR Directive), Regulation 524/2013 (ODR Regulation) and the applicable national legislation in the Member States.

Lead trainers train free of charge local intermediaries (e.g. consumer and business associations) which will provide training to SMEs on the ground.

The modules have been produced as offline and online learning courses for all 28 Member States in the languages of the EU Member States.

The preparatory phase until early 2018 consisted in the development of the strategy to offer training to SMEs, the production of training modules and supporting material, devising and preparing a communication strategy, as well as finding, engaging and training one lead trainer per Member State.

Intentions for the current year 2018:

The delivery of the training for local trainers and SMEs is foreseen for March – December 2018. This will be supported by communication activities, a workshop in May and a closing conference with the participation of the European Parliament in November 2018.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2015 commitment appropriations

Step	Date
Adoption of financing decision	C(2015)4524 of 06 July 2015
Publication of call for proposals / call for tender	28 June 2016
Closing date of call for proposals / call for tender	6 September 2016
Award decision	8 December 2016
Pre-financing payment(s)	N/A
Interim payment(s)	August 2017, March and August 2018
Final payment(s)	February 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2015)4524, adopted 6 July 2015, and the call for tender JUST/2015/CPPI/PP/CO02/0174 set out the main elements for the implementation of the pilot project.

The information material, communication tools and training schedules are available at the following address: consumerlawready.eu.

- Do similar activities exist, which are already covered by a legal basis?

No dedicated training on consumer rights for SMEs in the digital environment currently exists at EU level that aims at preparing them for consumer and marketing law.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Development and organisation of training for SMEs on consumer rights in the digital age.	BEUC (leader of consortium), UEAPME and Eurochambres (members)	1 135 360

5.5 *Method of implementation (tenders, grants, other) and evaluations*

This pilot project was implemented by way of a call for tenders.

5.6 *Types of beneficiaries*

Small and medium-sized enterprises (SMEs) to help them to comply with consumer and marketing law in the online environment. Final beneficiaries would also be consumers if trader compliance with consumer rules is improved through the project.

Heading 4 - Global Europe

1. Heading

20 02 77 02: Pilot Project — Women and Trade: creating a model chapter on gender in free trade agreements based on data on women participating in trade and women participating in the domestic economy

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		400 000	200 000	p.m.	200 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		400 000	200 000	p.m.	200 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation:

Even though trade policy is designed to be gender-neutral, it may have a different impact on men and women. Research on the interlinkages between trade liberalisation and gender equality so far has focused mainly on developing countries, however information on women participation in international trade and the barriers they face in the EU and other high income countries is very scarce.

The objective of the pilot project is therefore to collect information on:

- women's participation in international trade;
- the extent to which they are impacted by EU trade agreements;
- barriers to trade faced by women entrepreneurs in the EU.

Intentions for the current year 2018:

The Commission intends to implement the pilot project through a direct award of a grant to the International Trade Centre (more information available at the following address: <http://www.intracen.org/>). The pilot project will need to be slightly re-designed to reflect the available budget and policy needs:

- scope. It will be necessary to make adjustments in terms of data collection using the firm-level survey in order to match the financial resources available, and focus e.g. on representative sample of Member States and/or specific sectors/areas that are the most relevant for women participation in trade.
- actions. There may be a need for a dedicated action to identify those barriers to trade that can be addressed via the trade policy and those that require actions in other policy areas. The Commission has started the work on gender-specific provisions in the context of the negotiations with Chile to modernise the existing Association Agreement. Thus, in order to have the intended impact, the findings of this pilot project would need to feed into that process at a sufficiently early stage.
- title. In this context, the original title of pilot project "Women in Trade: Collecting data on women entrepreneurship and female employment in exporting and importing firms in selected EU Member States" more accurately reflects the scope of the proposed actions.
- the pilot project will build on the previous work carried out by the International Trade Centre (ITC), but also other international organisations (e.g. World Bank, Organisation for Economic Cooperation and Development, United Nations Conference on Trade and Development, International Centre for Trade and Sustainable Development).

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	16 February 2018
Publication of call for proposals / call for tender	Second quarter 2018
Closing date of call for proposals / call for tender	Second quarter 2018
Award decision	Second quarter 2018
Pre-financing payment(s)	Third quarter 2018
Interim payment(s)	N/A
Final payment(s)	Fourth quarter 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision (C(2018)869), adopted 16 February 2018, sets out the main elements for the implementation of the pilot project.

The grant agreement with International Trade Centre is under preparation. Once the contract is signed, the project-related information, including its main elements, will be published on DG Trade's website.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No legislative proposal is foreseen. The pilot project will provide input to integrating trade and gender provisions in the ongoing negotiations on the modernisation of the EU-Chile Association Agreement as well as integrating gender dimension in trade policy more generally.

5.4 *Type of activities financed, number and amounts*

The award decision will be made in the second quarter of 2018.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Grant.

5.6 *Types of beneficiaries*

An international organisation.

1. Heading

21 02 77 16: Pilot Project — Strengthening veterinary services in developing countries

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	350 000	p.m.	500 000	0,0 %	42,9 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	350 000	p.m.	500 000	0,0 %	42,9 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 and 2015 budgets.

Objectives followed during 2017 and previous years' implementation:

The project started on 01 January 2016 and is projected to finish on 31 December 2018. The implementation period is 36 months. It has maintained the original objective and purpose. The ultimate goal of the project is to make progress towards building African veterinary services that deliver effective governance, health safety, food security and food safety for their citizens.

The specific objective is to strengthen veterinary services in Africa, by:

- ensuring the follow-up and the implementation of the recommendations of the Performance of Veterinary Services (PVS) and Gap Analysis reports;
- supporting the development of the required regulatory frameworks, through regional capacity-building and in-country support;
- developing and testing a PVS-based tool to assist Regional Economic Communities in devising effective and sustainable investment plans and programmes at (sub-) regional, in order to monitor progress towards compliance with the World Organisation for Animal Health (OIE) intergovernmental standards on the governance of veterinary services;
- supporting African countries that want to embark on rabies control and elimination programmes with relevant tools and technical expertise.

Intentions for the current year 2018:

Rabies eradication has been at the main focus as the project comes to an end.

For Kenya this has shown tremendous response and even other NGOs and governments support the eradication campaigns.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2014, 2015 commitment appropriations

Step	Date
Adoption of financing decision 2014 appropriations	12 December 2014
Adoption of financing decision 2015 appropriations	Commission decision C(2015)8882 of 14/12/2015 amending decision C(2014)9767 of 16/12/2014
Signature of grant agreement	23 December 2015
Pre-financing payment(s)	09 February 2016
Pre-financing payment(s)	24 February 2016
Interim payment(s)	Second quarter 2018
Final payment(s)	Fourth quarter 2018.

5.3 Documentation and expected results

For 2014 appropriations, Commission decision C(2014)9767 of 16/12/2014 sets out the main elements for implementation of the pilot project. For 2015 appropriations, the Commission decision C(2015)8882 of 14/12/2015 amends decision C(2014)9767 of 16/12/2014 by increasing the total EU contribution and changing the management mode from indirect to direct management with a grant to OIE.

Reference documents for this project:

- "Feasibility study for a programme to improve veterinary governance and the control of priority trans-boundary animal diseases in West Africa".
- Report of the mid-term review of the EU funded veterinary governance programme (available in 4th quarter 2014).

- Do similar activities exist, which are already covered by a legal basis?

Yes, "Reinforcement of African veterinary governance" funded under the European Development Fund (EDF). The decision C(2010)7243 authorising the programme can be found at: http://ec.europa.eu/europeaid/documents/aap/2010/aap_2010_intra-acp_en.pdf

As regards rabies, there is already a Strategic Plan for the elimination of human rabies in Kenya. The national government and county governments of Makueni and Siaya have been supporting rabies vaccination campaigns and providing staff. The Ministry of Health has been supporting control and prevention measures for human infection. An NGO from Eldoret named Sharon Foundation as well as two private universities have been supporting the campaign.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2014 and 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Strengthening Veterinary Services in developing countries	OIE	3 500 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Project Approach

Direct management with the World Organisation for Animal Health (OIE).

5.6 *Types of beneficiaries*

International organisation (OIE) on behalf of African member states.

1. Heading

21 02 77 18: Pilot Project — Investing in sustainable peace and community rebuilding in the Cauca area — Colombia

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	1 540 503,93	p.m.	950 000	p.m.	140 000	0,0 %	-85,3 %
Reserve							0,0 %	0,0 %
Total	0,00	1 540 503,93	p.m.	950 000	p.m.	140 000	0,0 %	-85,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 and 2015 budgets.

Objectives followed during 2017 and previous years' implementation:

The project Peace Harvests: Investing in sustainable peace and community rebuilding concluded the selection and characterisation of the members from producers' organisations in 2016. The beneficiary team concluded that setting up a single operational and distribution centre was not the most suitable and effective alternative in light of the region's commercial dynamics. Hence, a new strategy that consists of supporting the establishment and operation of five logistic processes for the commercialisation of products of small peasant producers was adopted. These processes are expected to be carried out by five producer organisations. Capacity building activities have been carried out to strengthen the production skills of these small farmers so as to increase their productivity. To this date, 24 marketing committees have been settled with their respective work plans according to the main production line and 24 operating regulation documents for marketing committees have been developed in a participatory manner with the community. In 2017, 58 leaders of the marketing committees were certified, for a total of 101 certified leaders in sales and customer service techniques, with the accompaniment of the National Apprenticeship Service (SENA). The number of farmers adopting good soil conservation practices and keeping production records to monitor the cost of production per kilo of their main production line has increased.

Autonomy and Economic Empowerment of Rural Women of Southern Cauca. - Mercy Corps Europe

In its first year, a base line was developed with more than 1 300 families included. It provided women's organisations and local authorities with updated information on the situation of rural women in the region.

The base line was also essential for the characterisation and then focalisation of targeted rural women. In 2017, this programme has provided rural women with legal assistance for land access and land formalisation. They have developed 15 topographic surveys for the delimitation of property boundaries and conformation of case files of property in order to solve existing conflict on land ownership. In terms of training and technical assistance, the programme has carried out capacity building sessions on financial resources; cooperatives, access to credit and public goods and inclusive business plans. The programme has established marketing channels for women's agricultural products through the main coffee cooperative of the region, also partner for this project.

Social and Economic Empowerment of Rural Women for Peace and Territorial Development – Alpina Foundation

In 2017, a first exercise was undertaken for the characterisation and focalisation of key productive sectors, according to the needs and social conditions of rural women in the region. This assessment was crucial to identify technical and operational needs and also outline a more effective strategy to promote conditions for income generation, economic empowerment and social inclusion of rural women. Based on that information, a first set of Individual Productive Units were identified and supported with technical assistance, productive assets, farming tools and inputs like fertilizers, seeds, etc. Professional support has been provided to assist women in addressing key market constraints and identify opportunities. In 2017, this programme has built cooperation agreements with municipalities and local authorities in order to guarantee sustainability, and boost greater public ownership and state presence in areas that were usually out of the scope of the provision of public and institutional services.

Intentions for the current year 2018:

Peace Harvests: Investing in sustainable peace and community rebuilding 355-855- Vallenpaz

The new strategy adopted for the logistical coordination and the commercialisation of the production of at least 2 000 peasant families led to the signature of an addendum to extend the implementation period. This strategy includes the establishment of five different logistical centres instead of one together with the adoption of a sub-granting modality to support the decentralised and operation of such centres. Sub-granting agreements are about to be signed. This year will be therefore focus on providing assistance for the proper setting up of such logistic and distribution centres as well as the actual practice of commercial, marketing and sales skills gained in the frame of the capacity building component to better manage and increase producers' agricultural production.

Autonomy and Economic Empowerment of Rural Women of Southern Cauca. – Mercy Corps Europe

In 2018, partners will continue the implementation of this programme. The number of legal cases on land access and formalisation is expected to increase as a result of continued legal assistance to rural women. Additional training and capacity building sessions will be offered to reach the expected results in terms of financial education and access to credit and public goods. Our partner's technical team will provide technical assistance for the adoption of good agricultural practices and environmental management plans. Three financial forums will be held together with key public and private financial stakeholders in order to increase rural women's access to financial resources in a responsible manner. Further psychological accompaniment will be provided by a team of psychologists in the frame of an agreement signed with the main university of the region. Public gender liaison functionaries will assist women in using existing protocols to address cases of sexual and gender based violence. Women producers will receive training for the proper use of agrochemicals and fertilizers. Finally, some labour formalization activities will be carried out in order to achieve expected results in reducing labour gaps between men and women in rural areas.

Under the supervision of the task manager, our partner is expected to consolidate and technify to a greater degree the eight agro productive chains identified during the first year, through technical assistance. Another goal is to ensure that niche markets are reached and that local trade agreements are economically sustainable and allow the actual trade of produced goods.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2014 commitment appropriations

Step	Date
Adoption of financing decision	16 December 2014
Closing date of call for proposals / call for tender	N/A
Award decision	23 May 2015
Pre-financing payment(s)	19 June 2015
Interim payment(s)	05 December 2017
Final payment(s)	31 January 2019

– 2015 commitment appropriations

Step	Date
Adoption of financing decision	09 October 2015
Publication of call for proposals / call for tender	August 2016
Closing date of call for proposals / call for tender	19 October 2016
Award decision	12 December 2016
Pre-financing payment(s)	16 January 2017
Interim payment(s)	16 March 2018
Final payment(s)	16 March 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2014)9766 was adopted on 16 December 2014. Commission Financing Decision C(2015)6811 was adopted on 09 October 2015. These set out the main elements of this pilot project.

Mercy Corps and CAFICAUCA have developed a document with the baseline of the programme available at: <https://mercycorps.org.co/programa/mujeres-rurales-del-cauca>. This data is a reference of primary information in which 1 377 families from the region provided information on the socioeconomic situation of families and rural women, addressing 24 different variables.

- Do similar activities exist, which are already covered by a legal basis?

The Rural Development with Territorial Approach (DRET) programme 2015-2017, supported by the EU in collaboration with the Ministry of Agriculture, envisions increasing the national rural production capabilities to improve competitiveness which aligns with the objectives of the project.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

– 2014 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Investing in sustainable peace and community rebuilding in the Cauca region, Colombia	Vallenpaz – Corporación para el desarrollo y paz del sur-occidente Colombiano	1 500 000

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Autonomy and Economic Empowerment of Rural Women of Southern Cauca	Mercy Corps Europe and CAFICAUCA	750 000
Social and Economic Empowerment of Rural Women for Peace and Territorial development	Fundación Alpina and COMFACAUCA	680 000

5.5 Method of implementation (tenders, grants, other) and evaluations

Grants

Call for proposals and sub-granting schemes.

5.6 Types of beneficiaries

Non-profit private organisations and NGOs

1. Heading

21 02 77 22: Pilot Project — Integrated approach to developing and rolling out health solutions to tackle neglected tropical diseases in endemic areas

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	1 350 000,00	p.m.	p.m.	p.m.	150 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	1 350 000,00	p.m.	p.m.	p.m.	150 000	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

Objectives followed during 2017 and previous years' implementation:

The pilot project started on 01 January 2017 and has four result areas: Global Observatory on Health R&D; Global Platform on Innovation and Access; Application and management of Intellectual Property to improve access to medical products; and technology transfer and local production. Activities included the launch of the Global Observatory on Health R&D with eight data types from twelve data sources, expanded to eleven data types from 18 data sources, populating the Global Platform on Innovation and Access taking into account regional needs (regional meetings), preparations for a WHO-WTRO and WIPO technical symposium, hosting of a Fair Pricing Forum in The Hague (May 2017) and the publication of related background studies, work on increasing access to safe and effective vaccines against rabies as well as to rabies, immunoglobulin.

Intentions for the current year 2018:

Organisation of the 7th Trilateral Symposium on "Sustainable Development Goals: Innovative technologies to promote healthy lives and well-being" – WHO-WTO-WIPO; work on the second revised edition of the WHO, WIPO, WTO study on "Promoting access to medical technologies and innovation", expanding work on the four results areas: Global Observatory on Health R&D; Global Platform on Innovation and Access; Application and management of Intellectual Property to improve access to medical products; and technology transfer and local production.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2015 commitment appropriations

Step	Date
Adoption of financing decision	18 December 2015
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	N/A
Pre-financing payment(s)	20 January 2017
Interim payment(s)	N/A
Final payment(s)	Fourth quarter 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Individual Implementing Decision C(2015) 9554 Final of 18 December 2015 sets out the main elements of this pilot project. Additionally, WHO pilot project activities, available at the following links: <http://www.who.int/research-observatory/en/>; <https://www.fairpricingforum2017.nl/home>; http://www.who.int/phi/sustainable_development_goals_February2018/en/; http://www.who.int/phi/implementation/tech_transfer/en/ provide further information.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No – however this work is well placed to provide input to reflections on the next MFF in particular concerning global health research.

5.4 *Type of activities financed, number and amounts*

2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project - Integrated approach to developing and rolling out health solutions to tackle neglected tropical diseases in endemic areas	WHO	1 500 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Delegation Agreement with World Health Organisation.

5.6 *Types of beneficiaries*

International organisation (World Health Organisation).

1. Heading

21 02 77 23: Pilot Project — Access to justice and reparation for victims of the most serious crimes committed in the Democratic Republic of Congo (DRC)

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	268 870	p.m.	126 653	0,0 %	-52,9 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	268 870	p.m.	126 653	0,0 %	-52,9 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

Objectives followed during 2017 and previous years' implementation:

The objective of the pilot project "Access to justice and compensation for victims of serious violations of human rights and international humanitarian law in the Democratic Republic of the Congo", is to help victims of serious crimes secure justice before the national courts in the DRC and via regional and international mechanisms with a view to ensuring that offenders are prosecuted and compensation granted. The three specific objectives are to: support civil courts exercising their powers to prosecute serious crimes; support lawyers so that victims of serious crimes are represented in the national courts and regional and international human rights protection mechanisms and can obtain justice and compensation; support local non-governmental organisations in order to document and record serious crimes with a view to ensuring that victims have effective access to justice and that their cases are followed up in terms of information, compensation and protection.

The Commission financing decision was adopted in December 2015 and the delegation agreement with the UNDP (United Nations Development Programme) has been signed in April 2016. Since then, activities have been implemented in South Kivu and Katanga by the UNDP and field partners. Main achievements are related to: the validation of the study on shared competences between civil and military justice regarding international crimes prosecution; the support to several major trials regarding mass crimes committed in South Kivu; and the capacity building of all the actors of the judicial chain including lawyers and CSOs. Nevertheless, the pilot project has faced to major issues linked to the security situation in both provinces and the change of several key human resources. These problems have led to a delay in the project implementation.

Intentions for the current year 2018:

Discussions are ongoing with UNDP in order to extend the implementation period until the end of 2018. This extension will enable the counterparts to achieve all expected results and better prepare further supports in 2019.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2015 commitment appropriations

Step	Date
Adoption of financing decision	14 December 2015
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	December 2015
Pre-financing payment(s)	June 2016
Interim payment(s)	December 2017
Final payment(s)	February 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2015)8957 of 14 December 2015 sets out the main elements of this pilot project.

- Do similar activities exist, which are already covered by a legal basis?

A number of projects in the justice sector that aim to achieve similar goals are already implemented in the DRC. The objective is to avoid duplications with other ongoing projects in the justice sector. EU main EDF programs in the justice sector ended in 2016 and 2017. Due to the political and human rights situation every new decision in the sector has been suspended on demand of the EU member states. Nevertheless, new programs funded by the Commission budget have been launched in the field of Human Rights Defenders protection, freedom of speech and fights against torture. They all have a links with the ongoing pilot project. Other donors such as the UN and international NGOs are also working in areas such as the fight against impunity.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
"Accès à la justice et réparations pour les victimes de crimes graves des droits de l'Homme et du droit international humanitaire"	UNDP / PNUD	790 000,00

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by indirect management with an international organisation (UNDP). An evaluation is foreseen.

5.6 *Types of beneficiaries*

International organisation.

1. Heading

21 02 77 25: Pilot Project — Enhance children's rights, protection and access to education for children and adolescents displaced in Guatemala, Honduras and El Salvador

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	750 000,00	700 000,00	p.m.	400 000	p.m.	80 000	0,0 %	-80,0 %
Reserve							0,0 %	0,0 %
Total	750 000,00	700 000,00	p.m.	400 000	p.m.	80 000	0,0 %	-80,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

Objectives followed during 2017 and previous years' implementation:

During 2016 work was carried out on the design of the project. 2016 saw a successful completion of the identification and formulation stages. The corresponding financial decision was adopted in December 2016 C(2016)8420.

The EU Delegation in Honduras signed an indirect management agreement directly with the United Nations High Commissioner for Refugees (UNHCR) for a sum of EUR 1 950 000,00 (EU contribution EUR 1 450 000,00) on July 1st, 2017.

The overall objective of the project is to improve immediate and long-term protection responses for children and adolescents displaced by violence, persecution and violations of human rights and to strengthen child protection systems at regional, national and local level.

The specific objective is that at least 14 640 displaced children and adolescents including separated and/or unaccompanied minors benefit from stronger and holistic protection mechanisms in Guatemala, Honduras and El Salvador.

A delegation of the Committee on Development of the European Parliament visited Honduras in September 2017. During their visit the delegation met with the representative of the UNHCR in Honduras, which reported to the DEVE Delegation about the project. The mission was comprised of Ms Lola Sánchez

Caldentey (GUE, head of delegation), Mr Doru Claudian Frunzulica (S&D) and Ms Eleni Theocharous (ECR).

During 2017 all project activities were initiated.

Intentions for the current year 2018:

During 2018 the project aims to continue with the following activities, all initiated during 2017:

Activity 1.1: Establish and strengthen protocols and coordination mechanism regarding forcibly displaced and asylum-seeking children among child protection institutions (national agencies, and civil society organisations) for an integral response mechanism to child needs at a national and a regional level.

Activity 1.2: Provide technical assistance in policy formulation and law implementation and capacity building support in child protection measures for forcibly displaced populations to governments and civil society organisations at a national and a regional level.

Activity 2.1: Support civil society organisations in Guatemala, Honduras and El Salvador to manage and provide services in children and family shelters/reception centres and schools, where children in transit, internally displaced, deported with specific protection needs, asylum-seeking and refugee children could meet their specific protection needs in a secure environment.

Activity 2.2: Support governments and key civil society partners in Guatemala, Honduras and El Salvador in the establishment of reception and proper best interest procedures for all children at risk, including Best Interest Assessment (BIAs) and Best Interest Determination (BIDs), and enhance their capacities to identify children at risk as well as to assess their needs and determine durable solutions.

Activity 3.1: Build partnerships with civil society organizations and municipalities to address root causes of displacement and/or build appropriate and child-friendly reception conditions through community-based presence, provision of assistance to vulnerable persons at risk of displacement (i.e. children, LGBTI, persons with disabilities) and information on the right to seek asylum to children in transit with international protection needs, asylum-seeking and refugee children, and support to municipalities, local civil society organisations and community organisations to improve community-based protection mechanisms for forcibly displaced children.

Activity 3.2: Raise awareness and support the government and civil society organisations in Guatemala, Honduras and El Salvador in addressing the protection needs of children. Inform children on their basic rights and how to access protection mechanisms.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 and 2017 commitment appropriations

Step	Date
Adoption of financing decision	15 December 2016
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	N/A
Pre-financing payment(s)	N/A
Interim payment(s)	July 2018
Final payment(s)	June 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Yes. The contract "Enhance rights and protection for forcibly displaced children in Guatemala, Honduras and El Salvador", contract number 387-005 (2017) signed on 1 July 2017. See also, the Commission Financing Decision C(2016)8420 adopted on 15 December 2016. It covers both the EU budget allocation for 2016 (EUR 700 000) and 2017 (EUR 750 000).

- Do similar activities exist, which are already covered by a legal basis?

Two thematic projects for Central America: on migratory South-South flows and protection of migrants (282-739) and on institutional strengthening with regard to human trafficking, especially women and children (334-503).

Both projects are related to and complement the pilot project. Attention will be paid to ensure synergies without overlaps in this respect.

In El Salvador, one of the components of the currently running project "Shaping public safety with imagination, participation and action" (348-890) focuses on providing humanitarian assistance and shelter in emergency cases for internally displaced individuals due to violence. In addition, in the first quarter of 2015, within the framework of the "Support for the migrant children awareness campaign" (352-696), the Delegation coordinated various awareness raising activities together with the General Directorate for Migration and Development of the Vice-Ministry for Salvadorians living abroad.

A new regional project named "Towards a strengthened and organised Central American civil society in the face of violence and in the fight against human trafficking and the smuggling of migrants" (ICSP/2017/391-173) started in January 2018, which aims to contribute to the improvement of integral protection and defence of the rights of children, adolescents, young people and women at risk or victims of trafficking or smuggling of migrants in five countries of Central America and the Dominican Republic. The project is implemented by Save the Children, one of the partners in the project being reported.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Enhance rights and protection for forcibly displaced children in Guatemala, Honduras and El Salvador.	UNHCR	700 000

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Enhance rights and protection for forcibly displaced children in Guatemala, Honduras and El Salvador.	UNHCR	750 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The action is implemented by an indirect management agreement with an international organisation (UNHCR the United Nations High Commissioner for Refugees). The UNHCR was identified as the primary implementation partner. UNHCR committed to contributing an additional EUR 500 000 to the action, leading to the formulation of this action for a total of EUR 1.95 million.

5.6 *Types of beneficiaries*

Primary: International organisation.

The action has three levels of beneficiaries:

- direct beneficiaries: displaced children and adolescents displaced due to violence, including separated and/or unaccompanied minors;
- direct beneficiaries: local authorities and governments that will develop protection sensitive policies at regional level through the implementation of regional agreements; and
- indirect beneficiaries: affected populations and civil society providing protection networks and monitoring the situation of displacement.

1. Heading

21 02 77 26: Pilot Project — Education services for children formerly associated with armed forces and groups in the Greater Pibor Administrative Area (GPAA) in South Sudan

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	* E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	0,00	p.m.	500 000	p.m.	350 000	0,0 %	-30,0 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	0,00	p.m.	500 000	p.m.	350 000	0,0 %	-30,0 %

* of which 1 000 000,00 euro correspond to Final Budget Appropriations

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for the pilot project were voted under the 2016 and 2017 budgets.

Objectives followed during 2017 and previous years' implementation:

No implementation in 2017 or before. The 2016 budget appropriations (EUR 500 000), which had been carried over to 2017 (Commission Financing Decision C(2017) 771 of 9 February 2017), were eventually adopted under Commission Financing Decision C(2017)1783 on 22 March 2017. Further budget appropriations amounting to EUR 1 000 000 were added through a substantive amendment (Commission decision C(2017)7085, 25 October 2017). The additional resources will enable an increase in beneficiaries from 300 to 900.

With a view to ensure an efficient contracting process, it was decided to contract the total amount of EUR 1 500 000 rather than issuing two contracts. Due to the resumption of the conflict in the area where the pilot project is to be implemented, the Commission had to reassess the working assumptions and make adjustments to the project, which in turn affected the formulation of the action.

Intentions for the current year 2018:

Following the adoption of the Commission Financing Decision C(2017) 1783 covering 2016 commitment appropriations carried over to 2017 (22 March 2017), which was topped up through a substantial amendment with the second budget appropriation of EUR 1 000 000 (C(2017)7085, 25 October 2017), the

programme manager (EU Delegation to South Sudan) will start the negotiation phase with the indicated implementing partner, in order to sign the delegation agreement by the second quarter of 2018.

The overall intentions are to promote peacebuilding and enhance the wellbeing of children and adolescents in the former Greater Pibor Administrative Area (GPAA). The project will apply a suitable, integrative and cross-cutting model for community-based child soldier reintegration in the former GPAA, in order to enhance the wellbeing of approximately 900 children and adolescents (450 formerly associated with armed forces and 450 selected vulnerable children from the communities) through access to education, psychosocial support and improved livelihood opportunities.

This project aims to address the root causes of conflict and violence by empowering children and adolescents to become constructive citizens and to contribute to sustainable peace in their region. As all the children registered to be released are boys, the project will endeavour to address this gender imbalance by prioritising girls during the selection of vulnerable children from the community in accordance with the Paris Principles, which provide guidelines on the disarmament, demobilisation and reintegration of all categories of children associated with armed groups.

The pilot project will also collect information on the demographics, composition, challenges and prospects of the target groups, with the intention to test integrative, cross-cutting and also case/region-specific approaches to the reintegration of child soldiers in their communities in the region. The results of its evaluation will provide valuable information towards future actions by checking scalability and effectiveness.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations (including appropriations carried-over from 2016)

Step	Date
Adoption of financing decision	C(2017)1783 on 22 March 2017, EUR 500 000
Adoption of financing decision	C(2017)7085, on 25 October 2017, EUR 1 000 000
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	July 2018
Pre-financing payment(s)	August 2018
Interim payment(s)	N/A
Final payment(s)	December 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017) 1783 on the pilot project in favour of the Republic of South Sudan to be financed from the general budget of the Union for 2016 and its Annexes adopted on the 22 March 2017.

Commission Financing Decision (2017)7085 on the pilot project in favour of the Republic of South Sudan to be financed from the general budget of the Union for 2016 and its Annexes adopted on the 25 October 2017.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2016 and 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Education Services for children formerly associated with armed forces	UNICEF	1 500 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented in indirect management with UNICEF in accordance with Article 58(1)(c) of Regulation (EU, Euratom) No 966/2012. This implementation entails the provision of education, psychosocial and livelihoods support service packages to children and adolescents formerly associated with armed forces and groups in the former GPAA, with the objective to enhance their wellbeing and reintegration. This implementation is justified because UNICEF South Sudan maintains a de facto advantageous position as the main provider of technical assistance and of fully-developed, specialising programmes related to children and adolescents formerly associated with armed groups, in all their phases. It is also the sole provider, in cooperation with its partners and backed by an Agreement with the South Sudanese Government, of educational and vocational training as well as cross-cutting, community-based psychosocial and livelihood financial training and support services for vulnerable children and adolescents in the Pibor area (Boma State). Moreover, UNICEF has signed a Memorandum of Understanding with the National Disarmament, Demobilisation and Reintegration Commission (NDDRC) and the Greater Pibor Administrative Area authorities on the implementation and modalities of the overall release and reintegration process in the region.

The entrusted entity would carry out the following budget-implementation tasks: subcontracting, launching calls for proposals and related financial execution for the provision of educational material and supplies, child-protection services and follow-up, teacher, personnel and community training, primary and accelerated educational packages, psychosocial support and livelihoods trainings.

5.6 *Types of beneficiaries*

Besides the vulnerable children and adolescents in the Pibor area (Boma State) and their communities, the National Disarmament, Demobilisation and Reintegration Commission (NDDRC) and the Greater Pibor Administrative Area authorities are part of the beneficiaries.

1. Heading

21 02 77 27: Pilot Project — Piloting the use of Participatory Rangeland Management (PRM) in Kenya and Tanzania

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	* E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	0,00	p.m.	500 000	p.m.	550 000	0,0 %	10,0 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	0,00	p.m.	500 000	p.m.	550 000	0,0 %	10,0 %

* of which 1 000 000,00 euro correspond to Final Budget Appropriations

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

Project objective is to improve the livelihood and nutrition status of pastoralist communities in East Africa by improving the management of rangelands. Project purpose is to attain secure and better use of rangelands and expand the role of women in selected pastoral communities in Kenya and Tanzania.

Objectives followed during 2017 and previous years' implementation:

The overall objective of the project is to improve the livelihood and nutrition status of pastoralist communities in East Africa by improving the management of rangelands, while the Project Purpose is to attain secure and better use of rangelands and expand the role of women in selected pastoral communities in Kenya and Tanzania. As coordination was necessary to ensure complementarity and additionality with possible actions funded under the EDF, the formulation of the action for the first year (2016) was delayed. The 2016 budget appropriations (EUR 500 000) were carried over to 2017 (Commission Decision C(2017) 771 of 9 February 2017). The contract was signed in December 2017.

The intentions for the current year 2018 are:

Participatory Rangeland Management piloting will be undertaken in four sub-counties of Baringo County, where the County government and the National Land Commission through the County Land Management Board are undertaking a spatial planning exercise mapping the different resources. The project manager in Kenya visited Baringo County on 23-27 April 2018 and participated in introductory meetings with the

County Commissioner, County Executives and the Lands and Planning officials to introduce the project and gain support for the implementation phase. In 2018, initial steps include capacity building for stakeholders and across counties, definition of the sub-counties of the project within Baringo North, Tiaty, Mogotio and Baringo South counties, establishment of a technical committee to spearhead implementation, development of an MoU to elaborate on different roles and expectations of county governments and the project team and planning of a future monitoring visit by EU Delegation to be communicated by the County Executive Committee member (CEC) in charge of lands.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	14 September 2016
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	N/A
Payment	January 2018

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	24 November 2017
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	N/A
Pre-financing payment(s)	N/A
Interim payment(s)	31 December 2019
Final payment(s)	2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

- The Commission Financing Decision C(2017)1782, adopted 22 March 2017 and Commission Financing Decision C(2017)7777, adopted 24 November 2017 set out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

The only other EU supported action is financed under the 11th European Development Fund, FED/2016/377-185 (EC) 'Support to the attainment of Vision 2030 through devolved land reforms in community lands of Kenya'. Very close coordination is taking place between these two projects, under the leadership of EUD Kenya.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

It will depend mainly on the outcomes of the pilot if county and national governments will want to follow with specific pieces of legislation. r

5.4 Type of activities financed, number and amounts

– 2018/2019/2020 disbursement

Title / Action	Beneficiary / contractor	EUR (maximum)
Project coordination and monitoring in Africa and at ILC	ILC/RECONCILE	300 000
Implementation of PRM in Kenya and Tanzania	ILC/RECONCILE	1 150 000
Evaluation and visibility	ILC	50 000
Total		1 500 000

5.5 Method of implementation (tenders, grants, other) and evaluations

This action is implemented in indirect management with the International Fund for Agricultural Development (IFAD) in accordance with Article 58(1)(c) of Regulation (EU, Euratom) No. 966/2012. This implementation entails the implementation of all components of the action as described in point 5.4 above. This implementation is justified because IFAD is the hosting organisation of the International Land Coalition (ILC), which is an umbrella organisation which has a network of non-state actors that have the experience and field presence to implement this action and has the technical capacity to provide financial and technical oversight over the project. It is the intention that IFAD/ILC will conclude grant agreements for implementation with a number of NGOs in Kenya and Tanzania, which are members of ILC and/or Coalition of European lobbies for Eastern African pastoralism (CELEP), which was the organisation that took the initiative for this pilot project.

The project just started in January 2018, as contract negotiation was only concluded in December 2017. It is just starting off and the beneficiary has just formed the steering committee to kick off the activities. The first project report is expected in June 2018 and December 2018.

The first project report is expected in June 2018 and December 2018.

5.6 Types of beneficiaries

This action is implemented in indirect management with the International Fund for Agricultural Development (IFAD) in accordance with Article 58(1)(c) of Regulation (EU, Euratom) No. 966/2012. IFAD is the hosting organisation of the International Land Coalition (ILC), who will implement the activities together with RECONCILE, an NGO who is contracted to carry out implementation activities in Kenya.

1. Heading

21 02 77 28: Pilot Project — Supporting the urban dimension of development cooperation: increasing financial capacities of cities in developing countries to deliver productive and sustainable urban development

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	500 000	p.m.	500 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	p.m.	500 000	p.m.	500 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The main objective during 2017 was to finalise the action document and the related decision of the project on "Supporting urban dimension of development cooperation: Increasing financial capacities of cities from developing countries to deliver productive and sustainable urban development".

As defined in the action document, the main objective of the project is to empower cities to deliver sustainable urban development. The specific objectives are the following:

- to improve knowledge of policy makers in the field of access to finance and integrated investment planning (integrating financial, spatial and legal dimensions).
- to determine gaps and bottlenecks in methodology of funding urban development in pilot cities from developing countries vis-à-vis good European experiences or relevant practices from African Caribbean and Pacific Group (ACP) countries to be identified, regarding financial capacities of municipalities to deliver prosperous and sustainable urban development.
- to envisage and qualify the capacities needed both at the cities of the developing countries and at the international institutions for empowering them to deliver sustainable urban development using new planning and financial instruments, including blending grants and loans.

Intentions for the current year 2018:

The intention for 2018 is to finalise and sign the delegated agreement with UN-Habitat, and to start and follow up on the implementation of the project.

The overall aim of the project is to conduct a study, in order to identify and analyse constraints for effective financing of urban development in developing countries, including identification of pertinent practises from the EU and other cities with relevant solutions. The study will be conducted in three phases focussing on: a scoping desk study to identify pilot cities and the thematic to be analysed; a pilot phase to analyse concrete city experiences and identify concrete solutions in twinning with relevant EU/other countries; and a synthesis study to recommend concrete solutions with guidance on how to increase the financial capacities of municipalities from developing countries to deliver prosperous and sustainable urban development.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	Decision was adopted in 2017 (20 December 2017)
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	March 2018
Pre-financing payment(s)	Second quarter 2018
Interim payment(s)	Second quarter 2019
Final payment(s)	Fourth quarter 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2017) 9006 of 20 December 2017 and the related Action Document are setting out the main elements of this pilot project. The Annex I "Description of the Action" of the Delegated agreement which is under finalisation will set out these main elements further in detail.

- Do similar activities exist, which are already covered by a legal basis?

No similar activities exist.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, not at this stage.

5.4 *Type of activities financed, number and amounts*

This first step is a desk scoping study aiming at focusing on pilot city selection including identification and articulation of specific thematic to be analysed, and on lessons learned expected from the city pilots and peers counterparts to draw on from EU/other cities. The expected deliverables for the two next consecutive phases will be: the pilot city reports with substantive findings on gaps and good practices (the pilot study phase), and debate on the way forward with a final report including guidance on how to increase the financial capacities of municipalities from developing countries (the synthesis phase).

To ensure coherent and coordinated process relevant EU Delegations and DEVCO geographic units will be involved as from the scooping study. Furthermore, an advisory board, composed of high level representatives, will validate the substantive methodology, the findings and the reports all along the implementation of the project.

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Supporting the Urban Dimension of the Development Cooperation: Increasing financial capacities of cities from developing countries to deliver productive and sustainable urban development	UN-HABITAT	1 000 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is foreseen to be implemented by indirect management with an international organisation (UN-HABITAT), the United Nations agency for human settlements and sustainable urban development.

5.6 *Types of beneficiaries*

International organisation.

1. Heading

21 02 77 31: Pilot Project — Santé pour tous — Health for All

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	1 200 000	850 000	p.m.	225 000	-100,0 %	-73,5 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	1 200 000	850 000	p.m.	225 000	-100,0 %	-73,5 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

The proposed general objective of this pilot project was to improve the health of the Togolese population by bringing the hospital to the rural poor and more vulnerable population.

The two specific objectives are: to increase the demand for medical/surgical treatment of the rural and peri-urban population, and to increase correct diagnoses and successful operations.

Intentions for the current year 2018:

- For 2017 commitment appropriations: Adoption of the financing decision and project initiation

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	01 March 2018
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A

Award decision	N/A
Pre-financing payment(s)	30 April 2018
Interim payment(s)	30 April 2019
Final payment(s)	30 April 2020

– 2018 commitment appropriations cannot be implemented, as expressed in the Commission assessment of the 2017 proposal for the continuation of the project and recalled in the Commission Letter of Implementability transmitted to the European Parliament in October 2017.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

This pilot project aims to improve the health of the Togolese people by bringing the hospital to the helpless rural population, by offering free medical and surgical support and by training the local staff.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Une subvention	l'ONG Aktion PiT-Togohilfe et l'ONG Aimes-Afrique	500 000

5.5 Method of implementation (tenders, grants, other) and evaluations

The grant will be awarded to the NGO Aktion PiT-Togohilfe and the NGO Aimes-Afrique will be co-beneficiary. This award without call for proposal is justified by the fact that NGO Aimes-Afrique is the only big NGO able to manage great-scale surgical operations, with a recognised experience in the field in Togo and other African countries. Thus, the NGO is linked to the Health Ministry by a partnership agreement that gives it the power to carry out treatments and surgeries throughout the Togolese territory.

5.6 Types of beneficiaries

NGO.

1. Heading

21 02 77 33: Pilot Project — Fostering transparency and impact assessment for local authorities in Guatemala

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		450 000	225 000	p.m.	125 000	-100,0 %	-44,4 %
Reserve						0,0 %	0,0 %
Total		450 000	225 000	p.m.	125 000	-100,0 %	-44,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

At local level, the pilot project aims to contribute to the development of the regulation of the Urban and Rural Development Councils Act, specifically regarding the operation of the COCODES and COMUDE. Hence, this pilot project aims to create and to formalise an instrument for the effective participation of the community organisations established in the municipalities and other actors and groups.

At national level, the pilot project aims at becoming a best practice for the next legislative rules related to transparency in Guatemala and to position Rio Hondo and the other participating municipalities as active members in the elaboration of the biannual open government plan for Guatemala.

At international level, the two main challenges are to influence Open Government Partnership and European Union programmes, such as EuropeAid and regional development instruments, in order to include transparency as a priority and big data as a tool to measure the impact.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

This pilot project will create both innovative methodologies and technological tools to facilitate monitoring of the social impact of public services and international cooperation projects carried out by local authorities in Guatemala.

The objectives are:

- to promote participatory and inclusive municipal governance using the open government methodology to improve service provision, policies and practices at local level;
- provide local authorities with the most suitable set of indicators and mechanisms for accessing data in order to measure the impact of the actions implemented in their territory;
- develop a reference framework with regard to the level of efficiency and effectiveness of development politics at local level.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	Planned 30 September 2018
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	N/A
Pre-financing payment(s)	Planned 15 December 2018
Interim payment(s)	N/A
Final payment(s)	N/A

5.3 *Documentation and expected results*

This pilot project will foster cooperation between local authorities willing to work together towards the development of a methodology based on open data and big data. This will provide current data on the impact of initiatives at local level in Guatemala in line with national public strategies.

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

There is a draft based on the proposal sent by Río Hondo to the call EuropeAid/155500/DD/ACT/GT and the pilot project's preparatory meeting.

The general objective is to contribute to good governance and territorial sustainable development, by developing the full potential of local authorities and fostering the active participation of civil society. The pilot project will design an impact indicators model and establish a local decision making methodology based on big data, with the aim to implement an open government strategy at local level, new projects and improve public policies.

This pilot project foresees an implementation plan consisting of 5 phases:

- communication: Identification of actors, open government training and a participatory approach to the communication;
- model: Creation of a set of impact indicators;
- citizen participation network: Organisation and drive for citizens' participation through COCODES and COMUDES;
- collaboration: Promotion of collaborative actions for food security (food security observatory);

– accountability: Data collection and accountability.

As mainstream action, the project aims to include a gender approach both in the development of the impact indicators model and the implementation of the local public policies.

Do similar activities exist, which are already covered by a legal basis?

N/A

At this stage, is it envisaged to follow up this project/action with a legislative proposal?

N/A

5.4 *Type of activities financed, number and amounts*

– 2018 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Foster transparency and impact assessment for local authorities in Guatemala	CONEXX-Europe [+ subcontractors]	450 000,00

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Implementation modality: grant (direct award)

Evaluation: a final evaluation will be carried out for this action via independent consultants or via an implementing partner. The evaluation reports shall be shared with the partner country and other key stakeholders.

Audit: Without prejudice to the obligations applicable to contracts concluded for the implementation of this action, the Commission may, on the basis of a risk assessment, contract independent audits or expenditure verification assignments for one or several contracts or agreements.

5.6 *Types of beneficiaries*

N/A

1. Heading

23 02 77 01: Pilot Project — Ensuring effective delivery of aid to victims of sexual and gender-based violence in humanitarian settings

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The objective is to launch a call for proposals, which will lead to the signature of a grant in the second half of 2018 (tentatively estimated for September).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations (Please note that the below table is tentative)

Step	Date
Adoption of financing decision	March 2018
Publication of call for proposals / call for tender	April 2018
Closing date of call for proposals / call for tender	June 2018
Award decision	September 2018
Pre-financing payment(s)	October 2018
Interim payment(s)	N/A
Final payment(s)	2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The pilot project pursues the following overall objectives:

- assessing how sexual violence in humanitarian settings affect women, girls, boys and men;
- assessing what forms of medical and psychosocial assistance victims/survivors have access to in several humanitarian settings (conflict and natural disaster);
- assessing the extent to which this assistance complies with relevant standards and international law;
- assessing which best practices exist and should be reproduced.

The pilot project is expected to produce recommendations and contribute to increasing the capacity of humanitarian actors to adequately respond to sexual violence in humanitarian settings, and improve the effectiveness and efficiency of EU aid.

Activities under the project should seek to achieve the following objectives to the largest extent possible:

- assessment of forms of sexual violence in humanitarian settings affecting women, girls, boys and men;
- assessment of medical and psychosocial services that victims/survivors have access to, and assess gaps in protection, in particular with regards to gender;
- assessment of whether relevant international standards on medical and psychosocial services, inter alia World Health Organisation protocols, as well as international humanitarian law/international human rights law, are adhered to;
- identification and dissemination of best practices in delivering effective aid to women, girls, boys, and men victims/survivors of sexual violence in humanitarian settings;

The overall aim of the project should be to develop recommendations to improve actions, including examples of good interventions or tools.

To the largest extent possible, the project should base its findings on five situations of conflict and two situations of natural disasters.

The Commission encourages joint applications covering to the largest extent possible all of the activities listed above so as to maximise the possibilities of achieving the objectives of the pilot project. Consortium members submitting a joint application would ideally include relevant institutions, humanitarian actors and relevant civil society organisations.

- Do similar activities exist, which are already covered by a legal basis?

Whilst some the activities that the study will address (particularly under (b) are within the mandate of DG ECHO, this action is of a different nature. Under the mandate of DG ECHO, the humanitarian aid budget focuses on delivery of relief operations or capacity-building of humanitarian actors. This is a study that aims to look at good practices and challenges, with the aim of improving the effectiveness of aid.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

5.4 *Type of activities financed, number and amounts*

N/A as call for proposals has not been published yet.

5.5 Method of implementation (tenders, grants, other) and evaluations

This pilot project will be implemented through a grant.

5.6 Types of beneficiaries

NGOs, civil society, but also possibly think-tanks, academia.

Heading 5 - Administration

1. Heading

25 01 77 04: Pilot Project — New technologies and information and communication technology (ICT) tools for the implementation and simplification of European Citizens' Initiatives (ECI)

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	487 498,00	101 250,90	500 000	500 000	p.m.	250 000	-100,0 %	-50,0 %
Reserve							0,0 %	0,0 %
Total	487 498,00	101 250,90	500 000	500 000	p.m.	250 000	-100,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

As part of its efforts to improve the functioning of the European Citizens' Initiative (ECI), the Commission decided to implement this pilot project by setting up an online collaborative platform ("the Platform") for the ECI.

The objective of the Platform is to offer support on preparing European citizens' initiatives and stimulate debate around them and around the instrument as such and its implementation.

The specific objectives are to help citizens interested in starting an ECI: i.e. to find partners in Europe to form the requested citizens' committee; to formulate the content of their initiatives together with more experienced participants; to prepare and run a campaign, including to learn how to raise funds; to exchange experiences and best practice with other organisers; to follow, and participate in online discussions concerning initiatives or horizontal aspects of the ECI lifecycle.

In 2017, the Commission started to build the IT infrastructure of the Platform, while the running of the Platform itself was entrusted to an external partner through a contract for services, signed in December.

Intentions for the current year 2018:

The Platform is expected to go live in spring 2018.

Further IT design, development and maintenance (including infrastructure and licences) of the collaborative platform will be carried out by DG DIGIT which will use their own contracts.

Operating the collaborative platform and related communication and support services will continue to be the responsibility of the Commission's Secretariat-General through a contract for services with an external partner, to be renewed for another 12-month period (no new procurement planned).

To note that specific translation services may be needed, as appropriate, which will be the responsibility of the Commission (DG Translation).

Another important aspect will consist of designing an approach to ensure the sustainability of the platform. Whilst at the moment the platform is separate from the other ECI-related tools (ECI register or the organisers' online collection systems), the ultimate objective is to create an integrated platform encompassing all the relevant IT tools.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2017 commitment appropriations

Step	Date
Adoption of financing decision C(2017)4749 final	11/07/2017
Publication of call for proposals / call for tender PO/SG/C4-01/2017	03/10/2017
Closing date of call for proposals / call for tender	20/11/2017
Award decision / service contract to be renewed	06/12/2017
Pre-financing payment(s)	21/12/2017
Interim payment(s)	-
Final payment(s)	-

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	April-May 2018
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision / service contract to be renewed	December 2018
Pre-financing payment(s)	December 2018
Interim payment(s)	June 2019
Final payment(s)	December 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The 2017 Commission Financing Decision (C 2017 4749 final) sets out the main elements of the implementation of the pilot project.

A financing decision for year 2 of the pilot project is expected to be adopted in April/May 2018.

The technical specifications established for the service contract related to the running of the Platform provide additional details on the purpose as well as functionalities of the Platform (ARES 2017 6207629).

- Do similar activities exist, which are already covered by a legal basis?

Activities in relation to the European Citizens' Initiative are covered under the Europe for Citizens programme, and by a specific budget item 18 04 01 02. Several other developments in the ECI area have been foreseen accordingly. The activities of the pilot project will not duplicate such actions, but will be complementary.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

On 13 September 2017, the Commission adopted a proposal to revise the regulation on the European Citizens' Initiative (ECI). It includes inter alia a reference to the setting-up of an online collaborative platform.

5.4 Type of activities financed, number and amounts

– 2018 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
IT design and development	Contractors	140 000
Support for the development and operation of a collaborative platform for the European Citizens' Initiative	European Service Network (ESN)	340 000
Translation services (tbc)	Contractors	20 000

5.5 Method of implementation (tenders, grants, other) and evaluations

IT design, development and maintenance (including infrastructure and licences) of the collaborative platform will be carried out by DG DIGIT which will use their own contracts.

Operating the collaborative platform and related communication and support services will continue to be the responsibility of the Commission's Secretariat-General through a contract for services with an external partner, to be renewed for another 12-month period.

To note that specific translation services may be needed, as appropriate, which will be the responsibility of the Commission (DG Translations).

5.6 Types of beneficiaries

Organisers of ECIs and European citizens at large. From a financial viewpoint, commercial organisations as providers of services for the running of the Platform.

1. Heading

26 03 77 07: Pilot Project — Deployment of online eIDs and digital signatures by way of implementation of the eIDAS Regulation by the European Parliament and the Commission

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	550 000,00	0,00	p.m.	275 000	p.m.	275 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	550 000,00	0,00	p.m.	275 000	p.m.	275 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

4. Legal basis

Without legal basis – Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

This pilot project aims at providing the European Commission with a means of authentication to access online services in a secure manner as well as electronic signatures to electronically sign documents required in the daily work of EU institutions. This pilot project also aims at implementing the eIDAS Regulation and thereby enabling mutual recognition of electronic signatures and national eID schemes within EU institutions. The pilot project will be implemented as part of two of DIGIT's corporate services: EU Login and the Electronic Signature Services Infrastructure (ESSI).

This pilot project encompasses a number of actions within the context of EU Login (authentication) and the Electronic Signature Service Infrastructure (ESSI, e-signatures). This pilot project proposes the following four actions to enable the use of national eIDs for authentication and further enable the use of electronic signatures in European institutions:

- authentication and signature services: Information systems need to rely on authentication and signature services integrating with national eID solutions and eIDAS-compliant signature services. The actual migration of information systems falls outside the scope of this pilot project, but the pilot project aims for a significant investment in support services and promotion for EU Login and ESSI.
- alignment of the signature services of the Commission and the Parliament–The European Commission and the European Parliament need to provide uniform, eIDAS-compliant services for electronic

signatures which can be used or re-used by other EU Institutions. Activities will be launched to align the Commission's ESSI and the Parliament's DISP.

- features to use national eID: Authentication and signature services need to provide the features allowing the use of national eID solutions in a way that is equivalent to local solutions. Actions will be taken to remove obstacles for the use of national eIDs to authenticate to EU Login.
- hardware and software for using eID: Users need to have the hardware and software required to use their national eID. Pilot activities will be started to provision the hardware and software to (prospective) users.

The notification of national eID schemes and the certification of Trust Service Providers providing certificates for e-signatures to become eIDAS-compliant are outside the scope of this pilot project.

The financing decision for the pilot project was finalized in October, and the commitment appropriations were committed in December.

Intentions for the current year 2018:

The actions to be followed in 2018 are based on the objectives decided in 2017. The actions originally intended to be started in 2017—but delayed due to the late financing decision—will be committed to specific contracts in 2018 for execution (and payments) in 2018 and 2019.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	October 2017
Global commitment of 2017 commitment appropriations	December 2017
Signature of specific commitments	First and second quarter 2018
Interim payment(s)	Second, third and fourth quarters of 2018
Interim payment(s)	First quarter 2019
Final payment(s)	Second quarter 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)7102, adopted in October 2017, sets out the main elements of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

The Commission (the Directorate-General for Informatics) implements corporate services in the areas of identity and access management and electronic signatures.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. Any follow up activity should in principle be financed under each participating institution's administrative appropriations as per their administrative autonomy.

5.5 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Authentication and signature services – authentication	Existing framework contracts	50 000
Authentication and signature services – signatures	Existing framework contracts	100 000
Alignment of the signature services of the Commission and Parliament	Existing framework contracts	50 000
Features to use national eIDs	Existing framework contracts	250 000
Hardware and software for using eIDs – authentication	Existing framework contracts	20 000
Hardware and software for using eIDs – signature	Existing framework contracts	80 000

5.6 *Method of implementation (tenders, grants, other) and evaluations*

Use of existing DIGIT framework contracts.

5.7 *Types of beneficiaries*

European Parliament and Commission administrations (staff in general), as well as third parties (citizens, businesses, public administrations, etc.) accessing EP and Commission IT systems. From a financial viewpoint, commercial organisations (framework contractors) as providers of services to the Commission.

Preparatory Actions

Heading 1a - Competitiveness for Growth and Jobs

1. Heading

02 02 77 21: Preparatory Action — Transnational culture-related European tourism product

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	1 200 000,00	p.m.	450 000	p.m.	600 000	0,0 %	33,3 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	1 200 000,00	p.m.	450 000	p.m.	600 000	0,0 %	33,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2015 and 2017 budgets.

Objectives followed during 2017 and previous years' implementation:

The overall objective of this preparatory action is to increase demand for the heritage routes, focusing on World Heritage sites with unfulfilled potential. Different approaches are tested in large source markets (China, North America and within Europe) during this large-scale demonstration project.

Following the finalisation of the Financial and Administrative Framework Agreement between the European Union and the United Nations (so-called PAGODA), a first grant agreement with UNESCO was signed on 14 December 2015 and provides funding for the 24 months take-off phase. This phase consisted of:

- assessment, site selection and strategy development encompassing 34 UNESCO World Heritage sites in 19 countries;
- development and promotion of 4 thematic trans-European cultural routes linking 8 to 9 iconic and lesser-known UNESCO World Heritage sites—World Heritage Journeys of the European Union—i.e. Royal Europe, Romantic Europe, Ancient Europe and Underground Europe;
- development of marketing and management plans for each of these routes;
- execution of eight capacity building workshops;
- developing an interactive digital platform with National Geographic; as well as
- development of initial communication and promotion strategies and actions.

A second agreement with UNESCO, signed on 12 December 2017 for a duration of 18 months, will cover the further development of the four trans-European World Heritage routes designed thanks to the first phase of the preparatory action (budget 2015), including:

- monitoring and advising on the implementation of these routes' strategic plans;
- promotional campaign targeting in particular China in the context of the 2018 EU-China Tourism Year;
- product development through synergies with creative and cultural industries (e.g. common narrative presented through augmented reality tools);
- synergies with the 2018 European Year of Cultural Heritage.

Intentions for the current year 2018:

The project financed under 2015 appropriations starting on 01 February 2016 ended in January 2018 and the final report should be submitted in March 2018. A final assessment of the first phase will be performed.

Monitoring of the second phase started on 01 January 2018. The first interim report related to the second phase of the preparatory action should be submitted in January 2019.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2015 commitment appropriations

Step	Date
Financing decision	26 June 2015
Launching of invitation	09 September 2015
Deadline for submission	09 October 2015
Award decision	30 November 2015
Pre-financing payment	21 December 2015
Interim payment	26 April 2017
Final payment	April 2018

- 2017 commitment appropriations

Step	Date
Financing decision	05 May 2017
Launching of invitation	06 September 2017
Deadline for submission	06 October 2017
Award decision	30 November 2017
Pre-financing payment	14 December 2017
Interim payment	March 2019
Final payment	November 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Financing Decision C(2015)4275 of 26 June 2015 sets out the main elements for the implementation of the preparatory action.

Financing Decision C(2017)2868 of 05 May 2017 sets out the main elements for the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

Some activities promoting European tourism and tourism products are financed under the programme COSME (2014-2020).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
UNESCO heritage cultural routes	UNESCO	1 500 000

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
UNESCO heritage cultural routes	UNESCO	1 500 000

5.5 Method of implementation (tenders, grants, other) and evaluations

Grants without a call for proposals awarded to UNESCO in accordance with Article 128 of the Financial Regulations and Article 190(1)(f) of its Rules of Application. World Heritage Centre (UNESCO) is responsible for monitoring and implementing various conventions on tangible and intangible heritage and no other organisation can achieve its level of expertise on the UNESCO heritage.

5.6 Types of beneficiaries

UNESCO sites/destinations and related tourism operators.

1. Heading

02 02 77 29: Preparatory Action — European Capital of Tourism

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 435 677,00	0,00	p.m.	1 000 000	p.m.	974 000	0,0 %	-2,6 %
Reserve							0,0 %	0,0 %
Total	2 435 677,00	0,00	p.m.	1 000 000	p.m.	974 000	0,0 %	-2,6 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The general aim of the preparatory action "European Capital of Tourism" is to:

- promote the rich tourism offer of European countries, increase citizens' sentiment of sharing local tourism-related values;
- strengthen tourism-generated development in cities, their surroundings and their regions;
- increase the attractiveness and enhance the image of cities and their surrounding regions that get the title and strengthen their relations and cooperation and, therefore, economic growth and job creation.

This action is aiming at giving recognition through a European prize to EU cities which make efforts to enhance an inclusive visitor experience respective of accessibility and sustainability concerns and exploiting digital tools and creative industries to the benefit of tourists. Through this initiative, the Commission aims also to establish a framework of exchange of best practices between destinations participating in the contest, including mutual learning and networking, creating opportunities for cooperation and new partnerships.

The operational objective of the preparatory action is to select two European Capitals of Tourism and award four European Tourism Awards in each selection cycle.

In line with the general objectives, it is foreseen that the initiative and awarded destinations get EU-wide visibility and benefit of targeted promotional and dissemination activities.

In order to implement this initiative, an open call for tenders was launched on 04 August 2017. The contract was signed on 14 December 2017.

Intentions for the current year 2018:

The first cycle of activities should be deployed between the first quarter of 2018 (start of activities) and the fourth quarter of 2018 (award ceremony), as briefly outlined here beneath:

- preparation of the EU-wide selection process including the launching of the website (www.SmartTourismCapital.eu);
- launch of the call for applications;
- dissemination of information about the initiative to potential applicants;
- receipt of applications;
- evaluation of applications by external panel of experts followed by the decision by the European Jury;
- preparation and production of awareness-raising and promotional materials;
- organisation of ceremony to award two European Capitals of Smart Tourism 2019 and four European Smart Tourism Awards;
- implementation of promotional activities for awarded cities/destinations to raise their visibility;
- start of preparations for the second cycle.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	05 May 2017
Publication of the call for tender	04 August 2017
Submission date	27 September 2017
Award decision	27 November 2017
Signature of the contract	14 December 2017
Pre-financing payment	29 January 2018
Interim payment	July 2019
Final payment	June 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017)2868 of 05 May 2017 sets out the main elements for the implementation of the preparatory action.

The call for tenders is available at the following address: https://ec.europa.eu/growth/content/european-capital-smart-tourism_de.

- Do similar activities exist, which are already covered by a legal basis?

Some activities promoting European tourism and tourism products are financed by the programme COSME (2014-2020).

Other cities' awards schemes (but not related to the tourism policy) are implemented by other DGs in other areas e.g European Capital of Culture, European Green Capital, European Capital of Innovation etc. Some of these schemes are covered by a legal basis.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
European Capitals of Smart Tourism	Scholz & Friends Berlin	2 435 677

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action is implemented through an open call for tenders.

5.6 *Types of beneficiaries*

Direct beneficiaries of the call for tenders: economic operators.

Indirect beneficiaries of the action: destinations, cities, regional/local authorities, destination management organisations, tourism related stakeholders, citizens (potential tourists).

1. Heading

02 02 77 32: Preparatory Action — World Bridge Tourism

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 800 000	900 000	p.m.	540 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		1 800 000	900 000	p.m.	540 000	-100,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The main objectives of this action are:

- to increase the flow of Chinese travellers in the EU, in particular Chinese Free Individual Travellers (FITs), with high revenues, interested in lesser known destinations and travelling outside high season;
- to create investment opportunities for EU companies in China where domestic tourism is booming.

Building on the experience of the 2015 "World Bridge" pilot project dedicated to the preparation of the EU-China Tourism Year, the main actions will consist of:

- training activities (mainly online) for the EU tourism industry operators to adapt their products and promotion activities to attract increased visitation by Chinese travellers, in particular FITs;
- business-to-Business Matchmaking events facilitating partnerships between European tourism suppliers and Chinese buyers, on the occasion of (virtual) travel fairs.

In 2018, it is intended to publish an open call for tenders to select an economic operator to implement the above-mentioned actions.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	15 February 2018
Publication of call for tenders	June 2018
Closing date of call for tenders	June 2018
Award decision	October 2018
Pre-financing payment	December 2018
Interim payment	October 2019
Final payment	June 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2018)848 of 15 February 2018 sets out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

Some activities promoting migrants entrepreneurship are financed under the programme COSME (2014-2020).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

Award decision will be adopted in October 2018.

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action is implemented through calls for tenders.

5.6 Types of beneficiaries

European operators, i.e. mainly businesses and SMEs, but also to other entities developing or promoting tourism services and destinations, irrespective of their legal structure (private, public, mixed), in order to take into account the specificities of the tourism sector (cf. role and variable status of Destination Marketing Organizations).

1. Heading

02 02 77 35: Preparatory Action — Speeding up EU Industrial Modernisation by improving support for pan-European demonstration facilities – the 3D Printing case

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		800 000	400 000	p.m.	400 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		800 000	400 000	p.m.	400 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

- the action will aim at establishing a platform connecting a number of existing 3D printing demonstration facilities and fab labs in the EU. This will provide in a complementary way high quality services to innovation SMEs willing to test and apply 3D printing solutions in their products (or production lines) in different application fields;
- the action will help via the platform test and validate a large number of industrial demonstration 3D printing-based projects in different application fields;
- the action will support promotional activities to attract innovative SMEs from different EU countries to use the services provided. This will also include the identification of associated barriers, necessary skills, investment needs, and help defining better business models.

The intentions for the current year 2018 are to publish an open call for proposals to select a consortium to implement the following tasks:

- establishment of a platform connecting different 3D printing-related centres (demonstration facilities and related fab labs) in the EU Member States to provide holistic high quality services to innovative SMEs willing to introduce new products in the market using 3D printing solutions.

- testing and validating industrial demonstration projects through the platform.
- drawing policy lessons and policy recommendations for future actions. This is expected to help in exploring whether and how larger scale 3D printing platforms could be supported in the future to contribute to the modernisation of different European industrial sectors.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	15 February 2018
Publication of call for proposals	June 2018
Closing date of call for proposals	June 2018
Award decision	September 2018
Pre-financing payment	November 2018
Interim payment	October 2019
Final payment	September 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2018)848 of 15 February 2018 sets out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

Award decision will be made in September 2018.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action is implemented through calls for proposals.

5.6 *Types of beneficiaries*

European companies, particularly SMEs, and facility centre providing 3D printing services in EU countries.

1. Heading

02 04 77 03: Preparatory Action on Defence research

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	* E	** P	CA	PA	CA	PA	CA	PA
Line	25 585 000,00	10 014 000,00	40 000 000	28 000 000	25 000 000	29 000 000	-37,5 %	3,6 %
Reserve							0,0 %	0,0 %
Total	25 585 000,00	10 014 000,00	40 000 000	28 000 000	25 000 000	29 000 000	-37,5 %	3,6 %

* of which 585 000 euro correspond to EFTA contribution

** of which 234 000 correspond to EFTA contribution

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	YES	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first years under the budget 2017 and 2018. For the year 2019, the Commission is proposing to continue the preparatory action for a third year.

The main objective of the preparatory action on defence research is to prepare and test mechanisms that can prepare, organise and deliver a variety of EU-funded cooperative defence research and technology (R&T) activities to improve the competitiveness and innovation of the European defence industry and to stimulate cooperation amongst R&T actors in all Member States. This objective will be tested by setting up a research programme, small in scale and scope, with calls for proposals in each of the three years of the preparatory action.

Objectives followed during 2017 and previous years' implementation:

The Preparatory Action on Defence Research (PADR) was launched with the adoption of the Commission Financing Decision C(2017)2262 on 11 April 2017. Implementation (launching three calls for proposals under indirect management by the European Defence Agency (EDA)) started in June 2017. Following reception and evaluation, five proposals were retained for funding. The first proposal was signed in December 2017, fulfilling the political objective of the Commission. The other projects, including a substantial demonstrator project, will be launched in the first quarter of 2018.

In addition, three small background reports were ordered to provide input for the design of the future research and development programme in the defence sector, by identifying and evaluating the different options available to ensure its successful implementation.

Intentions for the current year 2018:

On 9 March 2018 Commission Decision C(2018)1383 was adopted, which triggered the launching of the three 2018 calls by EDA under indirect management on 15 March with a closing date on 29 June 2018. Three projects in the area of critical defence technologies are expected to be launched still in 2018.

In addition, direct contracts or specific contracts (FWC) (up to ten) are expected to be awarded in the first semester of 2018 for dissemination activities or the hiring of short-term external expertise.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	11 April 2017
Direct management (studies)	
Publication of call for tenders	Third quarter 2017/first quarter 2018
Closing date of call for tenders	Fourth quarter 2017/first quarter 2018
Award decision	Fourth quarter 2017/first quarter 2018
Pre-financing payment	First quarter 2018
Interim payment	Second/third quarter 2018
Final payment	Third/fourth quarter 2018
Indirect management (projects)	
Publication of calls for proposals	07 June 2017
Closing date of calls for proposals	21 September/05 October 2017
Award decision	December 2017/first quarter 2018
Pre-financing payment	December 2017/first quarter 2018
Interim payment	2018/2019
Final payment	2019/2020

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	9 March 2018
Direct management (dissemination and expertise)	
Publication of call for tenders	Second quarter 2018
Closing date of call for tenders	Third quarter 2018
Award decision	Third quarter 2018
Pre-financing payment	Third quarter 2018
Interim payment	Third quarter 2018 (<i>if any</i>)
Final payment	Fourth quarter 2018
Indirect management (projects)	
Publication of calls for proposals	15 March 2018
Closing date of calls for proposals	29 June 2018
Award decision	September 2018
Pre-financing payment	December 2018

Interim payment	2019/2020
Final payment	2020/2021

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017) 2262 adopted on 11 April 2017.

Commission Communication COM(2017) 295 "Launching the European Defence Fund", 07 June 2017.

Commission Financing Decision C(2018) 1383 adopted on 09 March 2018.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Yes.

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Direct management:		
Impact Assessment Study on EU funding for collaborative defence research and development programmes	Ecorys	79 975
Magnitude of costs and cost structure of defence research and development programmes and optimal EU intervention to incentivize cooperation	Institut de relations internationales et stratégiques (Iris)	14 550
Defence SMEs and defence supply chains	Europe Economics	49 000
Indirect management (amounts subject to the preparation of individual grant agreements):		
a) Grants		
Open Cooperation for European maritime awareness (OCEAN2020) – core project	Leonardo sprl (<i>coordinator</i>)	15 500 000
Generic Open Soldier System Reference Architecture (GOSSRA)	Rheinmetall (<i>coordinator</i>)	1 581 475
ULTRALIGHT MODULAR BULLET PROOF INTEGRAL SOLUTION FOR DISMOUNTED SOLDIER PROTECTION (VESTLIFE)	ASOCIACION DE INVESTIGACION DE LA INDUSTRIA TEXTIL (<i>coordinator</i>)	2 875 000
Adaptive Camouflage for the Soldier II (ACAMS II)	TOTALFORSVARETS FORSKNINGSINSTITUT (<i>coordinator</i>)	2 850 000
Predictive methodology for technology	Engineering – Ingegneria Informatica Spa	950 000

intelligence analysis (PYTHIA)	<i>(coordinator)</i>	
b) Expert contracts for assistance in evaluations	Continuous call for applications, to be published June 2017	100 000
c) Remuneration in the frame of the delegation agreement	European Defence Agency	1 000 000

– 2018 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Direct management:		
Dissemination and hire of short-term external expertise	10 direct contracts or specific contracts under framework contracts are expected to be awarded in the 1st semester 2018	100 000
Indirect management (amounts subject to the preparation of individual grant agreements):		
a) Grants		
European high-performance, trustable (re)configurable system-on-a-chip or system-in-package for defence applications	Open call for proposals, published March 2018	12 000 000
Towards a European high power laser effector	Open call for proposals, published March 2018	5 400 000
The European Defence Research Runway – part II	Open call for proposals, published March 2018	1 900 000
Open Cooperation for European maritime awareNess (OCEAN2020) – additional modules	Leonardo sprl <i>(coordinator)</i>	20 000 000
b) Expert contracts for assistance in evaluations	Continuous call for applications, published June 2017	100 000
c) Remuneration in the frame of the delegation agreement	European Defence Agency	2 400 000

5.5 Method of implementation (tenders, grants, other) and evaluations

Grants, tenders. reimbursements.

5.6 Types of beneficiaries

Individual experts, professional consultancies, industry (including SMEs), academia, research and technology organisations.

1. Heading

04 03 77 18: Preparatory Action — Social solidarity for social integration

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	749 943,75	p.m.	750 000	p.m.	300 000	0,0 %	-60,0 %
Reserve							0,0 %	0,0 %
Total	0,00	749 943,75	p.m.	750 000	p.m.	300 000	0,0 %	-60,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2015 budget. It follows a pilot project implemented in 2011-2012 (budget line 04 03 77 08).

Objectives followed during 2017 and previous years' implementation:

Building on the achievements of the European Minimum Income Network (EMIN) pilot project, the preparatory action aims to further support the implementation of the 2008 Commission Recommendation on the active inclusion of people excluded from the labour market, with a special focus on the provisions concerning adequate income support by raising awareness of national policy makers, social partners, stakeholders and NGOs of the need for adequate and activating minimum income schemes.

The preparatory action aims at organising awareness raising initiatives such as conferences, media coverage, policy debates, mutual learning and exchanges of best practices at European level and at national level.

At European level, the initiatives should aim at providing support and coordination for the national platforms as well as building a European dialogue with the EU institutions and bodies, EU level NGOs, social partners and other relevant stakeholders.

At national level, the initiatives should be carried out through further reinforcement of the existing national platforms developed under the EMIN project in all European Member States. The focus of the awareness raising initiatives should be on the improvement of the adequacy, coverage and take-up of minimum income schemes, and on raising awareness at national level of the common methodology on reference budgets. Priority of action should be given to Member States where the adequacy and coverage of minimum income schemes is below the EU average as well as Member States that have received Country Specific Recommendations (CSRs) related to the improvement of adequacy and coverage of minimum income

schemes. Increased support should also be given to national platforms in Member States where there is limited stakeholder involvement in the policy debates on minimum income schemes. These Member States shall at least be the following: BG, CZ, EL, EE, ES, HU, IE, IT, LT, LV, PL, PT, RO, SK, SI.

The objectives of the contract should be achieved through:

- strengthening the capacity of the national minimum income networks made of national authorities, NGOs, social partners and other relevant stakeholders including the direct participation of people experiencing poverty, based on participatory approaches in the 28 EU Member States to develop an implementation strategy of the recommendations that have emerged from the 2012-2014 pilot project;
- strengthening the links with the EU2020 process by following up on the implementation of the relevant CSRs;
- developing the awareness raising on minimum income schemes focusing on coverage, adequacy and take-up;
- engaging a European-level dialogue on minimum income schemes involving European stakeholders, as well as representatives of the European institutions and the committees through regular meetings and exchanges of views;
- promoting the common methodology on reference budgets at local, national and EU-level to ensure adequacy of minimum income support;
- promoting horizontal exchanges between the national platforms to improve mutual learning and exchange of best practices.

The call for tender was evaluated in 2016 and the contract was awarded on 22 December 2016.

Implementation started as of January 2017 and the requested inception report was submitted on 20 March. The evaluation of the inception report and the first payment were made in April 2017.

Interim payment with the implementation reports was submitted in November 2017 and the second interim payment was validated in December 2017.

Activities were carried out according to the original schedule.

Intentions for the current year 2018:

Regular management meetings will be held as planned. Communication campaign will be launched on 24 April, lasting until 28 June in a form of a Bus Tour throughout of the EU. Three thematic review seminars will be held throughout the year, exploring topics of take up of minimum incomes, active inclusion and reference budget. One final event is planned around November 2018. The third interim payment is expected in June. According to the scheduled activities, implementation will last until 21 December 2018.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2015 commitment appropriations

Step	Date
Adoption of financing decision	13 October 2015
Publication of call for proposals / call for tender	10 February 2016
Closing date of call for proposals / call for	22 March 2016

tender	
Award decision	22 December 2016
Pre-financing payment(s)	N/A
Interim payment(s)	First interim payment - April 2017 Second interim payment - December 2017 Third interim payment - June 2018
Final payment(s)	February 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The call for tender VT/2015/067 is available at the following address:
<http://ec.europa.eu/social/main.jsp?catId=624&langId=en&callId=472&furtherCalls=yes>

The procurement documents are available at the following address:

<https://etendering.ted.europa.eu/cft/cft-display.html?cftId=1289>

The implementation of the project can be followed at the website of the project, available at the following address: <https://emin-eu.net/>.

Published country reports are available at the following address: <https://emin-eu.net/publication-on-this/emin-publications/>.

Blog page for the bus tour is available at the following address: www.eminbus.eu.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Preparatory action: "Social solidarity for social integration" (VC/2016/0643)	EAPN-European Anti-Poverty Network	1 499 887,50

5.5 Method of implementation (tenders, grants, other) and evaluations

Open call for tender

5.6 Types of beneficiaries

The call for tender was open to NGOs, private provider, consortium of stakeholders, etc.

The beneficiary is an international NGO (network composed by national NGOs).

1. Heading

04 03 77 23: Preparatory Action — Reactivate — Intra-Union mobility programme for unemployed over-35s

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 658 658,98	1 266 073,37	5 000 000	4 000 000	p.m.	2 500 000	-100,0 %	-37,5 %
Reserve							0,0 %	0,0 %
Total	1 658 658,98	1 266 073,37	5 000 000	4 000 000	p.m.	2 500 000	-100,0 %	-37,5 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016, 2017 and 2018 budgets.
Objectives followed during 2017 and previous years' implementation:

The objective of the action is to test the feasibility of an intra-EU job mobility scheme for the age group above 35 years, with a particular focus on unemployed citizens, including the long-term unemployed. Similar to the targeted mobility scheme "Your first EURES job" (YFEJ), Reactivate intends to help adults to find employment or traineeship opportunities in other EU countries and foster their full integration into the labour market. Being strongly result-oriented, the action aims to improve the conditions for jobseekers and workers to exercise their right of freedom of movement across the EU and to address labour markets' imbalances and skills shortages.

Intentions for the current year 2018:

This call aims to ensure the continuity of the preparatory action for the third year. The objective of the action is to test the feasibility of an intra-EU job mobility scheme for the age group above 35 years, with a particular focus on unemployed citizens, including long-term unemployed. Similar to the targeted mobility scheme "Your first EURES job" (YFEJ) helping young jobseekers under 35, Reactivate intends to help adults to find employment or traineeship opportunities in other EU countries and foster their full integration into the labour market.

Being strongly result-oriented, the action aims to improve the conditions for jobseekers and workers to exercise their right of freedom of movement across the EU and to address labour markets' imbalances and

skills shortages. The overall objective of the 2018 call is to grant two to six projects to ensure around 1 200 placements with an indicative implementation period of 24 months.

Building on the YFEJ features and objectives (help young jobseekers under 35), Reactivate aims also to be an innovative extension of that scheme. It will be featured as an EU labour market activation measure, combining tailor-made recruitment, matching, training and placement services with financial incentives. The support measures may vary according to labour market needs but should at least include provisions for interviews in another Member State, relocation, linguistic training as well as work and country integration support.

The end beneficiaries will be jobseekers and job changers and trainees aged 35+ as well as recruiting enterprises, including SMEs. Both individuals and enterprises may receive direct financial support in the form of targeted allowances, including allowances for spouse and dependent children as well as financial contribution needed for upskilling and certification of qualifications needed by future employer. Placements may, inter alia, take place in the context of regional cross-border initiatives and/or projects dedicated to particular sector(s).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	27 April 2016
Publication of call for proposals	27 April 2016
Closing date of call for proposals	01 July 2016
Signature of grant agreements	October 2016
Pre-financing payment(s)	November 2016
Interim payment(s)	Second quarter 2017
Final payment(s)	Third quarter 2018

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	22 March 2017
Publication of call for proposals	31 March 2017
Closing date of call for proposals	23 June 2017
Award decision	September 2017
Pre-financing payment(s)	Fourth quarter 2017
Interim payment(s)	Third quarter 2018
Final payment(s)	Third quarter 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	28 March 2018
Publication of call for proposals	Second quarter 2018
Closing date of call for proposals	July/August 2018
Award decision	Fourth quarter 2018
Pre-financing payment(s)	Fourth quarter 2018
Interim payment(s)	Fourth quarter 2019
Final payment(s)	Fourth quarter 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)2449 of 27 April 2016 sets out the main elements for the implementation of the preparatory action.

The call for proposals VP/2016/011 is available at the following address: <http://ec.europa.eu/social/main.jsp?catId=629&langId=en&callId=485&furtherCalls=yes>.

Commission Financing Decision C(2017)1801 of 22 March 2017 sets out the main elements for the implementation of the preparatory action.

The current call for proposals VP/2017/012 is available at the following address: <http://ec.europa.eu/social/main.jsp?catId=629&langId=en&callId=514&furtherCalls=yes>.

Commission Financing Decision C(2018)1852 of 28 March 2018 sets out the main elements for the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

Yes, the targeted mobility scheme "Your first EURES job" in the framework of EURES, covered by the EaSI-Programme (Regulation (EU) No 1296/2013).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Reactivate and Relocate (VS/2016/0250)	Arbetsförmedlingen (SE)	1 101 794,18
Reactivate (VS/2016/0252)	Città metropolitana di Roma Capitale (IT)	750 000
REACTIVATE - A tool to work abroad - Boost your mobility (VS/2016/0360)	Pôle emploi (FR)	1 144 450

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Reactivate (VS/2017/0342)	Wyższa Szkoła Ekonomii i Innowacji w Lublinie (PL)	485 175
Reactivate (VS/2017/0336)	Città metropolitana di Roma Capitale (IT)	1 173 483,98

For 2018 appropriations, as the procedure is not yet launched, these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

In 2016, 2017 and 2018 the preparatory action is/will be implemented through calls for proposals.

5.6 Types of beneficiaries

Lead applicants: public or private employment service or an organisation specialised in work-based placements whose core activity is the provision of information, recruitment, matching, placement and pre- and post-placement support to jobseekers, job changers, trainees, apprentices and employers.

Co-applicants: public or private organisation, including social partners, providing the same services as lead applicants and/or complementary customer-oriented services in other support fields such as information, training, education, career guidance, mentoring, legal advice, integration support or other equivalent.

1. Heading

04 03 77 25: Preparatory Action — Child Guarantee Scheme / Establishing A European child guarantee and financial support

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	0,00	900 000	450 000	p.m.	1 450 000	-100,0 %	222,2 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	0,00	900 000	450 000	p.m.	1 450 000	-100,0 %	222,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

The initial 2017 project was devoted to mapping the actual situation as regards the effective respect of children's rights and to analysing the feasibility, conditions and added value of implementing such a guarantee scheme for four specific groups of vulnerable children known to be particularly at risk.

The Commission launched the Financing Decision for the first year of implementation of the preparatory action during the summer 2017 and prepared the technical specifications for a call for tenders during the last quarter of 2017.

Intentions for the current year 2018:

The 2018 extension of the preparatory action on establishing an implementing framework for a Child Guarantee Scheme will be used to build on the results of the implementation of the initial preparatory action on a Child Guarantee (voted by the European Parliament in the 2017 budget).

The extension will investigate and propose in which ways the findings of the initial project can be best extrapolated to larger groups of children (if not all) in the European Union.

The call for tenders referred to above was published on 21 March for an amount of EUR 1 200 000. The signature of the contract with a duration of 18 months is foreseen for the summer of 2018. For the logistic

part of the preparatory action, specific contracts under existing framework contracts will be used for an amount of EUR 800 000.

The main difficulty is that the extension of the preparatory action has to build upon the results and findings of the mapping, thematic workshops, study and conference to be carried out in the frame of the initial preparatory action. As those results are still unknown so is the concrete way in which they could be used to build up the next steps. The extension study should:

- investigate and define the potential specific components of a child guarantee scheme targeting the overall population of children in the EU in the areas of health care, education, childcare, housing and nutrition;
- determine their overall feasibility and cost;
- define the way in which Member States would need to prepare in order to make sure that all children do have access to all the various components of the child guarantee.

Critical to the design and implementation of such a guarantee scheme, and strongly suggested by the Investing in Children Recommendation, is the idea of an integrated rights-based approach.

The development of the study will be mainly based on: (i) the knowledge gathered through the initial preparatory action, (ii) independent research work, (iii) stakeholders' expertise collected through a specific consultation, (iv) input from the European Network of Ombudspersons for Children (ENOC) who are generally in charge of guarding children's right, and (v) a discussion of the preliminary outcomes of the study with the Social Protection Committee of the Council.

But in order to maximise the potential impact of this extension, the action should not kick-off before the results of the initial preparatory action are available.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	16 August 2017
Publication of call for tenders	21 March 2018
Closing date of call for proposals / call for tender	30 April 2018
Award decision	June 2018
Pre-financing payment(s)	N/A
Interim payment(s)	First interim payment : August/September 2018 Second interim payment : June/July 2019
Final payment(s)	January 2020
For the logistic part, specific contracts under existing framework contracts will be signed throughout the year (3rd and 4th quarters 2018)	

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	28 March 2018
The action should not kick-off before the results of the initial preparatory action are available.	

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017)5615 of 16 August 2017 sets out the main elements for the implementation of the preparatory action.

The call for tenders VT/2017/057 is available at the following address:

<http://ec.europa.eu/social/main.jsp?catId=624&langId=en&callId=531&furtherCalls=yes>.

Commission Financing Decision C(2018)1852 of 28 March 2018 sets out the main elements for the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

No, but more generally the rights of children are covered by the UN Convention on the Rights of the Child, which was ratified by all MS except for the EU.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Study on the feasibility of a child guarantee for vulnerable children	Not yet known	1 200 000
Specific contracts under existing framework contracts	DESTREE and TRAVEX	800 000

For 2018 appropriations, as the procedure is not yet launched, these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

One open call for tenders plus use of existing framework contracts with DESTREE and TRAVEX for the logistic part.

5.6 Types of beneficiaries

Beneficiaries are EU level NGOs, academics, for profit consultants and logistic service providers.

1. Heading

06 02 77 14: Preparatory Action — Towards a single and innovative European transport system

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	557 326,89	p.m.	1 100 000	p.m.	374 219	0,0 %	-66,0 %
Reserve							0,0 %	0,0 %
Total	0,00	557 326,89	p.m.	1 100 000	p.m.	374 219	0,0 %	-66,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under 2015 and 2016 budgets. Originally the preparatory action was under budget line 08 02 77 04. Now it is under budget line 06 01 77 14 due to the correct nomenclature for Mobility and Transport policy.

Objectives followed during 2017 and previous years' implementation:

The aim of the preparatory action adopted in 2015 was to identify the barriers that impede the development of a truly optimised single European transport system and to propose a set of actions which, collectively, can help to accelerate progress.

Two studies on barriers and related action plans as well as assessments and comparisons with third countries were carried out in 2016 and finalised in March 2017. Stakeholders' workshops have been organised along 2017 through a Commission framework contract.

The continuation of the preparatory action demonstrated the link between EU research and innovation (R&I) funded projects' results and the actual deployment of innovative solutions in support of the creation of a single and innovative transport system, with a focus on multimodality.

Intentions for the current year 2018:

2017 was focused on finalising the tender procedure and signing the contract, while the intermediate payment is planned now in the fourth quarter of 2018.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2015 commitment appropriations

Step	Date
Adoption of financing decision	19 May 2015
Publication of call for tender	28 August 2015
Closing date of call for tender	20 October 2015
Award decision / contract signature	February 2016
Interim payment(s)	October 2016
Final payment(s)	June 2017
Pre-financing for events of the preparatory action	January 2017
Final payment for events of the preparatory action	November 2017

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	14 July 2016
Publication of call for tender	25 November 2016
Closing date of call for tender	2 February 2017
Award decision / contract signature	September 2017
Interim payment(s)	Fourth quarter 2018
Final payment(s)	Fourth quarter 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2015)3253 of 19 May /2015 sets out the main elements for the implementation of the preparatory action. The technical specifications are detailed in the Tender Specifications published in August 2015 (Contract notice in OJEU 2015/S 166-302371 of 28 August 2015) available at the following address:

<https://ec.europa.eu/transport/sites/transport/files/facts-fundings/tenders/doc/specifications/2015/s166-302371-invitation.pdf>

The Commission Financing Decision C(2016)4343 of 14 July 2016 adopts the continuation of the action.

The technical specifications are provided in the tender specifications published in November 2016 (Contract notice in OJEU 2016/S 228-414647 of 25 November 2016). The call for tenders is available at the following address: <https://etendering.ted.europa.eu/cft/cft-display.html?cftId=2052>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Study: Towards a single and innovative European Transport system: Lot 1	The Joint Institute for Innovation Policy in partnership with Technology Partners Foundation and Rupprecht Consult-Forschung und Beratung GmbH c/o Robbert Fisher, Managing Director	449 650
Study: Towards a single and innovative European transport system: Lot 2-International Assessment and Action Plans of the Focus Areas	VDI/VDE Innovation + Technik GmbH c/o Dr Gereon Meyer	243 300

The preparatory action budget has been split as follows: EUR 692 950 for the financing (through an open call for tender) of the two studies highlighted above, and EUR 240 873 through a Commission Framework contract to organise several stakeholder workshops.

- 2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Towards a single and innovative European transport system: Implementation of multimodal innovative solutions ("IMIS")	HaCon Ingenieurgesellschaft mbH Lister Strasse 15 30163 Hannover Germany In consortium with: Kombi Consult, PwC EU services EESV, and Stichting CeTIM	1 249 700

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Open tenders and framework contract.

5.6 *Types of beneficiaries*

The contractors of the two studies are respectively a consortium of three research organisations and consultancies, and a private company. A service private company organises the stakeholders' events within an existing framework contract.

The contractor for the continuation of the preparatory action is a consortium of four research and consultancy organisations.

1. Heading

09 04 77 05: Preparatory Action — Open knowledge technologies: mapping and validating knowledge

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	638 592,00	1 000 000	1 250 000	p.m.	960 615	-100,0 %	-23,2 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	638 592,00	1 000 000	1 250 000	p.m.	960 615	-100,0 %	-23,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016, 2017 and 2018 budgets. This preparatory action follows a pilot project (09 04 77 01) voted under the 2014 and 2015 budgets.

Objectives followed during 2017 and previous years' implementation:

ECOCT 2016 – COMPLEAP

A call for proposals was published in 2016. As no proposal was selected as a result of that call, a call was republished in 2017. The overall objective of the 2016 action is to develop and demonstrate a European-wide learning and assessment technology system and networks to facilitate the up- and re-skilling of citizens at risk of exclusion, in particular migrants, whilst empowering citizens to take more responsibilities for their own learning. The solution should deliver employability skills for the particular target group, by matching the interests and the current knowledge of these with the most demanded labour market skills and suggest possible learning roadmaps. Work started in December 2017

Intentions for the current year 2018:

ECOCT 2016 – COMPLEAP

Implement the first year of the preparatory action.

ECOCT 2017 SKILLS MATCH

The overall objective of the preparatory action for which budget was voted in 2017 is to develop and demonstrate a European-wide learning and assessment technology system, integrating and improving non-cognitive skills development by testing and piloting them for effectiveness in various learning and training contexts.

It should include the following modules:

- competence mapping of non-cognitive skills;
- tailored learning roadmaps for enhancing the learners' non-cognitive skills via educational services and/or solutions (including specific open educational resources);
- mechanisms for measurement/assessment/providing with credentials (e.g. by certificate and/or badge) and recognition of the new learning and skills.

The action is expected to develop a European-wide learning technology system to support, enhance and facilitate the learning of non-cognitive skills of European citizens at risk of exclusion, in particular unemployed youth.

The preparatory action is expected to start in June 2018.

With the budget available for the year 2018, and building on previous pilot projects and pilot actions in this field, the preparatory action will develop and deploy an online platform integrating services incorporating the following modules and services:

- digital skills/competence mapping using the DIGCOMP framework;
- tailored learning roadmaps; and
- mechanisms for measurement/assessment/providing with credentials (e.g. by certificate and/or badge) and recognition of learning outcomes.

These services will be accompanied by a comprehensive outreach process to engage actors at European and national level active in training and education.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	14 September 2016
Publication of call for proposals / call for tender	28 September 2016, republished on 15 February 2017
Closing date of call for proposals / call for tender	14 November 2016, new closing date 31 March 2017
Award decision	October 2017
Pre-financing payment(s)	December 2017
Interim payment(s)	February 2019
Final payment(s)	February 2020

– 2017 commitment appropriations

Step	Date
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Adoption of financing decision	28 April 2017
Publication of call for proposals / call for tender	27 June 2017
Closing date of call for proposals / call for tender	05 September 2017
Award decision	February 2018
Pre-financing payment(s)	June 2018
Interim payment(s)	September 2019
Final payment(s)	September 2020

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for tender	Second quarter 2018
Closing date of call for tender	Third quarter 2018
Award decision	Fourth quarter 2018
Pre-financing payment(s)	N/A
Interim payment(s)	Fourth quarter 2018
Final payment(s)	Fourth quarter 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016) 5730 of 14 September 2016.

The call for proposals published on 28 September 2016 at the following address:
<https://ec.europa.eu/digital-single-market/en/news/call-proposals-preparatory-action-open-knowledge-technologies-mapping-and-validating-knowledge>

The republication of the call for proposals on 15 February 2017 is available at the following address:
<https://ec.europa.eu/digital-single-market/en/news/call-proposals-open-knowledge-technologies-mapping-and-validating-knowledge>

Commission Financing Decision C(2017) 2755 of 28 April 2017.

The call for proposals was published on 27 June 2017 at the following address:

<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/pppa/calls/paokt-2017-ag.html#c,topics=callIdentifier/t/PAOKT-2017-AG/1/1/1/default-group&callStatus/t/Forthcoming/1/1/0/default-group&callStatus/t/Open/1/1/0/default-group&callStatus/t/Closed/1/1/0/default-group&+identifier/desc>

The Commission Financing Decision, expected to be adopted in May 2018, will set out the main elements for the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
ECOKT 2016 - preparatory action: Open Knowledge Technologies: Mapping and Validating Knowledge	CSC-TIETEEN TIETOTEKNIKAN OY	1 277 185,00

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
ECOKT 2017 - preparatory action: Open Knowledge Technologies: Mapping and Validating Knowledge	Stockholms Universitet	998 268,00

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory actions foreseen under the 2016 and 2017 appropriations are implemented by way of grants awarded further to calls for proposals. It is foreseen that the maximum possible rate of co-financing of 70% (2016 appropriation) and 80% (2017 appropriations) of the eligible costs of the project. The duration of projects supported shall not exceed two years.

The action under the 2018 budget will be implemented by procurement following an open call for tenders.

5.6 Types of beneficiaries

The preparatory actions are open to legal entities established in EU Member States.

1. Heading

09 04 77 08: Preparatory Action — REIsearch (Research excellence innovation framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policy makers

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	997 013,00	1 003 395,37	2 000 000	1 700 000	p.m.	600 000	-100,0 %	-64,7 %
Reserve							0,0 %	0,0 %
Total	997 013,00	1 003 395,37	2 000 000	1 700 000	p.m.	600 000	-100,0 %	-64,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016, 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

The preparatory action follows a pilot project (09 04 77 03) financed under the 2014 budget which ran until end April 2016.

The pilot project developed a provisional online web platform. By April 2016 when it ended, the pilot project had created a functioning prototype of the web platform. After the conclusion of the pilot project the first preparatory action has further developed the platform created under the pilot project. It has extended the functionality of the platform and has elaborated new topics to be discussed on this platform beyond the topic on chronic diseases (established in pilot project to test the initial version of the platform).

The platform was fully developed by April 2017, during the first preparatory action. The topic chosen for a major consultation exercise was Next Generation Internet. The consultation was launched in April 2017 and made direct use of the platform. A major event at European Parliament presented the platform and the conclusions of the consultation on next Generation Internet in June 2017.

The second preparatory action fine-tuned the platform to be able to host a variety of topics and launched consultations on different topics of interest to the European Commission and the European Parliament.

Intentions for the current year 2018:

The main goal of the third year of this preparatory action is to ensure sustainability of the platform beyond the funding cycle by increasing its user base, fine-tuning the functionalities of the platform, developing business models, and forging alliances with institutions that could use this platform.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	10 August 2016
Publication of call for proposals / call for tender	22 August 2016
Closing date of call for proposals / call for tender	02 September 2016
Award decision	09 December 2016
Pre-financing payment(s)	December 2016
Interim payment(s)	N/A
Final payment(s)	September 2017

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for proposals / call for tender	September 2017
Closing date of call for proposals / call for tender	October 2017
Award decision	11 December 2017
Pre-financing payment(s)	December 2017
Interim payment(s)	May 2018
Final payment(s)	November 2018

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for proposals / call for tender	Third quarter 2018
Closing date of call for proposals / call for tender	Third quarter 2018
Award decision	October 2018
Pre-financing payment(s)	October 2018
Interim payment(s)	September 2019
Final payment(s)	May 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016) 5176 of 10 August 2016 sets out the main elements of the preparatory action voted under budget 2016.

Commission Financing Decision C(2017) 2755 of 28 April 2017 sets out the main elements of the second preparatory action voted under budget 2017.

The platform's website is available at the following address: <http://reiresearch.eu/>.

The Commission Financing Decision, expected to be adopted in May 2018, will set out the main elements for the implementation of the third preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

No. A first preparatory action ran until 31 July 2017 and produced a fully developed platform REIRESEARCH which is being fine-tuned by a running preparatory action that will end in October 2018.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Research Excellence Innovation Framework	Atomium - European Institute for Science, Media and Democracy	750 000

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Research Excellence Innovation Framework	Atomium - European Institute for Science, Media and Democracy	1 000 000

– 2018 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Research Excellence Innovation Framework	Atomium - European Institute for Science, Media and Democracy	2 000 000

5.5 Method of implementation (tenders, grants, other) and evaluations

The 2016 preparatory action was implemented by way of a grant without call for proposals according to the conditions of article 190(1)(c) or (f) of the Rules of Application of the Financial Regulation.

The 2017 preparatory action was implemented by way of a grant without call for proposals according to the conditions of article 190(1)(c) or (f) of the Rules of Application of the Financial Regulation.

The 2018 preparatory action will be implemented by way of grant(s). Among the options for implementation, the Commission is envisaging the award of a grant without call for proposals, provided the conditions of article 190(1)(c) or (f) of the Rules of Application of the Financial Regulation are met.

5.6 Types of beneficiaries

A legal entity or a consortium with at least one partner with experience of bringing together scientists, politicians and the media, as well as the capacity to run the platform for bringing together the stakeholders, and with prior experience in setting up such a platform.

1. Heading

09 04 77 13: Preparatory Action — Digital hub network

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	0,00	p.m.	1 125 000	p.m.	900 000	0,0 %	-20,0 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	0,00	p.m.	1 125 000	p.m.	900 000	0,0 %	-20,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

- Award decision (foreseen for May-June 2018)
- Start of the action (foreseen for the fourth quarter 2018)

The objective of this preparatory action is to enhance the potential of digital hubs across Europe in making them work together, develop common projects and construct a digital community that can increase the European competitiveness in this sector. The aim of the action is therefore to connect hubs in order to develop an integration and cooperation plan designed to generate common projects and the creation of European digital hubs that can use the full potential existing across Europe.

The preparatory action will consist of:

- the assessment of their current status and their development and cooperation potential,
- the creation of an active and animated experts and stakeholders working group,
- the generation of a common integration plan based of concrete projects,

- the elaboration of policy solutions that can help reinforce the ties and the cooperation between the European hubs.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for tender	09 February 2018
Closing date of call for tender	05 April 2018
Award decision	May-June 2018
Pre-financing payment(s)	N/A
Interim payment(s)	Second quarter 2019
Final payment(s)	Second quarter 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017) 2755 adopted on 28 April 2017.

The call for tender published on 09 February 2018 (ref: OJ/S S28; 60074-2018-EN) sets out the main elements of this pilot action, and is available at the following address: <https://ec.europa.eu/digital-single-market/en/news/preparatory-action-digital-innovation-hubs-network>.

- Do similar activities exist, which are already covered by a legal basis?

No. However, this proposal builds on the Digital Innovation Hubs catalogue (SMART 2016/0002), available at the following address:

<https://ec.europa.eu/digital-single-market/en/news/ex-ante-publicity-call-tenders-digital-innovation-hubs-catalogue-smart-20160002>, and the corresponding portal which will be developed by the JRC.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No legislative follow-up is envisaged at this stage

5.4 *Type of activities financed, number and amounts*

As the evaluation of the tenders is not yet complete, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The action will be implemented through procurement, following a call for tenders. The duration of the contract is foreseen to be a maximum of 18 months.

5.6 *Types of beneficiaries*

One European organisation or a consortium of European organisations. Such organisations might be research and technology organisations; private companies (possibly consulting companies), universities and other relevant organisations and networks.

1. Heading

09 04 77 14: Preparatory Action — Digital transformation of European industry

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	0,00	p.m.	1 350 000	p.m.	600 000	0,0 %	-55,6 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	0,00	p.m.	1 350 000	p.m.	600 000	0,0 %	-55,6 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The call for proposals was launched in 2017.

The objectives of the preparatory action are to ensure a coherent, coordinated and sustainable approach, to ensure, develop and enhance better engagement of all relevant stakeholders interested in the digitalisation of European industry (business, academia, research organisations and civil society) and to inform, prepare and help them develop projects designed to face the new transformations.

Intentions for the current year 2018:

The call for proposals is being evaluated during the first half of 2018 and it is intended that the action will start 1 June 2018. The estimated duration of the resulting grant agreement is 18 months.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for proposals	01 December 2017

Closing date of call for proposals	31 January 2018
Award decision	May 2018
Pre-financing payment(s)	June 2018
Interim payment(s)	April/May 2019
Final payment(s)	January/February 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017) 2755 of 28 April 2017 sets out the main elements for the implementation of this preparatory action.

The call for proposals was published on the Digital Single Market website on 01 December 2017, available at the following address: <https://ec.europa.eu/digital-single-market/en/news/preparatory-action-digital-transformation-european-industry>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As the evaluation of the call for proposals is not yet completed, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action will be implemented by way of a grant following a call for proposals.

5.6 *Types of beneficiaries*

Legal persons applying either as single beneficiaries or as a consortium made up of several legal entities from EU Member States or associated countries.

1. Heading

09 04 77 18: Preparatory Action — Creating a European Digital Academy

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 700 000	850 000	p.m.	1 190 000	-100,0 %	40,0 %
Reserve						0,0 %	0,0 %
Total		1 700 000	850 000	p.m.	1 190 000	-100,0 %	40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018 and 2019:

The objective of the preparatory action is to address the skills-related challenges of the impact of digitisation and automation on work and the labour market in Europe, today and tomorrow. The preparatory action will develop and integrate solutions to re- and upskill Europeans (citizens, labour force, schools pupils and students) to make them fit for the digital transformation. To do so, a common platform integrating skills assessment, training and learning resources, and best practices will be complemented by a European network of relevant actors and existing initiatives that supports and facilitates exchanges and coordination between all stakeholders in this area. The solutions and training offered through the pilot will not interfere with national education systems; rather they will complement and support those.

The final design and scope of the action are to be determined. The chosen design will follow from an initial design step conducted internally, mapping the needs and existing solutions, also in view of best exploiting complementarity with existing actions in the Commission and at Member State level. A preliminary list of tasks for the preparatory action includes:

- identifying the known and emerging skills needs and changing professional profiles in an increasingly digital economy, and map existing public and private initiatives, and best practice solutions;
- set up a common online platform integrating skills assessment, training and learning resources and showcasing of best practices;

- initiate a network of regional and national professional training centres active in the area throughout Europe, in cooperation with ministries, industry, national digital skills coalitions, national and regional institutions and initiatives with responsibility for professional training and lifelong learning, and social partners.
- the project will also reach out to schools to excite, inspire, and motivate young people, in particular girls and young women, about the importance and impact of digital technology in daily life, in particular by promoting programming and related activities.

As access to the Academy will be open to all citizens, it could also provide a great opportunity to equip students with the necessary skills for the jobs of tomorrow.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for proposals	First quarter 2018
Closing date of call for proposals	Third quarter 2018
Award decision	Fourth quarter 2018
Pre-financing payment(s)	First quarter 2019
Interim payment(s)	Fourth quarter 2019
Final payment(s)	Second quarter 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision, expected to be adopted in May 2018, will set out the main elements for the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of the preparatory action, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Calls for proposals. Grants awarded following an internal evaluation process.

5.6 *Types of beneficiaries*

The preparatory action will be open to legal entities established in the EU.

1. Heading

15 02 77 16: Preparatory Action — Evaluation of higher-education entrepreneurship programmes

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	485 050,00	0,00	p.m.	400 000	p.m.	242 024	0,0 %	-39,5 %
Reserve							0,0 %	0,0 %
Total	485 050,00	0,00	p.m.	400 000	p.m.	242 024	0,0 %	-39,5 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

Objectives followed during 2017 focused on the preparation of the action's implementation: writing and launch of call for tender, evaluation of offers, signature of contract with selected tenderer.

Intentions for the current year 2018:

The overall objective is to foster the development and to improve the quality of entrepreneurship programmes across European Higher Education Institutions (HEI), thus contributing to increasing the entrepreneurial mind set all over Europe.

The activities in 2018 will involve:

- extensive literature review of current context, including a classification of entrepreneurship education programmes in higher education in the EU Member States and an overview and analysis of existing evaluation methods and tools used to assess entrepreneurial competences of students.
- survey to collect feedback from students and other stakeholders.
- organisation of expert workshop in September.
- identification of 50 HEIs/ 100 Entrepreneurship Education Programmes for piloting the toolkit.

- start development of pilot toolkit and methodology (iterative process).
- delivery of first and second interim reports.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	09 June 2017
Publication of a call for tender	05 August 2017
Closing date of a call for tender	26 September 2017
Award decision	04 December 2017
Pre-financing payment(s)	N/A
Interim payment(s)	September 2018 /February and September 2019
Final payment(s)	February 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)3847 of 9 June 2017 sets out the main elements for the implementation of the preparatory action. The call for tender (EAC/28/2017) is available at the following address: https://ec.europa.eu/education/calls/eac-28-2017_en.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

- 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Evaluation of higher education entrepreneurship programmes	ECORYS Nederland B.V. (consortium leader)	485 050

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The implementation of the project is done via an open call for tender, which was published in 2017 and the contract signed with the selected tenderer by end of 2017. The implementation involves the development, implementation and testing of a relevant methodology and respective guidelines and tools supporting the evaluation of higher education entrepreneurship programmes.

5.6 *Types of beneficiaries*

Higher Education Institutions; in particular professors, entrepreneurship educators and students; entrepreneurship centres; organisations specialised in entrepreneurship education.

1. Heading

15 02 77 20: Preparatory Action — Free Interrail pass for Europeans turning 18

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		12 000 000	6 000 000	p.m.	6 000 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		12 000 000	6 000 000	p.m.	6 000 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation:

Investing in young people, enabling people to travel around Europe, promoting better understanding and knowledge about Europe are important goals. In its resolution on the review of the Multi-Annual Financial Framework, the Parliament went further in advocating an initiative granting every European citizen a free Interrail pass when turning 18.

As a first initiative in that respect, the Move2 Learn project was supported in 2017 under the Erasmus+ programme, with a limited budget of EUR 2,5 million, aiming to directly benefiting school classes with pupils aged 16 and over to travel to at least to one other EU country.

Building on the experiences gained during the implementation of Move2Learn, this project was adopted as a preparatory action for 2018, expected to be implemented as well in 2019 and 2020.

Intentions for the current year 2018:

Providing travelling and mobility opportunities around Europe for young people turning 18 has an educational and cultural value, and it is consistent with the new EU policy framework for young people outlined in the 2016 Commission Communication "Investing in Europe's youth".

The project seeks to offer all young people, regardless of their background and including young people with reduced mobility, a chance to travel abroad. Their trip should be connected to a place with a specific value

in terms of European cultural heritage, European sites, places of historic interest, specific social or cultural values and traditions.

The project should especially promote the opportunity towards young people with the least chance to travel.

Participants will, as a general rule, travel by railways. However, in order to ensure the widest possible access, the project should offer alternative transport modes when necessary, taking into account environmental, time and distance considerations.

Selections will be carried out via an online registration system published on the European Youth Portal, and with the support of a service provider and/or multipliers active in youth information and support at national, regional and local level.

A promotion campaign will accompany the launch of the project, possibly involving schools, youth organisations and information networks, to select the first participants. Their experience will help further promote the project.

The Commission will look into possible sponsorship and partnership arrangements for the operational aspects of the project, with a view to lowering costs and reaching as many participants as possible and will investigate, together with relevant stakeholders, how to encourage specific itineraries for young people (including less "popular" destinations).

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	March 2018
Signature of contract process for promotion activities	April 2018
Signature of contract process for travel vouchers	April 2018
Launch for a new FWC competition	September 2018
Signature of the new FWC	January 2019
Pre-financing payment(s)	April 2018
Interim payment(s)	September 2018
Final payment (s)	2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2018)1187 of 01 March 2018 sets out the main elements for the implementation of the preparatory action. The link to the Europa site is available at the following address: https://ec.europa.eu/programmes/erasmus-plus/sites/erasmusplus2/files/c-2018-1187_en.pdf.

Expected results:

- the project is expected to provide a straightforward tool allowing young Europeans, regardless of social or educational background, a travel experience that would foster a European identity, reinforce common European values and promoting the discovery of European sites and culture. It will offer the

younger generation a chance to take advantage of freedom of movement and travel in an environmentally-friendly way.

- the participants will be encouraged to share their experience online.
- the overall allocated budget for 2018 is EUR 12 million. It will allow reaching an estimated 20 000 to 30 000 young participants.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

Information not yet available. Detailed information will be provided in the next financial exercise.

5.5 Method of implementation (tenders, grants, other) and evaluations

Two existing framework contracts will be used: FWC EAC/27/2015 lot 2 with the communications agency to design the visual identity and ensure dissemination, and one FWC OLAF/2015/D1/057 with an agency to ensure travel arrangements, including purchasing and distributing tickets/travel passes to beneficiaries. In addition, specific contracts based on an existing framework contract and/or new call for tenders for a new contract or framework contract. Type of procurement: service.

The estimated overall amount for procurements is EUR 12 million.

Indicative number of contracts envisaged: 1-4

5.6 Types of beneficiaries

DIRECT

Service contractors in the field of travel arrangements and communication, promotion and supporting activities.

INDIRECT

European citizens aged 18 at the time of application (they will be receiving the tickets for travelling).

1. Heading

15 04 77 18: Preparatory Action — Music Moves Europe: Boosting European music diversity and talent

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 500 000	750 000	p.m.	1 100 000	-100,0 %	46,7 %
Reserve						0,0 %	0,0 %
Total		1 500 000	750 000	p.m.	1 100 000	-100,0 %	46,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

Based on small and medium businesses with a strong potential for growth and job creation, the music sector has been strongly influenced by the digital shift: new distribution channels, powerful digital players, innovative start-ups, business models and consumption patterns have emerged. Music is also a powerful tool for cultural diversity, social inclusion and soft power diplomacy.

The music industry is changing and finds itself at the forefront of an uncharted territory, most certainly paving the way for the other content industries. The unstable music industry ecosystem calls for an increasing need to mobilise the sector as well as the policy makers to face the new challenges and explore the related new opportunities. As actions and policy initiatives at national level often prove neither sufficient nor suitable to encompass the global nature of the industry and of the consumption schemes, there is a need and a demand for an EU intervention to support Europe's key assets in the music field: creativity, diversity and competitiveness in a context of globalisation.

This preparatory action should take into account the results from the recent EU-level dialogue with music stakeholders on addressing the sector's specific needs in the short and medium-term. The preparatory action is intended to test suitable action with a view to a targeted EU funding scheme for music within the next generation of programmes post-2020. It should build on and develop further the existing support for music under Creative Europe. It aims at providing targeted support to Europe's music sector addressing some of

the main challenges in fields such as offline and online distribution, artist and repertoire development, professionalisation and education, as well as export of European music outside Europe.

The preparatory action aims at testing suitable actions with a view to a possible future fully-fledged funding scheme for music within the next generation of EU programmes, which could support European diversity and talent, the competitiveness of the sector as well as increased access of citizens to music in all its diversity.

The preparatory action is designed to meet four specific objectives:

- to develop a better understanding of the market trends and to propose sustainable mechanisms on how to monitor them, as well as to identify funding needs of the music sector;
- to identify innovative and sustainable distribution models that would support European music diversity (promoting the circulation of local repertoire beyond mainstream international hits);
- to promote the cross-border mobility of artists through implementing different cross-sectorial training schemes which are bridging industry silos and addressing the most relevant gaps in knowledge of the sector;
- to develop a strategic approach for the for the promotion of European music on the international market.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	March 2018
Publication of call for proposals / call for tender	June 2018
Closing date of call for proposals / call for tender	September 2018
Award decision	2018
Pre-financing payment(s)	2018
Interim payment(s)	2019
Final payment(s)	2019/2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision and the 2018 annual work programme for the implementation of the first year of the preparatory action, C(2018)1602 of 21 March 2018.

The preparatory action is expected to deliver the following results:

- a feasibility study for the establishment of a European Music Observatory, including a gap analysis of funding needs for the music sector;
- identification of existing innovative ways of distributing local music repertoire from across Europe;
- proposal for a European Music Leaders training programme for young professionals and artists in the music sector, based on the lessons learnt from 7-10 implemented pilot trainings;
- a proposal for a future European Music Export Strategy.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

Information not yet available.

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action will be implemented through the following four distinct activities:

- preparation of a feasibility study for the establishment of a European Music Observatory, and of a trend analysis for the music sector, including a gap analysis of funding needs, as well as a stakeholders' mapping. This activity will be implemented through a call for tenders to be published in the first half of 2018. The service contract will be signed in 2018. It is estimated to sign one contract.
- a call for proposals for the identification of existing innovative methods promoting the distribution and circulation of European music in all its diversity. The call will be published in the first half of 2018 and the selection will be made by the end of 2018. The preparatory action will be implemented through calls for tender and a call for proposals.
- elaboration and implementation of 7-10 training programmes for young professionals and artists in the music sector. This activity will be implemented through an open call for proposals. The call will be published in the first half of 2018 and the selection will be made by the end of 2018.
- preparation of a policy document based on stakeholder consultation, including a conference, for a strategy on European music export on the international market. This activity will be implemented through a call for tenders to be published in the first half of 2018. The service contract will be signed in 2019. It is estimated to sign one contract.

5.6 Types of beneficiaries

Service contractors for tenders procedures and Public or private organisations active in the music sector for the calls for proposals.

1. Heading

32 02 77 09: Preparatory Action — Strengthening cooperation on climate action among islands within and beyond the Union through the creation of an island identity within the Global Covenant of Mayors

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	0,00	p.m.	1 000 000	p.m.	1 000 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	0,00	p.m.	1 000 000	p.m.	1 000 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted first under the 2017 budget.

Objectives followed during 2017 and previous years' implementation

The objective of this preparatory action is to make islands front-runners on clean energy transition and showcase solutions to this end at European level. The ultimate aim is to help islands to move to the production of electricity from local clean energy sources and to become autonomous, to the greatest possible extent, in energy supply.

Within this action, the Commission is working in close co-operation with core Member States and islands' local authorities with the aim of setting up appropriate plans to carry the initiative forward. A European Energy Islands Forum was established in order to showcase advances, encourage networking, share experiences and transfer knowledge and know-how to the islands themselves. The Forum also allowed technology providers to reach potential clients. The subject of the preparatory action is the setting up and operation of a Secretariat for the Clean Energy for EU Islands Initiative. The "Clean Energy for EU Islands Secretariat" will carry out a benchmarking study on energy systems on islands and will assist islands stakeholders in design and preparation of decarbonisation plans. It will create and manage a platform of exchange of practice for islands' stakeholders involved in designing and implementing clean energy projects, as well as provide dedicated capacity building, technical assistance and advisory services. It will create and maintain a functional website and offer web-based tools to facilitate the networking and exchanges.

It will also organise, over the duration the project, the Islands Initiative forums and islands technology fairs to bring together concerned Member States, representatives of islands, academic institutions, international

and civil society organisations, and private sector stakeholders including investors, to share best practice in financial and regulatory tools and promoting best available technologies, with the aim to take action on the ground.

The preparatory action was foreseen to be implemented in two stages as outlined in the Financing Decision, namely, as a first step the organisation of the Island Conference in Crete and secondly the setting up of a secretariat. However, it was decided during the second half of 2017 to use the full amount of the preparatory action for the secretariat.

A global commitment was entered at the end of 2017. The open call for tenders (available at the following address: <https://etendering.ted.europa.eu/cft/cft-display.html?cftId=3039>) was published on 05 December 2017 with a deadline for submission of tenders on 30 January 2018.

In terms of the type of contracts, it will be a new contract following an open call for tenders.

Intentions for the current year 2018

Seven tenders were received and are currently under evaluation. The contract is foreseen to be in force as of May 2018.

According to the published draft contract, a first payment is foreseen to be paid in August 2018.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	10 July 2017
Publication of call for tenders	05 December 2017
Deadline for the submission of tenders	30 January 2018
Signature of the contract	May 2018
Contract duration	24 months
Pre-financing payment	N/A
Interim payment 1 (inception report – 10%)	August 2018
Interim payment 2 (interim report 1 – 30 %)	December 2018
Interim payment 3 (interim report 2 – 30%)	December 2019
Final payment	June 2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission decision C(2017)4673 of 10 July 2017 on the financing in 2017 of one new preparatory action and one new pilot project in the field of energy sets out the main elements (available at the following address: <http://ec.europa.eu/transparency/regdoc/index.cfm?fuseaction=search>).

- Do similar activities exist, which are already covered by a legal basis?

The Pact of Islands was financed through a previous preparatory action "European Islands for a common energy policy". The grant agreement ran from 01 January 2010 until 30 November 2012.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. However the issue is specifically addressed in the "Clean Energy For All Europeans" package (Communication COM(2016) 860 final of 30.11.2016).

5.4 Type of activities financed, number and amounts

The tenders are currently under evaluation.

5.5 Method of implementation (tenders, grants, other) and evaluations

The contract is a service contract following an open call for tenders.

5.6 Types of beneficiaries

Consulting firms, academia and innovation companies.

1. Heading

32 02 77 12: Preparatory Action — Establishing comprehensive support for coal and carbon-intensive regions in transition

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 700 000	850 000	p.m.	850 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 700 000	850 000	p.m.	850 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for preparatory action were voted first under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

As part of the Clean Energy for All Europeans Package, the Commission announced a host of measures to 'facilitate the clean energy transition'. This included a commitment to assist coal mining regions: 'examine how to better support the transition in coal and carbon-intensive regions. To this end, it will cooperate with the national and regional authorities, as well as all concerned stakeholders, to provide guidance, in particular for the access to and use of available funds and programmes, and encourage exchange of good practices, including discussions on industrial roadmaps and re-skilling needs, through targeted platforms'.

In addition, the preparatory action is fully in line with and could therefore usefully contribute to the activities envisaged by the Commission to support coal regions in transition including structural change, financing and technology development, as well as best practice sharing. From that perspective it outlines a comprehensive approach to the challenge of transition in coal and carbon intensive regions.

This preparatory action can be implemented as proposed to ensure the effectiveness of these support efforts, their long-term sustainability, and ultimately the success of the transformation of the EU as a whole and its global leadership, by establishing a dedicated platform which should inter alia enable the Commission to identify regions in transition and on-the-ground bottlenecks, to establish stakeholder fora and operational guidelines with best practices.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	01 March 2018
Publication of call for proposals / call for tender	Second quarter 2018
Closing date of call for proposals / call for tender	Third quarter 2018
Award decision	Third quarter 2018
Pre-financing payment(s)	Fourth quarter 2018
Interim payment(s)	2019
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission decision C(2018)1179 of 01 March 2018 to finance in 2018 one new pilot project and two new preparatory actions in the field of energy (available at the following address: <http://ec.europa.eu/transparency/regdoc/index.cfm?fuseaction=search>) sets out the main elements of this preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation, this information is not available yet.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The Commission intends to implement this preparatory action by means of a study and technical assistance awarded through a direct contract.

5.6 *Types of beneficiaries*

Study and technical assistance service providers.

1. Heading

32 02 77 13: Preparatory Action — Toolkits for coal platform dialogue participants to develop and support local transition strategies

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 300 000	650 000	p.m.	650 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 300 000	650 000	p.m.	650 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for preparatory action were voted first under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

With its 2011 Low Carbon Economy Roadmap, the Commission developed a vision to largely decarbonise the European economy and set out specific steps to achieve that goal. The EU has confirmed this commitment, most prominently with the adoption of the Paris Agreement. While recognising that EU Member States have the sole prerogative to determine their national energy mix, this inevitably puts the focus on coal-mining regions, which have to deal with multiple challenges in connection with this transition, brought about by political decisions, economic realities and public aspirations. The Commission is therefore launching a dedicated dialogue in the form of a coal platform for regions facing this transition.

This preparatory action could be implemented by providing technical assistance, including through toolkits, to integrate the results of coal platform meetings in order to support participants in developing local transition strategies and projects in specific fields such as establishing a governance process at local level, access to funding, employment and growth perspectives, environmental rehabilitation.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	01 March 2018
Publication of call for proposals / call for tender	Second quarter 2018
Closing date of call for proposals / call for tender	Third quarter 2018
Award decision	Third quarter 2018
Pre-financing payment(s)	Fourth quarter 2018
Interim payment(s)	2019
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2018)1179 of 01 March 2018 to finance in 2018 one new pilot project and two new preparatory actions in the field of energy (available at the following address: <http://ec.europa.eu/transparency/regdoc/index.cfm?fuseaction=search>) sets out the main elements of this preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation, this information is not available yet.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The Commission intends to implement this preparatory action by means of call for tender for the establishment of a Secretariat for the Platform for Coal Regions in Transition which will provide technical assistance, facilitate Platform meetings and carry out requested studies under the guidance of Commission experts.

5.6 *Types of beneficiaries*

Transition governance experts and service providers for project development assistance and capacity building

Heading 1b – Economic, Social and Territorial Cohesion

1. Heading

01 02 77 01: Preparatory Action — Capacity development and institution building to support the implementation of economic reforms

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	1 259 077,24	p.m.	700 000	p.m.	140 000	0,0 %	-80,0 %
Reserve							0,0 %	0,0 %
Total	0,00	1 259 077,24	p.m.	700 000	p.m.	140 000	0,0 %	-80,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were agreed under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

As per the budgetary remark in the 2016 budget, the objective of the preparatory action is to provide support to Member States to enhance their capacity to implement reforms and to strengthen their public institutions.

The support focuses in particular on fiscal, structural and institutional reforms, which have been identified as priorities in the course of the Union's regular cycle of macroeconomic surveillance, in the course of an economic adjustment programme, during enhanced surveillance, or during post-programme surveillance. The support is delivered by the Commission upon request of the Member State concerned and is available to all Member States.

Several projects were financed in 2016 and 2017 in various areas. Projects included notably:

- support for financial sector reform such as projects to assess capital markets development and enhance capital market supervision, to develop risk appetite guidelines, and to improve the monitoring of non-performing loans (NPLS), bank business models and anti-money-laundering procedures;
- support for growth-enhancing reforms, such as projects to improve the governance of state-owned enterprises, to provide training on public investments evaluation, to improve the design and monitoring of structural reforms, to support the creation of a centralised business register, to improve the investment climate, to support energy reforms, and to improve procurement and e-government procedures;

- support for spending reviews; and
- support for health care reform, such as to assist with the reorganization of non-clinical services in hospitals, social reforms such as projects to support the disability reforms, to improve labour market modelling, and, to support long-term reform.

Intentions for the current year 2018:

N/A

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

Step	Date
Adoption of financing decision	C(2016)4011 of 30 June 2016 amended by C(2017)304 of 26 January 2017
Grants identified in the financing decision	Signed grant agreements: 5 in 2016 for EUR 1 143 414 and 4 in 2017 for EUR 923 468
Procurement contracts	14 contracts were signed representing: EUR 258 876 in 2016 and EUR 436 736 in 2017
Interim and final payments; closures	Expected to be paid in 2018, 12 projects have been concluded.
Final payments in 2019	Expected in 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2016)4011 for the preparatory action of 30 June 2016 and its amendment C(2017)304 of 26 January 2017 provide details about the main elements of the projects that have been financed.

- Do similar activities exist, which are already covered by a legal basis?

No. This is the reason why a decision has been taken to establish a Structural Reform Support Programme with the objective of strengthening the capacity of Member States to prepare and implement growth-enhancing administrative and structural reforms, including through assistance for the efficient and effective use of the Union funds. In addition, the avoidance of possible overlapping activities with other instruments is ensured through the customary inter-service consultation for the approval of the financing decisions.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

On 17 May 2017, Regulation (EU) 2017/825 of the European Parliament and of the Council on the establishment of the Structural Reform Support Programme for the period 2017-2020 and amending Regulations (EU) No 1303/2013 and (EU) No 1305/2013 was adopted, which is in line with the actions under this preparatory action.

5.4 Type of activities financed, number and amounts

- 2016 appropriations

Title / Action	Contractor	EUR (maximum)
Legal commitments signed in 2016/2017 1) Support the spending review 2) Improving the governance of state-owned enterprises 3) Enhancing the capital markets supervision function 4) Assisting in developing and implementing risk appetite guidelines for credit institutions 5) Improving the monitoring of non-performing exposures (NPE) loans of small- and medium- sized enterprises 6) Capital market assessment 7) Investment policy review 8) Central business registers 9) Spending review 10) Low value procurement contracts	1) IMF	1) 300 000
	2) EBRD	2) 273 414
	3) World Bank	3) 320 000
	4) EBRD	4) 150 000
	5) World Bank	5) 100 000
	6) World Bank	6) 100 000
	7) OECD	7) 275 000
	8) WB	8) 298 501
	9) Expertise France	9) 249 967
		Total grants
	10) Total procurement	10) 695 612
	GENERAL TOTAL	2 762 494

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action covers the implementation of technical assistance programmes and projects by national and international public organisations with strong expertise in capacity development and institution building and by private sector actors. It may also be used to cover expenditure related to the preparatory phases of such programmes and projects, and short-term technical assistance. The actions are implemented through grant agreements and procurement contracts.

5.6 Types of beneficiaries

The direct beneficiaries of the actions are central governments ministries (notably ministries of finance, economic affairs, labour, social welfare etc.) and public executive bodies that are responsible for the implementation of economic reforms, which have been identified as priorities in the course of the EU's cycle of integrated economic surveillance and other governance processes.

1. Heading

09 04 77 09: Preparatory Action — Smart factories in Eastern Europe

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	1 300 000	p.m.	449 313	0,0 %	-65,4 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	1 300 000	p.m.	449 313	0,0 %	-65,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016 budget.

Objectives followed during 2017 and previous years' implementation:

The procurement procedure (call for tenders) was launched in 2016. One offer was selected and the contract was signed in May 2017 for a duration of 20 months.

The objective is two-fold. The first objective focuses on developing a sustainable approach to engage a critical mass of relevant actors from new Member States of the European Union such as industry, academia, RTOs, regional authority and civil society to set up new Digital Innovation Hubs (DIH). These hubs are built around research institutes, universities labs, and other relevant organisations and networks focused on the application of advanced ICT to SMEs and mid-sized companies and support them with their digital transformation, so that they can become a smart factory.

Following a call for interested participants, launched in 2017, support will be offered to selected, potential Digital Innovation Hubs in the development of sustainable business plans. 34 potential Hubs were selected in a Steering Committee meeting on 12 December 2017.

Intentions for the current year 2018:

The programme started with a first workshop in Sofia on 19-20 February 2018. Training and mentoring activities for the selected hubs will take place between February and November 2018. This will be based on training needs assessment and inputs from the key stakeholders in order to respond fully to DIH and market needs.

The training will be divided into thematic modules. Every training programme will include components applicable horizontally and more tailored components based on specific country factors and DIH advancement. The aim is to provide potential hubs with business development skills and to help them with their business model definition and feasibility study.

The second objective is to carry out – based on the experiences with the selected 34 Hubs - a survey to identify the industrial competitive advantage of the related regions to support the preparation of industrial policy documents.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	14 July 2016
Publication of call for tender	12 August 2016
Closing date of call for tender	14 October 2016
Award decision	25 April 2017
Pre-financing payment(s)	-
Interim payment(s)	February 2018 and July 2018
Final payment(s)	December 2018/January 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2016)4258 of 14 July 2016 sets out the main elements for the implementation of this preparatory action Smart factories in new EU Member States.

The call for tenders SMART 2016/1005 (available at <https://etendering.ted.europa.eu/cft/cft-display.html?cftId=1767>) was published on TED on 12 August 2016.

Comprehensive information can be found at www.smartfactories.eu

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 *Type of activities financed, number and amounts*

– 2018 commitment appropriations

Title / Action	Lead contractor	EUR (maximum)
SMART 2016/1005 - Smart factories in new EU Member States	PwC EU Services EESV	1 797 250,00

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action is being implemented through a service contract following an open call for tenders.

5.6 *Types of beneficiaries*

European companies, such as SMEs and mid-caps; research and technology organisations; university labs and other relevant organisations and networks.

1. Heading

13 03 77 12: Preparatory Action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	378 734,08	p.m.	1 093 974	p.m.	755 000	0,0 %	-31,0 %
Reserve							0,0 %	0,0 %
Total	0,00	378 734,08	p.m.	1 093 974	p.m.	755 000	0,0 %	-31,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2013, 2014 and 2015 budgets.

Objectives followed during 2017 and previous years' implementation:

The preparatory action is the continuation of the pilot project 13 03 77 08 (2011-2012).

The preparatory action aims at facilitating the implementation of the EU Strategy for the Danube Region. The objective of the preparatory action as described in the budgetary remarks is to organise or develop:

- training programmes, seminars for young people with the aim of emphasising the common regional identity of the nations living in the Danube macro-region; the programmes should stress civic education and opportunities for cultural exchanges which will contribute to a progressive sustainable European future-oriented dimension of coexistence by addressing the questions of understanding each other's regional presence, togetherness and the need for cooperation; and by providing scope for dialogues and reconciliation;
- the improvement and development of new solutions for handling expertise by means of accessible common platforms and project information as well as by building networks.

The 2013 appropriations were allocated to:

- technical Assistance Facility: the assistance has facilitated the preparation of transnational projects in their starting phase. This was done through a project preparation facility providing assistance to project holders of transnational projects. The facility has provided consultancy services to potential project owners.
- third Annual Forum 2014: the governance structure consists of Priority Area Coordinators (PAC), National Contact Points (NCP), existing institutions and stakeholders. The action supported the communication, exchange of experience and capitalisation of results among the main stakeholders and ensured political commitment through the organisation of the Third Annual Forum in June 2014 in Vienna.

The 2014 resources allocated to this preparatory action have been used to support the establishment of economic and social cooperation in the macro-region, contributing to further integration and reconciliation of the participating nations in a practical way. It supports the work of key implementers (National Coordinators, PACs) coordinating and implementing each priority area as well as the provision of online-guidance to Danube projects to find the appropriate funding sources.

These objectives have been achieved by the following:

- establishment of the Danube Strategy Point which is hosted by the Land Baden-Württemberg. The 14 Danube Countries selected (by majority vote) the Government of Land Baden-Württemberg (which also acts as NCP in the framework of the Danube Strategy) to host the Danube Strategy Point. The establishment of a Strategy Point is a recommendation in the report from the European Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions concerning the governance of macro-regional strategies (2014/284), where the need for more coordination and interaction between the responsible stakeholders and organisations was identified.
- creation of the tool "EuroAccess" by the City of Vienna in its capacity as PAC of Priority Area10 "To step up institutional capacity and cooperation", which holds a de facto monopoly position in the Strategy work. It has horizontal tasks for the implementation of the Strategy and also serves all other Priority Areas, such as the facilitation of transnational projects.

The 2015 appropriations were used to support the communication, exchange of experience and capitalisation of results among the main stakeholders and ensure continuous political commitment through the organisation of three Annual Fora in 2016 (Slovakia), 2017 (Hungary) and 2018 (Bulgaria). They are also supporting the preparation or implementation of transnational projects of relevance for the Danube Region (Danube Strategic Project Fund- DSPF). Such support is crucial to initiate complex projects with a strategic impact, and to build partnerships in a transnational environment. Twelve projects were selected. Special consideration was given to strategic projects with connections and/or spill-over effects in the territory covered by the EU Strategy for the Adriatic and Ionian Region, with five out of its eight participating countries being also part of the EU Strategy for the Danube Region and to neighbouring countries such as Moldova and Ukraine.

As the financing decision for the 2015 appropriations was adopted on 17 December 2015, the related objectives, as described above are being implemented in 2016, 2017 and 2018.

Intentions for the current year 2018:

Payments and closure of part of the grant agreements.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2013 commitment appropriations

Step	Date
Adoption of financing decision	27 September 2013
Publication of call for proposals / call for tender	N/A, direct grants
Closing date of call for proposals / call for tender	N/A, direct grants
Award decision	06 December 2013
Pre-financing payment(s)	07 February 2014
Interim payment(s)	2014
Final payment(s)	2016

– 2014 commitment appropriations

Step	Date
Adoption of financing decision	25 November 2014
Publication of call for proposals / call for tender	N/A, direct grants
Closing date of call for proposals / call for tender	N/A, direct grants
Award decision	19 December 2014
Pre-financing payment(s)	January 2015
Interim payment(s)	2016
Final payment(s)	2018

– 2015 commitment appropriations

Step	Date
Adoption of financing decision	17 December 2015
Publication of call for proposals / call for tender	N/A, direct grants
Closing date of call for proposals / call for tender	N/A, direct grants
Award decision	June 2016
Pre-financing payment(s)	2016
Interim payment(s)	2017
Final payment(s)	2018-2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decisions/Work Programmes C(2013)6180 of 27 September 2013, C(2014)8713 of 25 November 2014 and C(2015)9148 of 17 December 2015 set out the main elements of the implementation of the preparatory action.

The website of the EU Strategy for the Danube Region provides full documentation of the activities of each PAC, including meetings, action plans and annual implementation reports (available at the following address: <http://www.danube-region.eu/>)

EuroAccess is available at the following address: <https://danube-euroaccess.eu/>.

A description of the Danube Strategic Project Fund (DSPF) is available at the following address: <https://www.danube-capacitycooperation.eu/danube-strategic-project-fund>.

- Do similar activities exist, which are already covered by a legal basis?

In the period 2007-2013 there was no possibility to support similar activities. However, as of the period 2014-2020, the transnational cooperation programme Danube Transnational Programme 2014-2020, financed by the ERDF, is able to support the implementation of macro-regional strategies, through projects and governance support. In 2014 the budget line 13 03 68 (Macro regional strategies 2014-2020: European strategy for the Danube region — Technical assistance) under the ERDF was used to bridge the period until the transnational cooperation programme started and gave support to complementary activities for the governance structure.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Follow up activities are taken up by the Interreg Danube Programme (Interreg transnational programme). Several cooperation programmes in the 2014-2020 programming period are fully matching the macro-regional strategies in the Baltic, Danube, Adriatic-Ionian and Alpine areas. Not only in terms of geography, but they are also meant to provide key support to their implementation. In this context, all four programmes have included a priority axis based on thematic objective 11 (Enhancing institutional capacity of public authorities and stakeholders and efficient public administration), which provides support to the key implementers and other horizontal activities. This support take different shapes in each programme, taking into account the specificities and stages of implementation of each macro-regional strategy. In the case of the Danube programme, Axis 4 (Specific Objective 4.2) includes three main packages; one is dedicated to the financial support to the PACs.

5.4 Type of activities financed, number and amounts

– 2013 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Start Danube Region Project Fund	City of Vienna, Austria	1 259 443,50
Danube implementation facility- Pilot actions: Danube financing dialogue and Technical Assistance Financing dialogue and Technical Assistance facility for Danube region, projects TAF-DRP; 2014 Annual Forum	City of Vienna, Austria	740 400,48

– 2014 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Danube Strategy Point	Land Baden-Württemberg, Germany	1 600 000
Euro Access Online search tool for EU-funding in the Danube Region	City of Vienna, Austria	199 458,65

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
5 th Annual Forum	Office of the Government Slovakia	230 265,50
6 th Annual Forum	Ministry of Foreign Affairs and Trade, Hungary	234 997,70
7 th Annual Forum	Ministry for Regional Development, Bulgaria	230 000,00

Danube Strategic Project Fund	City of Vienna, Austria	1 300 000
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5.5 *Method of implementation (tenders, grants, other) and evaluations*

The assistance is implemented through grants to the PACs and, in the case of Annual Fora, to National Coordinators (acting as Danube Strategy Presidencies). The further financing of the PACs was ensured through cascade grants via the Danube Strategy Point and has been taken up by the Interreg Danube Programme as of 2017.

5.6 *Types of beneficiaries*

The assistance is implemented through grants to the PACs, which hold a de facto monopoly position in the Strategy work. The PACs are national or regional public bodies.

1. Heading

13 03 77 17: Preparatory Action — EU-CELAC cooperation on territorial cohesion

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	445 238,73	p.m.	1 798 674	p.m.	1 738 000	0,0 %	-3,4 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	445 238,73	p.m.	1 798 674	p.m.	1 738 000	0,0 %	-3,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016 and 2017 budgets.

Objectives followed during 2017 and previous years' implementation:

The international dimension of EU regional policy gains on importance over the last years. There are currently regional policy dialogues formalised through written agreements with six Community of Latin American and Caribbean States (CELAC): Argentina, Brazil, Chile, Colombia, Mexico and Peru; as well as with the Central America Integration System (SICA). Outside this group exchanges have taken place as well with Costa Rica and Caribbean countries.

The EU-CELAC Action Plan approved during the last EU-CELAC Summit (10-11 June 2015 in Brussels) emphasises the need to promote the exchange of experience and best practice between the European Union and CELAC countries on 'territorial cohesion and equity and on regional development policies, with a particular focus on cross border cooperation and on territorial development strategies, to strengthen the capacity of the regional and urban authorities to promote economic development and innovation and social inclusion and cohesion'.

Previous cooperation activities have taken the form of technical assistance projects on regional innovation systems (including in some cases a cross-border dimension), studies, seminars and exchanges on cross-border cooperation and territorial development policies, namely:

- INNOVACT (Innovation for territorial cohesion) platform to promote cross-border regional innovation strategies and cross-border value chains in selected border regions (namely, Colombia-Peru, Chile-Peru, Mexico-Guatemala and Colombia-Ecuador border areas) through the establishment

of technical guidelines and training of officials and experts; INNOVACT started to be implemented in 2017, including value chains mapping work and first working meetings in the different border areas;

- INNOV-AL (Innovation in Latin America) platform to support dissemination of the EU regional policy experience and good practices and to develop further cooperation between national and regional authorities and specialised agencies in four Latin American countries (Argentina, Chile, Colombia and Peru, as well as three Brazilian States – Pará, Paraná and Pernambuco) both between themselves and with EU countries and regions on the definition and implementation of decentralised, regional-level innovation and smart specialisation policies. The contracts for the project were signed end 2017 and a first coordination meeting has taken place with the selected contractors.
- technical assistance project on cooperation with Argentina on multi-level governance systems in the framework of regional policy. The project has provided assistance in the overall design of the federal system of territorial development, focusing on the process of interaction of the three tiers of government, as well as civil society. The final report, delivered in December 2017, provides useful recommendations for a capacity-building programme to be implemented in five Argentinean provinces.
- as requested by Brazilian national and regional authorities, a technical assistance project to support the definition of a smart specialisation strategy in Pernambuco State (Brazil) was implemented in 2017 (final report delivered in January 2018).

During 2017 and early 2018, extensive consultations have been conducted with CELAC country partners (Argentina, Brazil, Chile, Colombia, Mexico and Peru), to identify common priorities and joint cooperation activities. Priority has been given to the preparation of regional projects (involving several CELAC countries) including a network dimension, as well as to provide appropriate follow up to previous preparatory studies and projects.

Intentions for the current year 2018:

For the extension of the preparatory action (2017 budget) it is intended to provide continuity to some of the projects currently being implemented while keeping some flexibility to address demands coming from CELAC partner countries as well as possible recommendations from ongoing activities. In particular, in addition to the continuation of the on-going projects (INNOVACT, INNOV-AL), the following activities are planned:

- second phase of INNOVACT (Innovation for territorial cohesion) platform to promote cross-border regional innovation strategies and cross-border value chains in selected border regions (namely, inter alia, Colombia-Peru, Bolivia-Peru, Mexico-Guatemala and Argentina-Brazil border areas);
- second phase of INNOV-AL (Innovation in Latin America) platform to support dissemination of the EU regional policy experience and good practices and to develop further cooperation between national and regional authorities and specialised agencies in several Latin American countries which expressed interest (Mexico, Uruguay and a Brazilian state to be designated following consultation with Brazilian authorities, probably Rio Grande do Sul);
- fourth edition of the URBELAC Network (EU-LAC city network implemented in cooperation with IDB) under the theme of circular economy (providing UIA cities to opportunity to exchange current experience with LAC cities);
- technical assistance project EU-Argentina regional policy cooperation on multi-level governance systems. Institution and capacity building on regional policy programming and implementation" (capacity building programme for national and regional officials);

- technical assistance project on Cross-border urban agendas in MERCOSUR countries.

A number of seminars could also be supported to be held in the main partner countries on topics such as: strategic and governance issues, monitoring, evaluation, regional innovation systems and clusters and cross-border cooperation. Finally, a number of exchanges and study visits could take place on the subject of development policies in post-conflict situations (namely in Colombia) and decentralisation and multi-level governance, as well as on the introduction of new regional development policies.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2016 commitment appropriations

Step	Date
Adoption of financing decision	C(2016)2915 of 20 May 2016
Publication of call for tender	2016-2017
Closing date of call for tender	2016-2017
Award decision	2017
Pre-financing payment(s)	N/A
Interim payment(s)	2017-2018
Final payment(s)	2019

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	C(2017)6722 of 10 October 2017
Publication of call for tender	2018
Closing date of call for tender	2018
Award decision	2018
Pre-financing payment(s)	N/A
Interim payment(s)	2018-2019
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)2915 of 20 May 2016 and Commission Financing Decision/Work Programme C(2017)6722 of 10 October 2017 set out the main elements for the implementation of the preparatory action.

The calls for tender will be available at the follow address:

http://ec.europa.eu/regional_policy/en/newsroom/funding-opportunities/calls-for-tender/2017

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The preparatory action will help to test innovative approaches on cooperation on territorial development policies and regional innovation with CELAC partners, which are expected to be taken forward through other external policy instruments.

In the context of the broader framework of EU regional policy, Article 58(1)f of the Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 on technical assistance provides the possibility to carry out 'actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries'.

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
RIS Pernambuco (Brazil)	CES Coimbra	59 650
Innovact	Technopolis	934 912
EU-Argentina	ISMERI	59 850
INNOVA Platform	INFYDE	487 600
INNOV-AL Brazil	SPI	270 750

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action is being implemented directly by the Commission through service contracts.

5.6 *Types of beneficiaries*

Stakeholders in the field of regional and urban development policy, inter alia national, regional and municipal public administrations from third countries and Europe, research institutes, development agencies, clusters, international organisations, regional groupings, regional networks, NGO, private companies.

1. Heading

13 03 77 18: Preparatory Action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	500 000,00	1 500 000	2 000 000	p.m.	1 500 000	-100,0 %	-25,0 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	500 000,00	1 500 000	2 000 000	p.m.	1 500 000	-100,0 %	-25,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016, 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

The key objective of this preparatory action has been until now to support enhancement of the value of EU funding sources for research, development and innovation, with a particular focus on Research and Innovation Strategies for Smart Specialisation (RIS3) implementation in EU13 with subsequent extension to EU28. With the dedicated resources, upstream activities to foster capacity building in research and innovation (R&I) have been carried out, providing analytical data on the R&I systems in the concerned Member States (MS) and regions. Actively promoting the combination of funding sources contributes to closing the innovation gap between MS and regions of the European Union. In 2017 the preparatory action maintained and built further cooperation with other relevant Commission services, the Policy Support Facility (PSF) as well as other EU institutions and platforms with the objective to:

- provide targeted assistance to MS and their regions in closing the innovation gap, in order to promote excellence in all EU MS and regions;
- stimulate effective implementation of national and regional Smart Specialisation Strategies, identifying ways to address typical barriers to implementation;
- actively promote and assess the combined use of all available funding sources for research, development and innovation, thereby contributing to the closure of the innovation gap; and

- contribute to further refinement of the RIS3 model for increasing cohesion and for closing the innovation gap, codifying and disseminating hands-on experiences in different contexts.

Intentions for the current year 2018:

The activities aim to focus on two main actions:

ACTION 1: Evidence and experience relating to Horizon 2020 (H2020) and ESI Fund 2014-2020 implementation

The preparatory action provides assistance to regions across the EU that are substantially lagging behind in efforts to strengthen their 'stairway to excellence'; it will provide lessons to be drawn on for the follow-up activities.

Action 1 aims at delivering analytical support in view of fostering excellence and closing the innovation gap between the MS and regions of the European Union. It focuses on evidence and experience relating to H2020 and ESI Fund 2014-2020 implementation, including regarding R&D expenditure. The aim is also to identify ongoing positive/negative trends with regard to participation in cross-border consortia and with regard to diversification of H2020 engagement.

ACTION 2: Support to solve the most recurrent weaknesses in taking up policy recommendations related to specific bottlenecks at national level

The policy support and partnering support activities aim to support MS and regions in closing the innovation gap and in combining different EU R&I funding sources in RIS3 implementation.

Action 2 will deliver policy support to national and regional managing authorities in areas of common interest in relation to building capacity, rolling out training for optimising the use of H2020, improving RIS3 governance, optimal use of R&I funds, promoting excellence in research, and improving innovation management. Action 2 is understood as a bottom-up approach, and will focus on activities in which MS and regions show particular common interest. Active engagement of ESIF and other relevant managing authorities and relevant stakeholders in the MSs and regions concerned are essential for the success of the actions undertaken.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2016 commitment appropriations

Step	Date
Adoption of financing decision	23/11/2016
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Signature of Administrative Agreement with the Joint Research Centre (JRC)	First semester 2017
Pre-financing payment(s)	First semester 2017
Interim payment(s)	N/A
Final payment(s)	2018-2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	12 October 2017
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Signature of Administrative Agreement with the Joint Research Centre (JRC)	Second quarter 2018
Pre-financing payment(s)	2018
Interim payment(s)	2019
Final payment(s)	2020

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	2018
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	N/A
Signature of Administrative Agreement	2018
Pre-financing payment(s)	End 2018-Beginning 2019
Interim payment(s)	2019
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision/Work Programme C(2016)7473 of 23 November 2016 sets out the main elements for the implementation of the preparatory action.

The Commission financing decision/work programme C(2017) 6827 of 12 October 2017 sets out the main elements for the implementation of the preparatory action.

Annual work programmes and financing decisions are available at the following address:

http://ec.europa.eu/regional_policy/en/newsroom/funding-opportunities/financing-decisions/.

All relevant outputs from the pilot project/preparatory action are being uploaded and are being ongoing updated on the dedicated webpage of the S3Platform, available at the following address: <http://s3platform.jrc.ec.europa.eu/stairway-to-excellence>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Administrative Agreement Stairway to Excellence	European Commission Joint Research Centre (JRC)	1 000 000

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Administrative Agreement Stairway to Excellence	European Commission Joint Research Centre (JRC)	1 500 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Administrative agreement with the JRC.

5.6 *Types of beneficiaries*

Public body.

Final beneficiaries: public and private research and innovation actors active in the regions covered and beyond, the regional and national managing authorities.

1. Heading

13 03 77 19: Preparatory Action — Support for growth and governance in regions whose development is lagging behind

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	2 000 000	2 000 000	p.m.	1 000 000	-100,0 %	-50,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	2 000 000	2 000 000	p.m.	1 000 000	-100,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

Commitment appropriations for this preparatory action were voted under the 2016, 2017 and 2018 budgets.

5.1 Objectives

Objectives followed during 2017 and previous years' implementation:

Having started in mid-2016, this preparatory action is building on the positive outcomes of the 15-month European Parliament preparatory action on refinement and implementation of a research and innovation strategies for smart specialisation (RIS3) in the Greek region of Eastern Macedonia and Thrace (REMTh) which was completed in November 2015. It has gone further in providing appropriate and specific support for smart specialisation activities in nine selected partner regions, where development is lagging behind. The work has also developed a cross-cutting approach to key issues regarding growth and governance in those regions.

Nine regions of two types have been identified as targets for this preparatory action:

- low-growth regions are regions of Member States that have a GDP per capita in purchasing power standards (PPS) below the EU average in 2012 and that did not converge with the EU average between 2002 and 2012, regions in Greece (REMTh and spill-overs to other Greek regions), Italy (Puglia), Spain (Extremadura) and Portugal (Centro);
- underdeveloped regions are regions with a GDP per capita in PPS below 50% of the EU average in 2011, i.e. a number of regions in Bulgaria (Severen Tsentralen), Hungary(Észak-Alföld), Poland (Warmia-Mazury) and Romania (North East and North West).

This preparatory action has provided highly targeted hands-on support to these regions. This combines specific activities in each partner region as well as horizontal activities, involving all regions on centred on common areas of concern. In particular, the preparatory action has provided specific support to the entrepreneurial discovery process in the regions, focused mainly on the organisation of thematic workshops developing identified strategy priorities towards concrete projects through structured stakeholder interaction. The preparatory action also focused on three specific horizontal areas of interest: governance, transnational cooperation and monitoring RIS3 implementation. Based on its work with the partner regions and selected experts, the action has developed a Massive Open On-line Course (MOOC).

The proposed preparatory action is implemented through a 24-month Administrative Agreement (AA) between DG Regional and Urban Policy and the Commission's Joint Research Centre (JRC).

Intentions for the current year 2018:

The current AA enters its final semester, continuing the combination of specific targeted support for partner regions, and horizontal activities. The latter include a focus on governance and the official launch of the MOOC (March 2018, more than 700 subscribers).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

This preparatory action is being implemented since 2016 for three years.

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	21 October 2016
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	N/A
Signature of Administrative agreement (AA) with the JRC	28 October 2016 (in force until 30 June 2018)
Pre-financing payment(s)	2016
Interim payment(s)	N/A
Final payment(s)	2018

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	14 December 2017
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	N/A
Signature of Administrative agreement with the JRC	To be signed in second quarter 2018
Pre-financing payment(s)	2018
Interim payment(s)	2019
Final payment(s)	2020

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	Third quarter 2018
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	N/A
Signature of Administrative Agreement	To be signed in second quarter 2018
Pre-financing payment(s)	2018
Interim payment(s)	2019
Final payment(s)	2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision (C(2017) 8409 final) and related documents are published on Inforegio website are available at the following address: http://ec.europa.eu/regional_policy/en/newsroom/funding-opportunities/financing-decisions/

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Administrative agreement	JRC	1 000 000

– 2017 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Administrative agreement (cont.)	JRC	1 000 000

5.5 Method of implementation (tenders, grants, other) and evaluations

Administrative agreement with the JRC.

5.6 Types of beneficiaries

Final beneficiaries: public and private research and innovation actors active in the regions covered and beyond, the regional and national managing authorities.

1. Heading

13 03 77 22: Preparatory Action — Macro-regional strategy 2014-2020: EU strategy for the Alpine Region

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	0,00	2 000 000	2 000 000	p.m.	1 000 000	-100,0 %	-50,0 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	0,00	2 000 000	2 000 000	p.m.	1 000 000	-100,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

Commitment appropriations for this preparatory action were voted under 2017 and 2018 budgets.

5.1 Objectives

Objectives followed during 2017 and previous years' implementation:

The Alpine Region is one of the richest areas in the world and among the economically most dynamic, innovative and competitive areas in Europe with unique geographical and natural features. However, the region also faces important challenges requiring a joint response. Significant differences also remain among the different areas in the region (for example between mountainous areas and the alpine foreland).

The European Council of 19-20 December 2013 invited the Commission, working with Member States, to draw up an EU Strategy for the Alpine Region (EUSALP), building on the solid background of cooperation in the region, in order to address the above issues. This strategy was adopted by the European Commission on 28 July 2015 and endorsed by the European Council on 28 June 2016.

The preparatory action aims at providing support for the implementation of the EUSALP. The main added value of the strategy, which is based on experiences and outcomes of the former macro-regional strategies, will consist in a new relationship between metropolitan, peri-mountain and mountain areas that will boost the economy and social prosperity of the area. The preparatory action aims to support the strategy in the field of environment, transport and copes with the depopulation of the area. It will help Action Group leaders to better coordinate the activities of different partners and stakeholders in the Alpine Region (at political, institutional, technical and operational level) and it will strengthen the cooperation dimension between Member States and regions. The action groups are thematic working groups of the Alpine Strategy. They are composed by regions and member states; who implement the objectives of EUSALP.

In 2017, first year of implementation for EUSALP a grant was awarded to the Land of Salzburg, as the managing authority of the Alpine Space transnational programme, in order to steer all the administrative arrangements such as organisation for the collection and assessment of a limited number of projects in each priority, selection of projects, follow-up of project results, follow-up and control of expenditures.

Intentions for the current year 2018:

The Annual Forum of the EUSALP is a yearly event that provides the necessary high level political and economic platform that is a prerequisite for a successful implementation of the Strategy. During the days of the Annual Forum the key representatives and actors of the region exchange on current events and also get the opportunity to discuss possible common solutions to the key challenges facing the strategy.

In addition, in 2018 the Alpine Region Preparatory Action Fund (ARPAF), which was launched in 2017, will be prolonged to a second phase (ARPAF II). Additional measures will address governance issues, identification of gaps, definition of a communication strategy and reporting and monitoring.

In 2018, the Alpine Youth Initiative will also be set up.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	12 September 2017 C(2017)6064 final
Publication of call for proposals / call for tender	N/A, direct grant
Closing date of call for proposals / call for tender	N/A, direct grant
Award decision	6 December 2017
Pre-financing payment(s)	January 2018
Interim payment(s)	2018
Final payment(s)	2020

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	Mid-May
Publication of call for proposals / call for tender	N/A, direct grant
Closing date of call for proposals / call for tender	N/A, direct grant
Award decision	June 2018
Pre-financing payment(s)	Third/fourth quarter 2018
Interim payment(s)	2019
Final payment(s)	2019-2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision/Work Programme C(2017)6064 of 12 September 2017 sets out the main elements for the implementation of the preparatory action.

It is available at the following address:

http://ec.europa.eu/regional_policy/en/newsroom/funding-opportunities/financing-decisions/.

The Commission Financing Decision for 2018 will be adopted in May.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

In the long term, follow up activities shall be taken up by the Interreg Alpine Space Programme (Interreg transnational programme). Several cooperation programmes in the 2014-2020 programming period are fully matching the macro-regional strategies in the Baltic, Danube, Adriatic-Ionian and Alpine areas. Not only in terms of geography, but they are also meant to provide key support to their implementation. In this context, all four programmes have included a priority axis based on thematic objective 11 (Enhancing institutional capacity of public authorities and stakeholders and efficient public administration), which provides support to the key implementers and other horizontal activities. This support will take different shapes in each programme, taking into account the specificities and stages of implementation of each macro-regional strategy.

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
EUSALP steering action	Land of Salzburg, managing authority of the Alpine Space transnational programme	2 000 000

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action will be implemented through direct grants and service contracts following public procurement rules and procedures.

5.6 Types of beneficiaries

Public authority (Land Salzburg, Austria), regional government of Tyrol (Austria).

1. Heading

13 03 77 23: Preparatory Action — Urban agenda for the EU

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 500 000,00	236 240,00	p.m.	1 250 000	p.m.	1 000 000	0,0 %	-20,0 %
Reserve							0,0 %	0,0 %
Total	2 500 000,00	236 240,00	p.m.	1 250 000	p.m.	1 000 000	0,0 %	-20,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The preparatory action supports a Secretariat for the Urban Agenda for the EU. This Secretariat assists the working groups (called 'Partnerships') set up under the Urban Agenda for the EU to identify innovative solutions to the problems faced by cities and the potential they could harness. The aim of the preparatory action is to facilitate the participation of cities in this innovative approach and to experiment a new way of involving cities in policy making and delivery. At the end of the preparatory action, the sustainability of this approach will be reviewed. If the conclusion is that it should be continued, technical assistance of the Commission could be used.

This preparatory action will be particularly important, in order to provide input to the (re)designing of various EU policies, including cohesion policy. Indeed, the Partnerships will all have to identify how to make better use of funds and knowledge (base and exchange) to support the work in cities and by cities, thereby providing useful inputs for policy makers.

The Commission's objectives for the preparatory action are in line with the budgetary remarks, the Pact of Amsterdam (adopted by the ministers in charge of urban matters on 30 May 2016) and the Council Conclusions on the Urban Agenda for the EU (24 June 2016).

The preparatory action is implemented in order to support the Partnerships through the establishment of a Secretariat for the Urban Agenda for the EU. A framework contract was put in place in 2016 in order to provide assistance to the partnerships under the Urban Agenda for the EU. This framework contract is

complemented by individual specific contracts (one per partnership and one for the reimbursement of travel costs of some members of the partnerships).

The tasks of the Secretariat are in line with the budgetary remarks:

- support the coordinators;
- provide expertise to the Partnerships;
- outreach and Communication;
- reimburse travel costs;
- support the Commission.

The decisions are taken by the Commission and implemented by the Secretariat.

Intentions for the current year 2018:

Continue the implementation of the framework contract.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	21 June 2017
Publication of call for proposals / call for tender	05 July 2016 (for the framework contract)
Closing date of call for proposals / call for tender	11 August 2016
Award decision	November 2016
Pre-financing payment(s)	Payments are regulated in the specific contracts
Interim payment(s)	2018-2019
Final payment(s)	2020

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017) 4139 of 21 June 2017 sets out the main elements for this preparatory action and is available at the following address:

http://ec.europa.eu/regional_policy/en/newsroom/funding-opportunities/financing-decisions/

http://ec.europa.eu/regional_policy/sources/tender/pdf/official/2017_urban_agenda_eu.pdf

The framework contract with the contractor sets out the main elements for the implementation of the preparatory action.

The call has been published in the Official Journal of the European Union, and is available at the following address:

<http://ted.europa.eu/TED/notice/udl?uri=TED:NOTICE:227373-2016:TEXT:EN:HTML&tabId=0>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

The tasks of the Secretariat are:

- support the coordinators;
- provide expertise to the partnerships;
- outreach and communication;
- reimburse travel costs;
- support the Commission.

- 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Secretariat for 12 Partnerships	Ecorys	3 439 100

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action will be implemented through specific service contracts under an existing framework contract (Contract notice 2016/S 127-227373 of 05 July 2016). The company Ecorys has been awarded the above framework contract following the open call for tenders (contract award notice 2016/S 240-436892).

5.6 *Types of beneficiaries*

The beneficiaries are: the Commission, the coordinators, the partnerships.

Heading 2 – Sustainable Growth: Natural Resources

1. Heading

05 08 77 09: Preparatory Action — Union plant and animal genetic resources

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	384 800,00	p.m.	384 800	p.m.	192 400	0,0 %	-50,0 %
Reserve							0,0 %	0,0 %
Total	0,00	384 800,00	p.m.	384 800	p.m.	192 400	0,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2013 and 2014 budget.

Objectives followed during 2017 and previous years' implementation:

This preparatory action consists of two projects (2013 and 2014), both in the form of a study. The preparatory action shall provide support for Europe-wide interlinking projects and activities in conservation and sustainable use of genetic resources in the framework of upcoming rural development programmes. It shall contribute to delivering the elements for a third Union genetic resources programme, specifically on the following questions:

- how to improve communication between Member States and their authorities on best practices and harmonisation of efforts in conservation and sustainable use of genetic resources;
- how to enhance networking between key stakeholders including farmers, researchers, gene banks, NGOs and end-users, and to enhance marketing opportunities in the context of quality schemes and short food chains;
- how to improve the exchange of knowledge and research in enhancing genetic diversity within agricultural systems;
- how to adapt breeding methods and legislation to the need for the conservation of genetic diversity and sustainable use of genetic resources;
- how to contribute to the successful implementation of rural development measures through actions in the field of genetic diversity in agriculture;
- how to reduce the administrative burden so as to improve access to actions.

The aim of the 2013 study was to provide a comprehensive description and analysis of the state of the art of genetic resources-related activities in the EU as well as practical recommendations based on, inter alia, case studies, and interviews of relevant actors involved in the conservation and sustainable use of agrobiodiversity. The main outputs aimed at:

- identifying, describing and analysing the activities on the conservation and sustainable use of agricultural and forest genetic resources;
- identifying missing links and areas of actions to be addressed in future actions on the conservation and sustainable use of genetic resources in agriculture and forestry, i.a. as a contribution to the exploration of the scope for developing a strategy for the conservation of genetic diversity in the EU.

The aim of the 2014 study is to foster the use of currently neglected agricultural genetic resources. It intends to provide different categories of stakeholders with concrete examples to valorise the use of neglected breeds and varieties in an economically viable way. These concrete examples will be delivered in twofold manner: through the implementation of four projects and the compilation of a compendium of projects. Based on that, a 'user guide' for stakeholders will be developed.

Finally, a communication campaign will be carried out in order to raise awareness about the outcomes of the preparatory action and to enhance the willingness of stakeholders to invest in neglected agricultural genetic resources.

The 2013 study was finalised in 2016 and is going to be published in April/May 2017, available at the following address: http://ec.europa.eu/agriculture/external-studies_en.

Intentions for the current year 2018:

The contract for the 2014 study was signed and the work started in December 2015. The preparatory action is on-going, and has been implemented over a period of 3 years. The final report is scheduled for December 2018, after the Commission's verification and approval, it will be published in first half of 2019.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2013 commitment appropriations

Step	Date
Adoption of financing decision	23 April 2013 (C(2013)2267)
Publication of call for tender	13 August 2013
Closing date of call for tender	04 November 2013
Award decision	04 July 2014
Pre-financing payment(s)	N/A
Interim payment(s)	Interim payments were made in 2015 and 2016
Final payment(s)	The final payment was made in 2016

– 2014 commitment appropriations

Step	Date
Adoption of financing decision	30 April 2014 (C(2014)2753)
Publication of call for tender	15 August 2015
Closing date of call for tender	05 October 2015
Award decision	11 December 2015
Pre-financing payment(s)	N/A

Interim payment(s)	Interim payments are envisaged in 2018
Final payment(s)	The final payment is envisaged in 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2013)2267 adopted on 23 April 2013 sets out the main elements for the implementation of the preparatory action.

The 2013 study was finalised and is available at the following address: https://ec.europa.eu/agriculture/external-studies/2016-preparatory-action-eu-plant-and-animal-genetic-resources_en.

Commission Financing Decision C(2014)2753 adopted on 30 April 2014 sets out the main elements for the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

Support for conservation and sustainable use of genetic resources in agriculture is foreseen under the agro-environment-climate measure (Art. 28) of Regulation (EU) 1305/2013. Research and Innovation Programme Horizon 2020 supports agricultural and forestry genetic resources through regular calls for proposals in its Work Programmes. Projects in support of genetic resources may also be funded through Life, the EU's financial instrument supporting environmental, nature conservation and climate action projects.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

– 2013 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Preparatory action: EU plant and animal genetic resources in agriculture - study	Valdani Vicari & Associati Srl (VVA)	1 488 460

Main themes of the 2013 study:

- improvement of the communication between Member States concerning best practice and the harmonisation of efforts in the conservation and sustainable use of genetic resources;
- enhancing networking among key stakeholders and end-users in view of exploring marketing (and other cooperation) opportunities, such as provided by quality schemes and short supply chains;
- improvement of the exchange of knowledge and research on genetic diversity in agricultural systems;
- adaptation of breeding methods and legislation to the need of conservation and sustainable use of genetic diversity;
- contribution to the successful implementation of rural development measures concerning genetic diversity in agriculture;

- explore bottlenecks and enabling conditions for the sustainable use of genetic resources in agriculture;
- reduction of the unnecessary administrative burden so as to provide better access to actions.
- 2014 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Preparatory action: EU plant and animal genetic resources in agriculture - study	Arcadia International E.E.I.G., representing the consortium composed by Arcadia International E.E.I.G. and Areté srl	962 000

Main steps of the 2014 study:

- development of specific strategies and implementation plans;
- management of four projects;
- compendium of projects;
- communication and dissemination of results.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

This preparatory action is implemented by means of an open call for tenders.

5.6 *Types of beneficiaries*

The study is conducted by the successful tenderer of the open call for tenders and it was awarded to the company Arcadia International E.E.I.G., representing the consortium composed by Arcadia International E.E.I.G. and Areté srl.

The results of the study are to be used, among other, for the policy developments in the area of genetic resources.

1. Heading

05 08 77 16: Preparatory Action — Smart rural areas in the 21st century

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		3 300 000	1 650 000	p.m.	990 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		3 300 000	1 650 000	p.m.	990 000	-100,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The purpose of this preparatory action is to set up plans, to develop strategies for establishing the smart villages and to test them in real-life conditions.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	Second quarter 2018
Publication of call for proposals / call for tender	Third quarter 2018
Closing date of call for proposals / call for tender	Fourth quarter 2018
Award decision	End of 2018
Pre-financing payment(s)	N/A
Interim payment(s)	2019 and 2020
Final payment(s)	2021

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision is still to be developed and it will set out the main elements for the implementation of the preparatory action.

The tender specifications and other tender documents of the call for tenders for the preparatory action are in preparation.

- Do similar activities exist, which are already covered by a legal basis?

It will be carried out in complementarity to the currently on-going pilot project Smart Eco-Social Villages (started in December 2017, running until April 2019) as well as actions and initiatives under the EU Action for Smart Villages (more information is available at the following address: https://enrd.ec.europa.eu/news-events/news/eu-action-smart-villages_en). The documents of the call for tender are available at the following address: https://ec.europa.eu/agriculture/calls-for-tender/115363-2017_en.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

The information about a contractor and an amount can be communicated once the procurement procedure for the open call for tenders is finalised, currently these details are not yet known. The scope and main themes of the preparatory action are being currently elaborated and they will be publicly available once the open call for tenders is published.

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action will be implemented by means of an open call for tenders to be organised in 2018.

5.6 Types of beneficiaries

The preparatory action should be conducted by the successful tenderer of the open call for tenders.

The results of the preparatory action may be used, among others, by the European institutions as well as for the representatives of local governments in the Member States.

1. Heading

07 02 77 45: Preparatory Action — Operationalising capacity building for programmatic development and mapping objectives in the field of environmental taxation and budgetary reform

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		750 000	375 000	p.m.	225 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		750 000	375 000	p.m.	225 000	-100,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2018 budget.

Intentions for the current year 2018:

The preparatory action will build on the successful pilot project from 2015 entitled "Capacity building, programmatic development, and communication in the field of environmental taxation and budgetary reform" (in operation in 2016-2017) and will aim at putting its conclusions into action. This will be achieved by:

- developing guidance to the stakeholders (including tool-kits) to achieve a higher level of their participation in environmental taxation domain;
- following the thematic areas from the 2015 pilot, mapping implementation gaps in Member States that could be addressed by introduction of economic instruments;
- complementing the 2015 project by analysing subsidies that trigger environmentally harmful behaviours and where their reform would be necessary. Subsidies' analysis will be done following the areas tackled in the 2015 project, and will include an analysis of the consequences of their reform.

All the above-mentioned actions will be supported by numerous workshops to have a wide consultation with stakeholders, build capacity among them, especially as regards the subsidies part, and get a broad feedback from them.

The main emphasis will be given on providing the appropriate guidance and information to the stakeholders, civil society actors and decision-makers in the field to be more and better informed and equipped when involved in policy-making.

It should be noted that the amount assigned to this pilot project was reduced in comparison to the initial proposal (from EUR 1 000 000 to EUR 750 000), the scope of this pilot project will be adapted accordingly.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for tender	Third/fourth quarter 2018
Award decision	Third/fourth quarter 2018
Pre-financing payment(s)	N/A
Interim payment(s)	2018/2019
Final payment(s)	End 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision expected to be adopted by the end of May 2018 will set out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of the pilot project, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project will be implemented with the support of a procurement contractor selected on the basis of a call for tenders.

5.6 *Types of beneficiaries*

Policy makers, civil society.

1. Heading

11 06 77 13: Preparatory Action — Common curriculum for skippers of small commercial vessels

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	750 000,00	0,00	p.m.	300 000	p.m.	300 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	750 000,00	0,00	p.m.	300 000	p.m.	300 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under 2017 budget.

Objectives followed during 2017 and previous years' implementation

The financing decision was adopted in December 2017.

Intentions for the current year 2018:

The overall objective of this preparatory action is that Member States will recognise the 'core curriculum' and allow holders of it to operate small commercial vessels in their waters, providing that the holder has also fulfilled the requirements of any 'national specific qualifications' for that Member State. This means that a skipper, instead of having to repeat the entire professional qualifications curriculum for each Member State where he/she wishes to operate, need only 'top up' the common curriculum with any additional qualifications the Member State in question may require.

A possible step further might be that Member States, as a result of this last phase of the project, will agree to work towards a commonly agreed full EU professional skippers' licence that would coexist with the national licences.

Following adoption of the financing decision, a call for proposals will be launched.

Activities expected to be covered (to be further specified in the call for proposals):

- a mapping and analysis of current professional qualification requirements for SCV skippers covering all 28 Member States;
- production of a proposed common qualification curriculum valid across the EU and a complete set of national specific qualifications additional to the common one;
- organisation of interactions (such as meetings) with MS authorities and relevant stakeholders.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

2017 appropriations

Step	Date
Adoption of financing decision	December 2017
Launch of the call for proposals	April/May 2018
Grant agreement signature	October/November 2018
Payment of pre-financing	November/December 2018
Interim payment(s)	First/second quarter 2019
Project ending date	June 2019
Final payment	Second half of 2019 / beginning of 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)7844 was adopted in December 2017. The call for proposals is about to be finalised, to be published in April/May 2018.

Reference documents: results of the project TCC-SCV, funded by Erasmus + and running from 2014 to 2016. Notably the proceedings of its closing conference, "Skippers Working Without Borders", Brussels, June 2016. More information is available at the following address: www.tcc-scv.eu.

- Do similar activities exist, which are already covered by a legal basis?

There has been an Erasmus+ call for proposals (EACEA/04/2017, published OJ 26/1/2017), under theme KA2 – Cooperation for Innovation and Exchange of Good Practices. However, shipping is not included in the priority sectors eligible for a Sector Skills Alliance support under this call for proposals.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Directive 2005/36, amended by Directive 2013/55, does introduce mutual recognition of maritime professional qualifications, but only for skippers of large ships (above 24 metres). The estimated 25,000 skippers in Europe of small commercial vessels (SCV) are not covered by this Directive.

Whether this project will be followed up by a proposal to expand the Directive to also cover SCV skippers, is still open. It will depend on the results of the project itself, notably the response of Member States during phase three of the project.

5.4 *Type of activities financed, number and amounts*

Since the grant agreement has not been concluded yet, more details will be available in the fourth quarter of 2018.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action will be implemented by a call for proposals (action grant).

5.6 *Types of beneficiaries*

To be defined in the call for proposals.

Heading 3 – Security and Citizenship

1. Heading

09 02 77 04: Preparatory Action — European Centre for Press and Media Freedom

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	1 113 321,28	p.m.	1 100 000	p.m.	300 000	0,0 %	-72,7 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	1 113 321,28	p.m.	1 100 000	p.m.	300 000	0,0 %	-72,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for the third year under the 2017 budget.

This preparatory action follows a pilot project (09 02 77 03) voted under 2013 and 2014 budgets.

Objectives followed during 2017 and previous years' implementation:

The main objective has been to facilitate the operation of a European Centre for Press and Media Freedom. The centre acts as a 'drop-in-point' for journalistic organisations, individuals, and media companies facing media freedom violations.

Intentions for the current year 2018:

This preparatory action has two main objectives:

- facilitate the operation of a European Centre for Press and Media Freedom. The centre acts as a 'drop-in-point' for journalistic organisations, individuals, and media companies facing media freedom violations.
- developing and running a cross-borders investigative journalism funding scheme.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	April 2017
Invitation to submit a grant proposal	November 2017
Deadline for submission of a grant proposal	December 2017
Award decision	25 January 2018
Pre-financing payment(s)	February 2018
Interim payment(s)	October 2018
Final payment(s)	April 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	27 April 2016
Invitation to submit a grant proposal	28 September 2016
Deadline for submission of a grant proposal	13 March 2017
Award decision	27 April 2017
Pre-financing payment(s)	May 2017
Interim payment(s)	November 2017
Final payment(s)	May 2018

– 2015 commitment appropriations

Step	Date
Adoption of financing decision	16 June 2015
Publication of call for proposals	16 October 2015
Closing date of call for proposals	30 November 2015
Award decision	18 February 2016
Pre-financing payment(s)	May 2016
Interim payment(s)	May 2017
Final payment(s)	June 2017

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2015)3917 of 16 June 2015 sets out the main elements for the implementation of the preparatory action.

The Commission Financing Decision C(2016)2411 of 27 April 2016 sets out the elements for the implementation of the preparatory action.

The Commission Financing Decision C(2017)2755 of 28 April 2017 sets out the elements for the implementation of the preparatory action.

More information is available at the following address: <https://ec.europa.eu/digital-single-market/en/news/preparatory-action-european-centre-press-and-media-freedom-ecpmf>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
"The European Centre for Press and Media Freedom – ECPMF - Preparatory Action 3 "	Medienstiftung de Stadt-und Kreissparkasse Leipzig, International Cooperation Centre/Osservatorio Balcani e Caucaso Transeuropa – (OBCT), South East European Media Organization (SEEMO), Ossigeno per l'Informazione, International Press Institute, Index on Censorship	1 000 000

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
"European Centre for Press and Media Freedom –Preparatory Action 2"	Medienstiftung de Stadt-und Kreissparkasse Leipzig, International Cooperation Centre/Osservatorio Balcani e Caucaso Transeuropa – (OBCT), South East European Media Organization (SEEMO), Ossigeno per l'Informazione, International Press Institute, Index on Censorship	500 000

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
"The European Centre for Press and Media Freedom – Phase 2"	Medienstiftung de Stadt-und Kreissparkasse Leipzig, Fondazione Opera Campana dei Caduti, South East European Media Organization (SEEMO-IPI), Ossigeno per l'Informazione, Institute of European Media Law, Journalismfund.eu vzw	748 907
"Mapping Media Freedom in European spaces"	Index on Censorship	150 000
"The abuse of defamation laws in Europe: Exposing and Addressing the Threat to Media Freedom and Pluralism"	International Press Institute	94 126

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The Commission awarded a grant without a call for proposals in line with the conditions set out in Article 190(1) (f) of the Rules of Application of the Financial Regulation.

5.6 *Types of beneficiaries*

Non-profit organisations (the European Centre for Press and Media Freedom - ECPMF (now) has the status of a European Co-operative Society (SCE), a non-for profit body), EU-established organisations active in the field of press and media freedom.

1. Heading

09 02 77 08: Preparatory Action — Monitoring media pluralism in the digital era

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		750 000	375 000	p.m.	562 500	-100,0 %	50,0 %
Reserve						0,0 %	0,0 %
Total		750 000	375 000	p.m.	562 500	-100,0 %	50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

Commitment appropriations for this preparatory action were voted under the 2018 budget.

5.1 Objectives

Objectives followed during 2017 and previous years' implementation:

This preparatory action will aim at developing an instrument to measure risks to media pluralism in the digital era. It will support the development of a set of indicators to measure the specific risks to media pluralism in the online environment. It will then integrate those indicators in a broader instrument that measures risks to media pluralism both online and off-line. The implementation of the Media Pluralism Monitor in 2017 and previous years has been successful and now needs to be complemented to reflect the changes of the media environment in the digital era.

Intentions for the current year 2018:

The Commission is considering the possible award of a grant without a call for proposals, provided the conditions set out in Article 190(1)(f) of the Rules of Application of the Financial Regulation are met.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for proposals / Invitation to	Second quarter 2018

submit a proposal	
Closing date of call for proposals / Deadline for submission of proposal	Second quarter 2018
Award decision	June 2018
Pre-financing payment(s)	June 2018
Interim payment(s)	February 2019
Final payment(s)	September 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision expected to be adopted in May 2018 will set out the elements for the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of the preparatory action, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The Commission is considering the possible award of a grant without a call for proposals, provided the conditions set out in Article 190(1)(f) of the Rules of Application of the Financial Regulation are met.

5.6 *Types of beneficiaries*

EU-established organisations active in the field of media freedom and pluralism.

1. Heading

09 05 77 03: Preparatory Action — Action on subtitling including crowdsourcing to increase the circulation of European works

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	999 620,00	1 104 050,00	p.m.	225 000	p.m.	499 810	0,0 %	122,1 %
Reserve							0,0 %	0,0 %
Total	999 620,00	1 104 050,00	p.m.	225 000	p.m.	499 810	0,0 %	122,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2015, 2016 and 2017 budgets.

Objectives followed during 2017 and previous years' implementation:

The main objective for this preparatory action, since its launch, is to improve the circulation of European audiovisual works within the Union and to strengthen the competitiveness of the European audiovisual industry.

In 2015, a budget of EUR 1 000 000 was allocated and three projects were selected. Those projects started in January 2016 with a duration of one year. The specific objective of the first year was to test innovative solutions of obtaining translations which could reduce subtitling costs.

The objective of the second year was to increase the availability of European works by developing an online tool that could enable to track all language versions available for any given film. This could then enable service providers (e.g. Video on demand (VOD) services, catch-up TV services, festivals, cinemas etc.) to easily find and use the different language versions they need. One project was awarded EUR 450 000 in 2016 for the project to run throughout 2017. CinandoST, the platform enabling the tacking of language versions of films, was launched in end-November 2017.

In 2017, the third year of the preparatory action, the goals of the first two actions were brought together, for a total budget of EUR 1 000 000. The call for proposals launched in 2017 had two headings: to find innovative solutions for high-quality film translation (e.g. crowdsourcing, machine translation etc.) and to apply them to a curated catalogue of European works which should be then made available to VOD

services; and to enable tracking of language versions and to enable service providers (e.g. VOD services, catch-up TV services or cinemas) to easily find the language version of their choice. Three projects were selected under the first heading and one under the second heading.

Intentions for the current year 2018:

This is the implementation year for the four projects that were awarded in 2017.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2015 commitment appropriations

Step	Date
Adoption of financing decision	14 July 2015
Publication of call for proposals	17 July 2015
Closing date of call for proposals	25 September 2015
Award decision	24 November 2015
Pre-financing payments	21 & 23 December 2015
Interim payment(s)	N/A
Final payments	May/November 2017

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	27 April 2016
Publication of call for proposals	26 July 2016
Closing date of call for proposals	26 September 2016
Award decision	24 November 2016
Pre-financing payment	17 January 2017
Interim payment(s)	N/A
Final payment(s)	May 2018

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for proposals	23 June 2017
Closing date of call for proposals	15 September 2017
Award decision	12 December 2017
Pre-financing payment(s)	December 2017 & January 2018
Interim payment(s)	N/A
Final payment(s)	May/November 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2015)4715 of 14 July 2015.

The call for proposals CNECT 3225437/2015 is available at the following address: <https://ec.europa.eu/digital-single-market/news/call-proposals-fostering-european-integration-through-culture-providing-new-subtitled-versions>.

Brochure presenting the funded projects, which is available at the following address: http://ec.europa.eu/newsroom/dae/document.cfm?doc_id=46033 (also available on the page of the call).

Commission Financing Decision C(2016)2411 of 27 April 2016.

The call for proposals CNECT/2016/3998061 is available at the following address: <https://ec.europa.eu/digital-single-market/en/news/call-proposals-develop-online-tool-enabling-tracking-language-versions-films>.

The CinandoST platform is available at the following address: <https://cinando.com/en/FootPage?page=subtitles>.

Commission Financing Decision C(2017) 2755 of 28 April 2017.

The call for proposals CNECT 2017/3135124 is available at the following address: <https://ec.europa.eu/digital-single-market/en/news/preparatory-action-crowdsourcing-subtitling-increase-circulation-european-works>.

- Do similar activities exist, which are already covered by a legal basis?

There are no current actions aiming at developing new and innovative processes/ways (including crowdsourcing) to obtain or handle subtitling.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Possibly but the decision will be taken in the light of the results obtained.

5.4 Type of activities financed, number and amounts

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Working_(sub)_Title	UMWI - Under The Milky Way International (Paris)	350 000,00
SubtitleX.eu	EYZ Media GmbH (Berlin)	300 000,00
SUBurbia.EU	Way Film Translation UG (Berlin)	350 000,00

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
CinandoST 2017	SOGOFIF - Société de Gestion d'Operations Commerciales pour le Festival International du Film s.a.s. (Paris)	450 000,00

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
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CinandoST 2018	SOGOFIF - Société de Gestion d'Operations Commerciales pour le Festival International du Film s.a.s.	230 000,00
CompAsS	ZDF Digital Medienproduktion GmbH	425 000,00
MUBI	MUBI UK Limited	103 100,00
SubtitleBot	Limecraft n.v.	241 520,00

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Calls for proposals. Grants awarded following an internal evaluation process.

5.6 *Types of beneficiaries*

The preparatory action is open to legal entities established in the EU. The beneficiaries for the projects selected are private companies.

1. Heading

09 05 77 05: Preparatory Action — Subtitling European cultural television content throughout Europe

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	1 200 000,00	1 750 000	1 325 000	p.m.	977 466	-100,0 %	-26,2 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	1 200 000,00	1 750 000	1 325 000	p.m.	977 466	-100,0 %	-26,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016, 2017 and 2018 budgets. It follows a pilot project financed under the 2014 and 2015 budgets.

Objectives followed during 2017 and previous years' implementation:

In 2014, a call for proposals was published under a pilot project and one project was selected. This project started in January 2015 with a duration of two years. Results of the first phase of the pilot project were presented during an event organised by ARTE in March 2017 in Strasbourg to summarise the first phase of the pilot project "Fostering European integration through culture by providing new subtitled versions of selected TV programmes across all Europe".

In 2015, a second call for proposals was published under the same pilot project and two projects were selected to start in January 2016 with also a duration of two years. Results of the second phase of the pilot project will be presented during an event organised by both stakeholders (ARTE and VPRO) in April 2018 in Brussels to summarise the second phase of the pilot project.

In 2016, a first call for proposals was published under this preparatory action. Two projects were selected to start in January 2017 with a duration of two and a half years. Both stakeholders (ARTE and VPRO) shared the first results of the preparatory action in their interim reports.

In 2017, a second call for proposals was published under this preparatory action. One project was selected to start in January 2018 with also a duration of two and a half years.

The key objective of the action is to foster European integration through a wider dissemination of European audiovisual cultural content. The pilot project shall test the added value of subtitling existing audio-visual cultural on-line content; and its impact on audiences outside their country of origin through the development of new business models for European media stakeholders whilst enabling EU institutions to base future policy initiatives on the outcome.

The project shall include a scientific evaluation to measure and compare the outreach of content and the audience with and without different language versions, both within and outside Europe. The action will allow a transparent selection of programmes to be supported and should give all European stakeholders the opportunity to benefit from the experience and use the results.

Intentions for the current year 2018:

Continuation of the projects of the first and second call for proposals.

The preparatory action to be published in 2018 will aim to meet three specific objectives, namely:

- test new business models for European audiovisual media service providers and assess whether providing subtitled versions of European TV programmes can help bridge the difficulties caused by linguistic fragmentation and increase audience numbers both within and beyond the European Union;
- facilitate cross border online dissemination of cultural content which is often slowed down by linguistic borders;
- compare the audience reach of similar audiovisual content with and without subtitling and share the results publicly with EU stakeholders and public policy makers.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	27 April 2016
Publication of call for proposals	26 July 2016
Closing date of call for proposals	26 September 2016
Award decision	24 November 2016
Pre-financing payments	17 January 2017
2nd pre-financing payments	June 2018
Final payment(s)	November 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for proposals	21 June 2017
Closing date of call for proposals	15 September 2017
Award decision	12 December 2017
Pre-financing payment(s)	December 2017
2nd pre-financing payment(s)	June 2019
Final payment(s)	November 2020

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for proposals	May 2018
Closing date of call for proposals	July 2018
Award decision	September 2018
Pre-financing payment(s)	October 2018
2nd pre-financing payment(s)	June 2020
Final payment(s)	November 2021

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2016)2411 of 27 April 2016.

The call for proposals CNECT 2781934/2016 is available at the following address: <https://ec.europa.eu/digital-single-market/en/news/call-proposals-try-out-innovative-strategies-enhance-circulation-european-tv-content-throughout>.

Information on ARTE is available at the following address: <https://www.arte.tv/sites/en/corporate/what-we-do/?lang=en#about>.

Information on VPRO is available at the following addresses: <https://www.youtube.com/user/VPROinternational> and <https://www.youtube.com/user/VPROBacklight>.

Commission Financing Decision C(2017) 2755 of 28 April 2017.

The call for proposals CNECT 2017/3110435 is available at the following address: <https://ec.europa.eu/digital-single-market/en/news/call-proposals-preparatory-action-subtitling-european-cultural-tv-content-across-europe>.

The Commission Financing Decision expected to be adopted in May 2018 will set out the main elements for the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

General actions on subtitling exist within the distribution schemes of the Creative Europe Programme, according to Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Results of the first phase of the pilot project, "Fostering European integration through culture by providing new subtitled versions of selected TV programmes across all Europe", which preceded this preparatory action were presented during an event organised by ARTE in March 2017. ARTE Europe launched the project in November 2015 by publishing online its factual content with subtitles in English and Spanish (www.arte.tv/en and www.arte.tv/es). Through the first phase 570 hours of content were provided (= 1400 subtitled factual programmes) constituting a diverse catalogue of documentaries, magazine shows, short web documentaries (in addition to that 250 hours of performing arts, e.g. live concerts, were published). ARTE confirmed that there is a positive effect of subtitling content: subtitled versions contribute to the circulation of programmes and commercialisation of the programme internationally. ARTE used special tools to measure the audience, number of views and online visits (eStat Médiamétrie) and allowing for geolocalisation of viewers; on average they noted 100 000 views/month; 300 000 visits/month.

In the light of the results obtained it could be recommended to follow up the project with a legislative proposal once ongoing phases of the pilot projects and preparatory actions are finished. It could be also considered to include this type of support for innovative subtitling actions and strategies of online content in the future EU support programmes designed for culture and audiovisual sectors.

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
ARTE Europe - troisième phase	ARTE - Association Relative à la Télévision Européenne G.E.I.E. - FR	1 200 000,00
Youropa - connected by VPRO & Ericsson	Omroepvereniging VPRO - NL	300 000,00

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
ARTE Europe – phase 4	ARTE - Association Relative à la Télévision Européenne G.E.I.E. - FR	1 500 000,00

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Calls for proposals. Grants awarded following an internal evaluation process.

5.6 *Types of beneficiaries*

The preparatory action is open to legal entities established in the EU.

The beneficiaries for the projects selected in 2016 and 2017 are European public broadcasters.

1. Heading

09 05 77 06: Preparatory Action — Media literacy for all

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2018 budget.

This preparatory action follows a pilot project (09 05 77 04) voted under 2016 and 2017 budgets.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The overall objective of this preparatory action in 2018 is to continue to build on the achievements of the previous pilot project which, through specific actions, sought to increase critical thinking about the media among citizens of all ages; and to test the feasibility and usefulness of such actions. Critical thinking includes the ability to distinguish information from propaganda, to deconstruct media communication and information media policies and to interact with social media in a mindful way. Indeed, in an over-connected world, where new and social media play a crucial role, citizens need to be educated in order to have the necessary skills to take part in public life in a real and meaningful way. Education is the most important means the EU has to address new problems such as cyberbullying and the phenomenon of so-called 'fake news'.

The implementation of 'Media Literacy for All' will cover a wide range of EU Member States with the ultimate goal of improving the technical, cognitive, social, civic and creative skills of citizens in order to stimulate their civic engagement and their awareness of the importance of participating directly in society and democratic life. Media in this context includes all kinds of media outlets, such as radio, internet, TV broadcasting, newspapers and social media. The preparatory action targets citizens of all ages, with a particular focus on minorities, low-skilled people and those at risk of being socially marginalised.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for proposals / call for tender	Third quarter 2018
Closing date of call for proposals / call for tender	Fourth quarter 2018
Award decision	First quarter 2019
Pre-financing payment(s)	Second quarter 2019
Interim payment(s)	First quarter 2020
Final payment(s)	Third quarter 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision, expected to be adopted in May 2018, will further detail the implementation of the project.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 *Type of activities financed, number and amounts*

As 2018 is the first year of implementation of the preparatory action, these details are not yet known.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action will be implemented via the award of grants following a published call for proposals.

5.6 *Types of beneficiaries*

Any economic operator established in the EU Member States.

1. Heading

15 04 77 11: Preparatory Action — New narrative on Europe

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	616 719,80	361 888,78	p.m.	375 000	p.m.	180 000	0,0 %	-52,0 %
Reserve							0,0 %	0,0 %
Total	616 719,80	361 888,78	p.m.	375 000	p.m.	180 000	0,0 %	-52,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2015, 2016 and 2017 budgets.

Objectives followed during 2017 and previous years' implementation:

The key objective of this preparatory action is to foster debate with young people at grassroots level and collect fresh views about the EU's current challenges, ways forward and the future of the European project. It will have as priority to reach out to youth, as young people are not only the ones mostly concerned with the future, but also a part of the population mostly affected by the crisis. With the cooperation and assistance of young people, youth organisations and a limited number of personalities from the cultural and scientific world, acting as 'ambassadors', this preparatory action is expected to meet four specific objectives: to conduct events to foster dialogue and debate on the narratives for Europe in as many Member States as possible; to support and participate in public events with broad media coverage that are organised by youth, cultural, scientific and citizens' organisations and focus on the debate on the future of Europe; to extend the debate through the social media; and to ensure maximum inclusion of citizens by supporting independent media platforms covering the debate on the new narrative(s) for Europe.

2017 was the third and final year of this action. To implement the actions previously described, the Commission delivered the following activities:

- a flash Eurobarometer/survey to get the inputs from a representative sample of young people on the outcomes of the face-to-face and online debates from 2016 and first quarter of 2017 and understand differences in attitudes and opinions according to socio-economic background and country. This flash provided useful data about young people's ideas for the future of Europe and EU action and on youth, participation in civic and political life and on voluntary activities and solidarity.

- the organisation of at least two events with representatives from youth, education, cultural and communication sectors.

The "Meet-Up for Europe" aimed to analyse the "12 Ideas for the Future of Europe" report on the outcomes of the online and offline debates held in 2016/2017.

- the closing event to present the "YOUrope for Youth" Declaration with the views of young people on the future of Europe to Commissioner for Education, Culture, Youth and Sport and decision makers in January 2018. Costs for travelling, accommodation and meal arrangements will be covered for the participants.
- an educational online toolkit to keep supporting discussions across Europe with all the ideas, written and audiovisual materials produced by young people. It will be available in the EU's 24 official languages and will be accompanied with guidance on how to moderate the discussion. A communication campaign, including social media, to disseminate the results among the general public and with networks in education, culture, youth, EC trainees and other relevant ones.
- logistical support to the ambassadors, covering travel and accommodation arrangements and the logistical organisation of their attendance in public events.

Expected results:

- to provide a digital platform in which young people, and in particular non-political, non-educated youngsters or those with a deprived background can amplify the debate by sharing the topics, questions and material with existing networks active in youth, education and culture and beyond using new online methods;
- to connect people living in Europe to the European integration project via the arts and sciences.

Intentions for the current year 2018:

- to launch the educational online toolkit with the main outcomes of the project relating to the debate on the future of Europe.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2015 commitment appropriations

Step	Date
Adoption of financing decision	04 May 2015
Signature of Specific Contract under existing Framework Contracts	As from June 2015
Pre-financing payment(s)	N/A
Interim payment(s)	October 2015 – May 2016
Final payment(s)	March 2016 – December 2016

- 2016 commitment appropriations

Step	Date
Adoption of financing decision	31 March 2016
Signature of Specific Contract under existing	As from April 2016

Framework Contracts	
Pre-financing payment(s)	N/A
Interim payment(s)	June 2017 – May 2018
Final payment(s)	June 2017 – December 2018

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	09 June 2017
Signature of Specific Contract under existing Framework Contracts	As of August 2017
Pre-financing payment(s)	N/A
Interim payment(s)	June 2018
Final payment(s)	February 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decisions C(2015)2827 of 4 May 2015, C(2016)1848 of 31 March 2016 and C(2017) 3847 of 9 June 2017 sets out the main elements for the implementation of the preparatory action.

Financing decisions are available at the following addresses:
http://ec.europa.eu/dgs/education_culture/more_info/awp/docs/c-2015-2827_en.pdf;
https://ec.europa.eu/education/sites/education/files/c-2016-1848_en.pdf;
<http://ec.europa.eu/transparency/regdoc/rep/3/2017/EN/C-2017-3847-F1-EN-MAIN-PART-1.PDF>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. Given the positive outcomes of the project and to reach out to youngsters the Commission will envisage a more inclusive EU Youth Dialogue, with a focus on young people with fewer opportunities of up- keeping a dialogue on a more permanent basis, possibly within the framework of Erasmus+/Youth.

5.4 Type of activities financed, number and amounts

– 2015 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
New Narrative for Europe	Teamwork, Presto, Ecorys, AMEX	1 000 000

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
New Narrative for Europe	Teamwork, Presto, Ecorys, AMEX, Jamendo	500 000

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
New Narrative for Europe	Ecorys, Cecoforma, Presto, AMEX, Kantar, Mostra	750 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Framework contract

5.6 *Types of beneficiaries*

External contract-services companies

1. Heading

15 04 77 12: Preparatory Action — Europe for Festivals, Festivals for Europe (EFFE)

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	350 000,00	245 000,00	350 000	280 000	p.m.	105 000	-100,0 %	-62,5 %
Reserve							0,0 %	0,0 %
Total	350 000,00	245 000,00	350 000	280 000	p.m.	105 000	-100,0 %	-62,5 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016, 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

It follows from a pilot project "Europe for Festivals, Festivals for Europe" finished at the end of 2015. The results of this pilot project have been very satisfactory: more than 760 festivals (from 31 countries) have received a label, and 12 festivals have received an award for their quality and commitment to Europe.

Building on the results of the pilot project, and continuing the work initiated during the first two years, the preparatory action should continue to contribute to the objectives of the EU in the field of culture as stated in Article 167 of the Treaty on the Functioning of the European Union to: 'contribute to the flowering of the cultures of the Member States, while respecting their national and regional diversity' and encourage 'cooperation between Member States and, if necessary, supporting and supplementing their action' in the field of culture.

The preparatory action would continue to cover wider objectives and policies of the EU: to generate and deliver an added value to the activities carried out by festivals in Europe which contribute to the Europe 2020 strategy of smart, sustainable and inclusive growth, thanks to their spill-over capacity in the cultural and creative sectors, and in the field of tourism, regional and urban development.

It will also continue to capitalise on the potential of festivals in order to maximise their contribution to various EU policies, including innovation, diversity and social inclusion, education, youth work and intercultural dialogue.

Finally, by promoting the visibility of Europe's unique values, the European Platform for festivals would keep increasing the European dimension of festivals and, through them, reach a large number of citizens across Europe, including young people. These elements can be important for the shaping of the post 2020 successor programme for Creative Europe.

The first year of the preparatory action started in November 2016, under a Framework Partnership Agreement of 3 years, with a contribution of EUR 350 000 for the first year. The activities for the first year included the recruitment of the Festivals, their evaluation in view of the label, the procedures for the awards and the organisation of the award ceremony in September 2017. In May 2017, 715 festivals from 39 countries received the EFFE Label 2017 as 'remarkable festivals' in Europe. The second year of the preparatory action started in October 2017 with a contribution of EUR 350 000, and is currently ongoing for the third and last year with a budget of an additional EUR 350 000.

Intentions for the current year 2018:

The preparatory action will be implemented through a specific agreement under the framework partnership agreement signed in 2016. The beneficiary of the action is the European Festivals Association.

In its third and last year, the preparatory action will finalise the work of the previous two years, and of the pilot project, preparing the ground for the development of the award and the label into a permanent action, possibly European Festival Award and European Festival Label.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2016 commitment appropriations

Step	Date
Adoption of financing decision	31 March 2016
Publication of call for proposals	26 April 2016
Closing date of call for proposals	21 June 2016
Award decision	26 August 2016
Pre-financing payment(s)	9 November 2016
Interim payment(s)	N/A
Final payment(s)	January 2018

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	June 2017
Publication of call for proposals / request for proposal under existing framework partnership agreement	June 2017
Closing date of call for proposals / closing date for submission of specific proposal	August 2017
Award decision	September 2017
Pre-financing payment(s)	December 2017
Interim payment(s)	N/A
Final payment(s)	January 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	March 2018
Publication of call for proposals / call for tender	October 2018
Invitation to submit a proposal under an existing Framework Partnership Agreement	October 2018
Award decision	October 2018
Pre-financing payment(s)	Second semester 2018
Interim payment(s)	N/A
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The main elements can be found through the three following financing decisions:

Commission Financing Decision and the 2016 Annual Work Programme for the implementation of the first year of the preparatory action, C(2016)1848 of 31 March 2016, and the call for proposals (EAC/S05/2016), available at the following address: https://ec.europa.eu/culture/calls/2016-eac-s05_en.

Commission Financing Decision and the 2017 Annual Work Programme for the implementation of the second year of the preparatory action, C(2017)3848 of 9 June 2017 and the call for proposals (EAC/S25/2017)

Commission Financing Decision and the 2018 Annual Work Programme for the implementation of the third and last year of the preparatory action, C(2018)1602 of 21 March 2018.

Further information is available at the following address: <http://www.effe.eu>.

Expected results:

- develop a solid, credible and transparent mechanism for the selection of European festivals to receive a label and/or award;
- develop a branding strategy in the continuity of the pilot project, for a recognisable and valuable label and award;
- create a high visibility for the award and label, the winners and the values that labelled festivals promote, and engage different target groups for the label and awards;
- develop networking and partnership activities with a view to ensuring the sustainable impact of the label and awards.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The results of the preparatory action could be taken into account in the preparation of future action in the area.

5.4 *Type of activities financed, number and amounts*

– 2016 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Europe for Festivals, Festivals for Europe (EFFE)	European Festivals Association	350 000

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Europe for Festivals, Festivals for Europe (EFFE)	European Festivals Association	350 000

For 2018, information to be provided in the next financial year.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The first year of the preparatory action has started in November 2016 following the signature of a specific agreement under a framework partnership agreement with the European Festivals Association as single beneficiary and covering the promotion of the labelled and awarded festivals and the implementation of the branding strategy currently in development.

5.6 *Types of beneficiaries*

European Festivals Association is a private non-profit entity.

1. Heading

15 04 77 14: Preparatory Action — Open micro-business models for innovation in European family-owned heritage houses

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	0,00	p.m.	350 000	p.m.	150 000	0,0 %	-57,1 %
Reserve							0,0 %	0,0 %
Total	500 000,00	0,00	p.m.	350 000	p.m.	150 000	0,0 %	-57,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The objective of the preparatory action is to strengthen the capacity of private owners of heritage houses and equip them with innovative business models.

There is a clear momentum for cultural heritage as a strategic resource for a sustainable and peaceful Europe. EU Council's Conclusions of May 2014, the Commission Communication of July 2014 and Council Conclusions of November 2014 recalled the importance of activating spill-over effects and synergies across different stakeholders to safeguard, develop and transmit cultural heritage to future generations.

European family-owned heritage houses are a key component of EU cultural heritage and contribute to the attractiveness of Europe's regions, cities, towns and rural areas. Lacking the capability to sustain the owned cultural heritage legacies, households and families strive to develop and adopt innovative business models, relying upon traditional solutions (i.e. visits, events, etc.) and models that are not adapted to seize the opportunities created by the digital economy and CCI spill over effects on the economy and society. Thus innovation is a vital aspect for strengthening the contribution of family-owned heritage houses to the future strategy for cultural heritage. Adopting innovating strategies which may include inter alia the use of technology and ICTs as well as the creation of partnerships and alliances could further strengthen the sustainability of the business models of family-owned heritage.

Furthermore, a long-standing lack of maintenance and a weak culture of stewardship increases the risk of deterioration and neglect that should be prevented by targeting the challenges specific to family-owned cultural heritage houses.

Intentions for the current year 2018:

The preparatory action will map the existing business models that are being used by family-owned heritage houses in the EU, compare them; identify best practices and potential innovations. It will also quantify and qualify (estimate) the economic value of family-owned heritage houses in the EU and identify their potential to contribute to various EU policies, including innovation, social inclusion, education, youth work and intercultural dialogue. A clear added value will derive from the activation of synergies with current EU actions dedicated to cultural heritage, such as the European Heritage Days, EU Prize for Cultural Heritage, and European Heritage Label.

It will also be an opportunity for cross-fertilisation and stronger interaction between family-owned heritage houses and other cultural and creative sectors and local communities, maximising the benefits in the territories. The action will for example examine how family-owned heritage houses can help engaging with local or regional education institutions or youth projects to reengage youths with their community and encourage them to critically engage with the past and its effects on today.

It will also tap on issues regarding the transmission of knowledge of cultural heritage to the younger generations, the acquisition of heritage skills by the new generation, as well as the participatory governance for cultural heritage among public and private actors.

In addition the preparatory action will contribute to the objectives of the European Year of Cultural Heritage through dissemination and awareness raising of European value of heritage. It will be an opportunity to highlight the importance of education, training and innovation for the maintenance and support of cultural heritage. It will also transmit knowledge of cultural heritage and focus on the acquisition of heritage skills by young people, as well as participatory governance for cultural heritage among public and private actors.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	June 2017
Publication of call for tender*	June 2017
Closing date of call for tender	September 2017 and January 2018
Award decision / Signature of contract	Expected 2018
Pre-financing payment(s)	N/A
Interim payment(s)	First interim payment: June 2018; Second interim payment: December 2018
Final payment(s)	2019

* Two call for tenders were published (EAC/05/2017) and (EAC/50/2017).

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision adopted in June 2017 sets out the main elements for the implementation of the preparatory action.

Commission Financing Decision and the 2017 Annual Work Programme for the implementation of the second year of the preparatory action, C(2017)3848 of 9 June 2017 and the call for tender (EAC/50/2017) published on the Europa site. The link is available at the following address: https://ec.europa.eu/culture/calls/open-micro-business-models-innovation-european-family-owned-heritage-houses_en.

Expected results:

It is expected that the selected organisation/consortium will:

- produce a study which will include:
 - an analysis of peculiarities of family-owned heritage houses and their business ecosystems;
 - a mapping and a comparative analysis of micro business models of family-owned heritage houses in the 28 EU Member States and an identification of innovative models and best practices;
 - a quantification and qualification of the actual and potential social and economic value of family-owned heritage houses in the EU;
 - an analysis of the economic and social potential of a stronger interaction with local communities and the cultural and creative ecosystems of the territories concerned (in particular the SMEs linked to services and cultural events which are active in all the sectors covered by Creative Europe), in order to maximise the benefits in the territories while enhancing the attractiveness of the sites;
 - recommendations for policy makers at European, national and regional levels.
- communicate the project results:
 - the organisation of a workshop in the first quarter of 2018, to facilitate the identification of case studies in best practice and the sharing and comparison of innovative models between stakeholders;
 - the production of informative material (guidelines, toolbox, leaflets);
 - the identification of interactive communication tools through which this material can be publicised;
 - the organisation of a conference involving approximately 300 representatives of national and regional stakeholder; organisations and policy makers to present and discuss the study results and propose an action plan.
- contribute to the objectives of the European Year of Cultural Heritage through:
 - the production of informative and educational material (leaflets, brochures) on the value of family-owned heritage for Europe, promoting understanding of European heritage and to be disseminated during the European Year of Cultural Heritage 2018;
 - the encouragement of increased participation of family-owned heritage houses in the events organised during the European Heritage Days in particular and the European;
 - the Year of Cultural Heritage in general.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

N/A

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action will be implemented through a second call for tenders published in the last quarter of 2017.

The service contract will be signed in 2018.

5.6 *Types of beneficiaries*

Public or private entities.

1. Heading

15 04 77 17: Preparatory Action — European Houses of Culture

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		750 000	375 000	p.m.	187 500	-100,0 %	-50,0 %
Reserve						0,0 %	0,0 %
Total		750 000	375 000	p.m.	187 500	-100,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The concept of European Houses of Culture is one of the tools for enhanced EU cooperation in the area of external cultural relations. Such houses should provide spaces, physical and/or virtual, within which EU institutions, national cultural institutes and other stakeholders can pool their resources together. Nevertheless, the role, function and specific objectives of these institutions are still to be defined.

This project aims to outline how such institutions could best contribute to the implementation of the EU strategy on international cultural relations, through better cooperation between national institutes (achieving economies of scale among EU Member States), EU Delegations and the third countries in question. It should look at how such Houses could best promote EU visibility in third countries, increase people-to-people contacts, and reach more widely local stakeholders.

This preparatory action should firstly explore the current functioning and use of existing permanent or temporary structures, either physical or digital, in third countries. It will test and demonstrate various existing models to evaluate their effectiveness and potential. It will involve all stakeholders, in particular local stakeholders, through workshops and other means (taking a bottom up, people-to-people approach).

In a second phase, the project should design possible/alternative models that would best contribute to increasing collaboration at European level and to the implementation of the new EU strategic approach to international cultural relations.

Greater collaboration among cultural institutes, EU Delegations and member states would contribute to more opportunities to develop trusted and credible partnerships with civil society, gradual alignment with the EU strategy for cultural diplomacy, as well as with EU geographic and thematic priorities.

Such models could include different formats, such as permanent structures, pop-up formats, festival pavilions or purely digital tools.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	March 2018
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision/ Signature of the grant agreement with EUNIC	Third quarter 2018
Pre-financing payment(s)	2018 & 2019
Interim payment(s)	N/A
Final payment(s)	2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The main elements can be found through the following 2018 financing decision:

Commission Financing Decision and the 2018 Annual work programme for the implementation of the first year of the preparatory action, C(2018)1602 of 21 March 2018.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

N/A

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action will be implemented through a joint project with EUNIC. The grant will be awarded to European Union National Institutes for Culture (EUNIC) on the basis of Article 190(1)(f) RAP due to its unique expertise and network of all the EU national cultural institutes present in over 100 countries worldwide.

5.6 *Types of beneficiaries*

Public body.

1. Heading

17 03 77 15: Preparatory Action — European study on the burden and care of epilepsy

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	615 000	p.m.	615 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	615 000	p.m.	615 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2014 budget.

Objectives followed during 2017 and previous years' implementation:

The project ESBACE started in 2015 following a call for proposals organised in 2014. The project runs until 2018.

ESBACE (European study on the burden and care of epilepsy) aims to facilitate the development of high quality and equitable care throughout Europe by providing harmonised data on the burden of epilepsy and on care provision in selected European Union Member States. Specifically, ESBACE seeks to generate data on:

- the prevalence of epilepsy;
- the diagnostic barriers and treatment gaps;
- the burden of epilepsy as expressed in stigma and impact on quality of life;
- the cost of epilepsy;
- the current management of patients presenting to hospitals with seizure disorders;
- the existing national resources for epilepsy care in EU states;
- the validity of Health Care Registers to assess the burden of epilepsy.

ESBACE will deliver new information on the prevalence of epilepsy in four EU states from different regions and with different resources and health care. This will reveal if there are significant differences in the prevalence of epilepsy.

ESBACE will provide a comparison of prevalence estimates using different methods and thereby demonstrate if the burden of epilepsy can be assessed through data generated from national health registries, which in that case could be a recommendation for sustained monitoring.

The seizure management audit will provide information on how services are coordinated and delivered, as well as comparative information on the quality of care.

The data on stigma can be used for educational activities and public campaigns.

The survey of epilepsy care will identify deficiencies to be corrected as well as good examples to be followed.

Milestones reached in the past 12 months include:

- the newsletter with information on ESBACE to all European International League Against Epilepsy (ILAE) chapters. The ILAE is a federation of over 100 chapters. There is only one chapter recognised per country. Each national chapter has its own constitution and bylaws and its own president and officers, elected by individual members of each chapter (more information is available at the following address: <http://esbace.eu/images/ESBACENewsletterSept2015%20final.pdf>);
- the website, available at the following address: www.esbace.eu, was launched;
- the first annual report was delivered;
- the methodology to determine prevalence and incidence of epilepsy in four countries was been agreed upon;
- commencement of fieldwork: Ethical approval has been obtained in Denmark, Ireland, Austria and Romania. Start-up meeting arranged in Copenhagen 11 October 2016 - hereafter fieldwork has started. Expected delay approx. 6 months. It is expected that it will be possible to complete WP4 within the study period (i.e. prior to end of the ESBACE study period August 2018). The study protocol for the cost study has been completed;
- the statistical plan for the cost study has been completed;
- the case report forms have been completed;
- measures to be used to document stigma and quality of life were identified. A total of five standardised measures will be used to assess quality of life and the impact of stigma among adults participating in the prospective one year study. These measures are unsuitable for children and cannot be completed by proxy. The five measures comprise: Hospital Anxiety and Depression Scale; SF36; Liverpool Impact of Epilepsy Scale; Revised Stigma Scale; Aldenkamp & Baker Neuropsychological Assessment Scale.
These measures have been translated (using forward/backward translation) to local languages and are accessible via the online data collection server hosted by Aarhus University Hospital (available at the following address: <https://redcap.au.dk/>).
- identification of between five to ten hospitals in each of the four EU countries in which the audit will be run. The finalisation of the audit data collection tool and the uploading of it to the server is also expected to be completed in time to allow the completion of the WP5 within the study period.
- the finalised second version of the European Epilepsy Services Inventory has been completed.

Intentions for the current year 2018:

A delay on Work Package 4 has resulted in delay of the ESBACE project, and they have asked for a six-month extension. It is still the intention to disseminate the results concerning prevalence, cost, stigma, and observations on epilepsy care, to relevant decision makers. This will be achieved through active

participation of IBE and ILAE and their chapters, including dissemination at a conference in conjunction with the European Epilepsy Congress 2018.

They have asked an extension of the project without money and they will follow with the activities until February 2019.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2014 commitment appropriations

Step	Date
Adoption of financing decision	01 July 2014
Publication of call for proposals	15 July 2014
Closing date of call for proposals	25 September 2014
Award decision	14 November 2014
Pre-financing payment(s)	2015
Interim payment(s)	N/A
Final payment(s)	Expected 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision, adopted in July 2014 (C(2014) 4319) sets out the main elements for the implementation of the preparatory action. The call for proposals 2014/1/1995648 was published in July 2014. The project website is available at the following address: www.esbace.eu.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

– 2014 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
ESBACE-EUROPEAN STUDY ON THE BURDEN AND CARE OF EPILEPSY	AARHUS UNIVERSITY - DENMARK	1 229 413

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented with a grant.

5.6 *Types of beneficiaries*

Research institutes and universities. ESBACE has established a consortium of ten centres as associate partners, with a further 9 collaborating centres to drive this project.

1. Heading

33 03 77 06: Preparatory Action — EU fund for financial support for litigating cases relating to violations of democracy, rule of law and fundamental rights

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		600 000	300 000	p.m.	300 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		600 000	300 000	p.m.	300 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2018 budget.

Empowering civil society organisations, movements and individuals is key to a truly democratic EU and its values as enshrined in the EU Treaties and the EU Charter of Fundamental Rights. This preparatory action will create an EU fund for awareness raising and legal assistance to individuals and civil society organisations litigating democracy, rule of law and fundamental rights violations based on the outcome of a requested feasibility study. This feasibility study should include an overview of the current obstacles facing individuals and NGOs wishing to exercise their rights regarding democracy, the rule of law and fundamental rights through litigation. The fund will work closely with and build upon the work of the EU Agency for Fundamental Rights.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

A range of preparatory consultations with key targeted stakeholders was carried out during January and February 2018. An online consultation is in preparation and will be launched targeting a broad range of relevant stakeholders at national level throughout the EU. Upon adoption of the financing decision, the call for negotiated procedure for the feasibility study will be published.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018 and 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	April 2018
Publication of the call for negotiated procedure for middle and low value contracts (feasibility study)	May 2018
Closing date of the negotiated procedure (feasibility study)	June 2018
Award decision for a contract (feasibility study)	June 2018
Publication of call for proposals for pilot projects (grants) only in the case the feasibility study concludes that the call for proposals is the most appropriate instrument.	November 2018
Closing date of call for proposals (grants) for pilot projects	March 2019
Award decision of call for proposals	May 2019
Pre-financing payment(s)	May 2019
Interim payment(s)	N/A
Final payment(s)	Fourth quarter 2019/First quarter 2020

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision is estimated for June 2018, and will set out the main elements of this preparatory action.

This interim report of 28 February 2018 sets out the implementation in two phases: first a feasibility study and second the best option to support independent human rights actors at national level engaged in fundamental rights litigation, awareness raising and advocacy for the enforcement of fundamental rights within the EU legal framework, identified in the feasibility study.

- Do similar activities exist, which are already covered by a legal basis?

Support to activities in the area of protection and promotion of fundamental rights is available through the Rights, Equality and Citizenship Programme, in particular as regards e.g. combating discrimination and racism, xenophobia and other forms of intolerance, promoting rights of the child and combating all forms of violence; as well as through the Justice Programme (training of legal practitioners on the EU Charter of Fundamental Rights).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No targeted legislative proposal is foreseen. However, the implementation of the action may inform current discussions on how to strengthen support, further empower and build the capacity of independent human rights actors in their activities of awareness raising on and promotion of EU law and values under Article 2 TEU, including the Charter on Fundamental Rights, in the context of the next MFF and the possible future Values Programme therein.

5.4 *Type of activities financed, number and amounts*

N/A

5.5 *Method of implementation (tenders, grants, other) and evaluations*

For the feasibility study, currently a negotiated procedure for middle and low value contracts is envisaged.

Building on the preparatory work, a call for proposals will be published for the award of grants in line with the objectives of the action and subject to a set of selection and award criteria.

5.6 *Types of beneficiaries*

The preparatory action targets independent actors (including civil society organisations and national human rights bodies at national and local level) raising awareness and litigating fundamental rights in the context of the implementation of EU law.

Heading 4 – Global Europe

1. Heading

21 02 77 14: Preparatory Action — Global Energy Efficiency and Renewable Energy Fund (GEEREF)

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	500 000	p.m.	500 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	500 000	p.m.	500 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for one year under the 2007 budget.

Objectives followed during 2017 and previous years' implementation:

The objective of the preparatory action is to support the technical support facility for the implementation of the Global Energy Efficiency and Renewable Energy Fund (GEEREF), financed from the DCI (Development Cooperation Instrument (DCI) programme (Thematic programme for environment and natural resources, including energy, budget line 21 04 01).

The technical support facility (also called the regional fund support facility or RFSF) has been established to support the creation, the operations and pipeline development of Regional Funds and/or stimulate the renewable energy and energy efficiency market in general.

The Global Energy Efficiency and Renewable Energy Fund (GEEREF) intends to provide an investment platform which combines public and private funding with a view to supporting financially viable target projects, including demonstration projects deemed to contribute to:

- the expansion of energy projects within the areas of renewable energy, energy efficiency and near zero fossil fuel emissions energy projects, with the objective to increase access to low carbon, secure and affordable energy;
- enhance the development of new projects with a view to encountering the global risks from climate change;

- improve economically, socially and environmentally sustainable development in developing countries and economies in transition, while promoting the protection of the environment.

In December 2007, the Commission signed its first contracts in order to initiate GEEREF and the RFSF. On 3 November 2008, GEEREF was approved by the Luxembourg supervisory authority (Commission de Surveillance du Secteur Financier, CSSF) and the fund could thus hold its first closing a few days later on 06 November 2008. The Investment Committee made up of representatives of the three public investors met for the first time on 05 December 2008. In addition, 24 private investors (from Europe, North America and Australia) have now committed EUR 110 million to the fund, while the European Investment Bank (EIB) has also invested EUR 10 million. The EIB and the private investors have second-loss shares in the fund. The fundraising campaign has been completed. This closing date was finally set to 29 May 2015. Specific information on the GEEREF is available at the following address: <http://geeref.com/>.

RFSF is intended to realise the full development potential of GEEREF's investments and at mitigating the risks incurred in relation to certain initiatives. This support can be provided both during the pre-operational phase of a private equity and during the operational phase and aims to:

- bear organisational expenses;
- bear the costs of technical assistance, including cost for specific external advice on accountancy, tax or legal issues, training of staff and employment of qualified staff and or project developers, feasibility studies for projects, market assessments, awareness creation;
- bear the costs of technical assistance, including feasibility studies for the benefit of GEEREF and/or for the Regional Funds and/or Beneficiary Projects.

The activities under the RFSF commenced during the second half of 2009.

During 2010, 2011 and 2012 operations were further scaled up. By end 2016, three activities were on-going and eight activities had been completed and closed. The new activities sought to keep developing its penetration in key markets (East Africa and India) as well as diversifying the type of investment (supporting a corporate structure to develop solar projects and energy efficiency in Central America and the Caribbean). As new investments were identified, RFSF worked in parallel to solidify them and support the creation, the operations and pipeline development of Regional Funds.

Intentions for the current year 2018:

In 2018, GEEREF will continue to work on the three ongoing operations:

- MGM Sustainable Energy Fund (MSEF) is a private equity infrastructure fund which primarily focuses on energy efficiency investments in Latin America, with an innovative and pioneering strategy. The primary focus of MSEF is on investments in demand-side EE projects, with ~75% of the fund's investments. The remaining ~25% of the fund will be invested in small-scale renewable energy projects e.g. in small-hydro, hydro rehabilitation, solar and wind projects. In this context, an RFSF grant was considered as financial support to extend the team and employ further experts alongside the team, beyond what could be covered by the management fees. GEEREF will invest in the follow-on fund MSEF II and it is considered to use a RFSF grant to support the team in its investment roll out for energy efficiency projects.
- DI Frontier Market Energy and Carbon Fund (DI) is a private equity fund focusing on investments in infrastructure projects in Sub-Saharan Africa. The fund invests in renewable energy and energy efficiency infrastructure projects, focusing particularly on East African countries. The selection of DI as a beneficiary for a grant under the RFSF was done in relation to GEEREF's investment. The reinforcement of the team, with qualified and expert project manager professional staff, was one of the

conditions for an investment by GEEREF. It was agreed that the RFSF would be an appropriate source to financially support this demand. The last disbursement for this will occur in 2018.

- Solar Arise is a solar Photovoltaics (PV) asset investment vehicle which will own and operate up to 250 MW of solar PV plants in India. The combination of the strategy and the experienced team is a sound basis to reach the intended roll-out of up to 250 MW of solar PV plants. The team has already built a promising pipeline and executed several investments in a short time. The Management team of the Fund is already active in rolling out its strategy. Due to the small size of the vehicle and the low level of the management fee, the Management team is facing financial and organizational resources constraints. An element outstanding is the work on a market study about the health of Indian distribution companies. This will have to be handled in 2018, currently potential partners for this work are being shortlisted. A further drawdown is under preparation as of January 2018.

At this stage, there is a potential future RFSF activity in the pipeline, which relates to supporting the investment activity of MSEF II once this will be closed. The timing of this will be driven by the fundraising traction of MSEF II, which targets a first close in the second quarter 2018. GEEREF received an Investment Committee approval for this transaction end of November 2017.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

Step	Date
Financing Decision for the GEEREF Technical facility	December 2007
Signature of the Agreement with the EIF for the management of the technical facility	November 2008
Payments to EIF	2009-2017
Duration of the Technical Support Facility	Until December 2018

In 2019, activities under the RFSF will focus on follow-up of on-going grants and the support of MSEF II.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Moreover, Commission Financing Decision C(2007)5836 of 3 December 2007 sets out the main elements for the implementation of this preparatory action.

The prospectus for "*The European initiative on Clean, Renewable Energy, Energy Efficiency and Climate Change related to Development, Société d'Investissement à Capital Variable – Specialized Investment Fund (SICAV, SIF)*", sets out the main elements of the Global Energy Efficiency and Renewable Energy Fund (GEEREF). GEEREF was set up in November 2008 as the first compartment of "the European Initiative on Clean, Renewable Energy, Energy Efficiency and Climate Change related to Development SICAV, SIF" and is currently under revision.

- Do similar activities exist, which are already covered by a legal basis?

Other technical assistance projects exist, but nothing that is very similar.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

Year	Activities financed
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Year	Activities financed
2009	A contract was concluded with Bunker Palace S.A., a communication agency to establish a communication strategy and develop a visual identity for the fund as well as set-up GEEREF's website of a total value of EUR 37 400.
2010	<ul style="list-style-type: none"> - Barefoot Power, a EUR 935 000 grant to scale up its human resources capacity and product offering and thereby strengthening its commercial sustainability in developing countries. The grant could catalyse two new funds that seek to provide solar power in emerging markets, but the funds were found to be incompatible with GEEREF investment policy. - E+Co, a EUR 709 893 grant to cover the cost of establishing two clean energy investment facilities, which could later on be considered for investment by GEEREF. The costs covered relate to activities such as environmental assessment, pipeline building, HR, structuring, legal service and fundraising. - DI Frontier Market Energy and Carbon Fund. A grant of EUR 120 000 with a private equity fund focusing on investments in infrastructure projects in Sub-Saharan Africa. The grant is intended to finance the recruitment of a qualified and expert staff to complement the management team. An investment in the fund was approved by the GEEREF Investment Committee. - IndiaCo Energy Efficiency Fund, an Indian fund focusing on private equity investments by providing growth capital to energy efficiency related companies in India, mostly Energy Services Companies (ESCOs).. A EUR 45 096 grant was disbursed to cover part of the costs of legal, tax, strategic and administrative set up of the fund.
2011	<ul style="list-style-type: none"> - LCA Asia, a Singapore-based fund focusing on private equity investments in Clean Energy and CleanTech seed and early stage companies and projects throughout Asia. A EUR 75 160 grant was awarded that was used to launch the fundraising stage of LCA. The grant covered part of the costs of legal, tax, strategic and administrative set up of the fund. The grant support for LCA Singapore helped the establishment and growth of a GEEREF investment target that was later on approved for a GEEREF investment in February 2012. - Solar for All, with the aim to channel investments towards companies that price products and services for affordability and thereby to reduce significantly the cost of accessing solar energy in the developing world by examining the entire supply chain of solar industry. A grant of EUR 300 000 was disbursed to cover: back office costs, consultants, administrative support, legal service, incorporation, office setup, marketing, travel, due diligence, accounting and insurance.
2012	A second grant of EUR 588 835 had been approved to LCA Asia, in partnership with UNEP and the Asian Development Bank that would provide co-financing of 50 %. This second grant to AAM has supported the further development of Armstrong. It covered part of the costs for detailed market research and pipeline building, access to specialized databases and training/awareness building for the team and market participants concerning RE in Southeast Asia (via workshops and conferences and mentoring of promising entrepreneurs). Furthermore, the grant provided under the RFSF also covered the cost of retaining an investment professional with RE project execution experience in Southeast Asia. The addition of this investment professional in the team allocated to Armstrong was one of the points identified as a clear enhancement of the fund project. Executing this recruitment and keeping this professional on the team has enabled the fund to attract new investors and increase the leverage of the finance provided by GEEREF.
2013	MGM Sustainable Energy Fund ("MSEF") is a private equity infrastructure fund which primarily focuses on Central American energy efficiency investments, with an innovative and pioneering strategy. MSEF reached first close in the first quarter of 2015 with USD 63.2m, amongst which a EUR 10million GEEREF commitment. The selection of this project under the RFSF was predicated upon the investment of GEEREF into MSEF and

Year	Activities financed
	was done within the scope of the analysis of the project during due diligence. In a written resolution in the second quarter of 2012, the GEEREF IC had approved an investment in MSEF. This decision was also subject to the reinforcement of the energy efficiency experience and knowledge within the fund management team. In this context, an RFSF grant of EUR 177 111 was considered as financial support to extend the team and employ further experts alongside the team, beyond what could be covered by the management fees.
2014	No new activities under the RFSF.
2015	No new activities under the RFSF.
2016	<p>In 2016 an additional EUR 220 000 were signed with DI Frontier Market Energy and Carbon Fund. The grant is still intended to finance the recruitment of a qualified and expert staff to complement the management team.</p> <p>Solar Arise is a solar PV asset investment vehicle which will own and operate up to 250 MW of solar PV plants in India. GEEREF is a lead cornerstone investor along with CIIF (an Indian infrastructure fund sponsored by Kotak Mahindra). Solar Arise's management team pursues a very focused investment strategy concentrating on solar PV investments in India. The RFSF grant of EUR 200 000 will in this context enhance the bandwidth to supplement the management team's skills. The use of external expertise is part of the business model of Solar Arise but at current size/fee level, it remains constrained to execute swiftly on its strategy and risk delays in strategy implementation without the RFSF grant.</p>
2017	No new activities under the RFSF.

All projects financed by grants are completed except for the last three ones.

5.5 Method of implementation (tenders, grants, other) and evaluations

The Technical Support Facility is managed by the European Investment Fund (EIF) under an agreement concluded in accordance with article 54 (2)(c) of the Financial Regulation. The EIF mainly provides non-reimbursable technical assistance.

A results-oriented monitoring (ROM) mission was carried out in June 2011 and in 2017 upon the request of the European Commission. The results were positive with some recommendations regarding procedures and indicators that have been taken into account. Most of the lessons learnt are not possible to implement in this facility but will be considered for future facilities of this type.

5.6 Types of beneficiaries

There are two main categories of beneficiaries of the facility:

- promoters of initiatives (e.g. funds or projects) targeting underserved populations;
- providers of services to enhance GEEREF's effectiveness and impact (research, fundraising and communication).

1. Heading

21 02 77 29: Preparatory Action — Support for micro, small and medium-sized enterprises (SMMEs) in developing countries

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	500 000	p.m.	500 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	p.m.	500 000	p.m.	500 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2017 budget.

Objectives followed during 2017 and previous years' implementation:

The specific objective is to promote women's economic empowerment and financial inclusion through improved access to financial products and services supported by relevant technical assistance and capacity building services. The action will aim to leverage additional public and private resources through blending. The main expected result is to enhance access to financial and non-financial capacity building services in support of women financial inclusion, economic empowerment, entrepreneurship and employment.

Commission Implementing Decision C(2017)8725 and the Action Document for Women's Economic Empowerment CRIS number: DCI-HUM/2017/038-794 have been adopted.

Intentions for the current year 2018:

Signature of the contract and delegation agreement

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

– 2017 commitment appropriations

Step	Date
Adoption of financing decision	C(2017)8725 of 11 December 2017

Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	November 2018
Pre-financing payment(s)	March 2019
Interim payment(s)	March 2019
Final payment(s)	March 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Action Document for Women's Economic Empowerment CRIS number: DCI-HUM/2017/038

- Do similar activities exist, which are already covered by a legal basis?

The EUR 20 million call for proposals which fosters women's entrepreneurship in the sustainable energy sector. Three projects have been selected, with implementation ranging between three to five years, focusing on sustainable energy promotion and gender equality.

A EUR 5 million project is being finalised that aims to promote gender transformative approaches in rural areas. It focuses on removing structural, legal and institutional barriers to gender equality in agricultural value chains.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

N/A

5.4 Type of activities financed, number and amounts

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Item 21 02 77 29 — Preparatory action — Support for micro, small and medium-sized enterprises (SMMEs) in developing countries	The Action will be implemented through Financial Instrument (Blending). The Technical Assessment Meeting (TAM) is planned in May and Operation Board on 22 June 2018.	1 000 000

5.5 Method of implementation (tenders, grants, other) and evaluations

The action will be implemented in the context of the DCI Blending framework and its facilities. Projects will be assessed and implemented within the relevant EU Thematic Investment Facility. All actions implemented via the facility shall be implemented under indirect management with the entities, called Lead Financial Institutions, in accordance with Article 58(1)(c) of Regulation (EU, Euratom) No 966/2012.

5.6 Types of beneficiaries

NGO, Private Sector, development finance institutions (DFIs), international financial institutions (IFIs).

1. Heading

23 03 77 04: Preparatory Action — Network of European hubs for civil protection and crisis management

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 500 000	750 000	p.m.	750 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 500 000	750 000	p.m.	750 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2018 budget.

Objectives followed during the 2017 and previous years' implementation: N/A

Intentions for the current year 2018.

The objective is to launch a call for tender, which will lead to the signature of a contract by September.

5.2 Timetable for the main steps (from the financing decision to payment) and forecasts for 2019

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	May 2018
Publication of call for tender	April 2018
Closing date of call for tender	June 2018
Award decision	June 2018
Prefinancing payment	September 2018
Interim payment	N/A
Final payment	2019

5.3 Documentation and expected results

– Is there any documentation setting out the main elements of this preparatory action?

Commission Financing Decision will set out the main elements of this preparatory action.

The overall objective of the project is to develop a concept for the creation of European hubs for civil protection and crisis management and to test the hubs' European dimension in practice. The pilot European hub shall focus in particular on forest fire risk management bringing together: (i) applied sciences for risk assessment (e.g. methodologies and use of data); (ii) preparedness actions, such as multinational training and exercises, and (iii) relevant response capabilities. The preparatory action shall support the Union Civil Protection Mechanism (UCPM)'s objective to scale up the European dimension of disaster risk management, particularly in view of the recent legislative proposal amending the Union Civil Protection Mechanism (COM(2017) 772 final), hereby called rescEU.

The call for tender will include four components which should be an integral part of the selected project:

- science for assessing risks: identify, test and further develop innovative approaches of science-based risk assessments to improve decision - making and information sharing in the field of crisis management. Best practices within the Union that build on the use of innovative tools and methodologies should be exchanged, tested and further improved in the course of the project.
- cross-border preparedness: Prepare and implement specialised training and simulation courses for teams and experts (key management and operational personnel). Civil protection authorities of EU Member States, States eligible to become Participating States in the Union Civil Protection Mechanism established by Decision No 1313/2013 and third countries in the Neighbourhood should be involved based on their regional and national risk plans. This action should bring together civil protection personnel and experts in a regional hub or centre of excellence. A close link with current UCPM training programme should be ensured.
- strengthened response governance: Strengthening the architecture for cross-border disaster response to forest fires, in particular through evaluation exercises and joint learning from real operations and exchange of experts. This shall be done both at management and operational level and aim also at further developing SOPs and measures that support the Host Nation Support framework, the interoperability and logistics of capacities as well as safety measures for response operations (e.g. maintenance and deployment modalities).
- put forward a possible model for European hubs based on other risks (including floods) in view of a possible application in the framework of rescEU.

– Do similar activities exist, which are already covered by a legal basis?

No.

– At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

The call for proposals is currently on-going.

5.5 Method of implementation (tenders, grants, other) and evaluations

This preparatory action will be implemented through grants.

5.6 *Types of beneficiaries*

Consortium members would ideally include civil protection authorities, training centres and relevant (academic) institutions with experience in civil protection and crisis management under the Union Civil Protection Mechanism.

Heading 5 – Administration

1. Heading

25 01 77 05: Preparatory Action — Linked open data in European public administration

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 100 000	550 000	p.m.	850 000	-100,0 %	54,5 %
Reserve						0,0 %	0,0 %
Total		1 100 000	550 000	p.m.	850 000	-100,0 %	54,5 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The concept of open data is becoming a reference for the dissemination of data produced by public administrations. In recent years, several initiatives to disseminate open data have been launched at national and European level, including the European Union Open Data Portal (more information is available at the following address: <http://data.europa.eu/euodp/en/home>).

Linked open data (LOD) is a method of publishing structured open data so that it can be interlinked and become more useful through semantic queries. It builds upon standard web technologies, but, rather than using them to serve web pages for human readers, it extends them to share information in a way that can be read automatically by computers. This enables data from different sources and different policy areas to be connected and queried.

The aim of this preparatory action is to identify, assess and support the exploitation of the potential of linked open data for European public administrations, enhance the active and passive use of open data by linking it and, consequently, facilitate the generation of new data, information and knowledge.

Within the Commission the action will be led by the Publications Office and will cover several action strands such as: enabling high value datasets to be published in future as LOD; establishing an inventory of data collection systems and open data portals across the EU institutions and other bodies in order to

establish links between them and the EU Open Data Portal; and further promoting the reuse of reference data of EU institutions in LOD form by third parties as well as cultural change towards sharing data, interoperability and data quality.

This project will unfold in 2018 along the following roadmap:

- exploratory phase (until June 2018): set up of project governance, steering structure and project management structure;
- preparatory phase (third quarter of 2018): agreement between services and institutions, definition of project strategy and roadmap;
- implementation phase (as of September 2018): the Publications Office will coordinate the implementation efforts, in close cooperation with its key stakeholders. Actions will be executed by contractors in cooperation with the EU Open Data Portal team members and project partners.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

- 2018 commitment appropriations

Step	Date
Adoption of financing decision	Early May 2018
Publication of call for proposals / call for tender	N/A (see also paragraph 5.6)
Closing date of call for proposals / call for tender	N/A (see above)
Award decision	N/A
Pre-financing payment(s)	N/A
Interim payment(s)	Second semester 2018
Final payment(s)	First semester 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission interim report on the implementation of pilot projects and preparatory actions 2018.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

- 2018 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Consultancy, evolution, development, support and assistance	Existing framework contracts	250 000

IT systems operation	Existing framework contracts	150 000
Content preparation	Existing framework contracts	550 000
Communication and promotion	Existing framework contracts	150 000

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The Commission intends to implement this preparatory action via framework contracts which are already in place. These will be mainly managed by the Publications Office, the lead service for this preparatory action, and by DG DIGIT. Launching a new call for tenders is currently not foreseen.

The Publications Office envisages working in collaboration with relevant Commission services, other institutions and agencies (e.g. European Parliament, Council) as well as the Member States.

5.6 *Types of beneficiaries*

Public administrations, civil society, businesses, journalists, academia, transparency community, general public.

1. Heading

26 03 77 06: Preparatory Action — Governance and quality of software code — Auditing of free and open-source software

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 600 000,00	42 753,48	p.m.	1 100 000	p.m.	1 305 020	0,0 %	18,6 %
Reserve							0,0 %	0,0 %
Total	2 600 000,00	42 753,48	p.m.	1 100 000	p.m.	1 305 020	0,0 %	18,6 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2017 budget.

The discovery of a severe vulnerability in the OpenSSL cryptography software library in April 2014 drew broader attention to the need for understanding how governance of code relates to the quality of code and code review. Both the general public and the EU institutions regularly use and depend on free and open-source software—from end-user devices up to server systems. Therefore the need for coordinated efforts to maintain a reasonable level of security and protection of users is a recognised and repeated demand from both citizens and the European Parliament itself, regardless of how the software is licensed and whether it is maintained by software companies or by volunteers.

Vulnerabilities in software libraries that are by design meant to be used in many locations are especially important. The original pilot project run during 2015-2016 (budget line 26 03 77 02) proposed going beyond the commendable efforts of CERT-EU to not only warn the EU institutions, bodies and agencies and the general public about upcoming threats, but also to pro-actively work together with software developer communities to aid and contribute to the discovery of security issues in software which carries essential information infrastructure functions. This work should be conducted by using the Debian Social Contract as a reference in terms of effectiveness and trust and in accordance with established procedures on reasonable disclosure.

The preparatory action will build on and extend what the pilot project has produced as regards the sustainability metrics, code review methodology and inventory of open source software.

As pointed out in the Commission's letter of executability of October 2016, during the pilot project preceding this preparatory action, the idea of creating a 'bug bounty' approach—incentivising the discovery

of security-relevant issues in software used by the institutions through financial rewards — had already been considered, but was disregarded in the end because of insufficient provisions. However, such approaches are very common and successful in the industry and would allow broader involvement of the security community in the common objective of ensuring a more secure IT infrastructure.

Objectives followed during 2017 and previous years' implementation:

During 2017, the organisational and financial capacity of the project has been put in place. The Financing Decision has been adopted, which enables making financial commitments. Discussions took place with the stakeholders on the details of the execution, and this led to fine-tune the final shape of the project. A major part of work relating to the preparation of the *call for tenders* for contracting with companies managing bug bounties, has also been drafted, and existing framework contracts for executing other parts of the project have been identified. It was decided that for best results, a full-time in-house project manager would be needed, and is now in place. During the third and fourth quarters of 2017, a proof of concept for bug bounties was prepared and executed with positive results, proving the feasibility of running bug bounties on a much larger scale during 2018-2019.

Intentions for the current year 2018:

During 2018, the core of the project will be executed, with the following actions planned:

- updating the inventory of free and open source software and open standards in use within EU institutions;
- running several bug bounty activities targeting critical open source software in use within EU institutions;
- increasing the project's communication and feedback collection to encourage developer communities to aid and contribute to the discovery and fixing of security-relevant bugs;
- developing and enhancing best practices with regard to mitigation of security threats by conducting and promoting code reviews;
- exploring further incentives to improve IT security including running Hackathons.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	C(2017)3971 of 15 June 2017
Publication of call for proposals / call for tender	April 2018
Closing date of call for proposals / call for tender	May 2018
Award decision	July 2018
Pre-financing payment(s)	September 2018
Interim payment(s)	January 2019
Final payment(s)	November 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2017)3971 sets out the main elements of the preparatory action.

Project charter is finalised and will be made available publicly in the project space on Joinup at <https://joinup.ec.europa.eu/collection/eu-fossa-2>.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

It is currently foreseen to explore the follow up of this action with a legislative proposal.

5.4 *Type of activities financed, number and amounts*

– 2017 and 2018 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
WP1: Bug Bounties Proof of Concept	HackerOne	43 000,00*
Title / Action	Beneficiary / contractor	EUR (maximum)
WP7: Cybersecurity Project Manager	Consortium ATOS/Airbus	415 000,00
Title / Action	Beneficiary / contractor	EUR (maximum)
Remaining actions of the Preparatory Action: WP2: Inventories WP3: Main action: bug bounties** WP4: Education and Outreach WP5: Post EU-FOSSA 2 WP6: Dissemination of results	Contractors to be defined, depending on action: - via low- or medium-value contracts - via existing framework contracts (main framework contracts: ABC IV, PO/2015-16/A2) - via Call for Tenders OSS-BB	2 142 000,00

*amounts rounded up to the nearest thousands.

**due to experimental nature of the WP3: Bug Bounties, the precise amount to be committed for this activity will vary between EUR 600 000 and EUR 1,6 million (EUR 1,6 million being the expected ceiling value of the OSS-BB Call for Tenders). This reallocation is fully in-line with the financing decision's flexibility clause, allowing readjustment of the budget up to 20% of the total value, which in this case is EUR 1,1 million with a possible readjustment of EUR 520 000. Total commitments for the preparatory action as a whole will in any case never exceed EUR 2,6 million.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

The core of the project will be executed by companies selected using an open call for tenders, for a maximum amount of EUR 1,6 million. The remaining budget will be using existing framework contracts or low-value procurement procedures.

5.6 *Types of beneficiaries*

– companies from existing framework contracts;

- companies selected using a 'low-value procedure';
- companies selected during an open call for tender;
- indirectly individual researchers in case of 'bug bounties': bug bounties would be awarded to the most relevant issues/vulnerabilities/findings submitted by researchers who have no contractual links with the European Commission or the company running the bug bounty platform;
- general public as ultimately the findings would help improve open source software and critical infrastructure used by everyone;
- EU institutions staff and contractors, who will be encouraged to participate in Hackathons.

1. Heading

26 03 77 08: Preparatory Action — Encrypted electronic communications of Union institutions

2. Figures

(euro)

	2017 Outturn		2018 Budget		2019 DB		Var(2019/2018)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	600 000	800 000	p.m.	800 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	600 000	800 000	p.m.	800 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2017 and 2018 budgets.

Objectives followed during 2017 and previous years' implementation:

The objective of the preparatory action is to continue support, following the pilot project "Union institutions' encrypted electronic communications" (budget line 26 03 77 04) for the implementation of secure electronic communications within the European institutions.

Sub-projects will be oriented towards:

- harmonising, coordinating and, as much as possible, automating relevant procedures and processes (setting up the trust relationship between the EU Institutions' certification authorities, access to other EU Institutions' user certificates);
- maximising the re-use of the investments made so far (upgrading the available solutions, coordinating evolution roadmaps, facilitating re-use of the existing building blocks to build new services), for example by facilitating the use of S/MIME based secure email between them;
- reinforcing the investment in innovative technologies to enable future-proof solutions;
- facilitating users' access to the solutions that are (or will become) available by making them more user-friendly/accessible, advertising their availability, promoting their use, making the users aware of the need of protecting sensitive information.

The sub-projects comprising the pilot project are:

- EU2F: secure two-sector authentication of users;
- EU File Exchange: managed services for electronic registered delivery services;
- SECABC (Secure European Centralized Address Book & Certificates): interinstitutional exchange of public key infrastructure certificates.

Due to the delayed implementation of the pilot project, the 2017 commitments of the preparatory action were committed through a global commitment. Commitments to specific contracts will be made for these in 2018.

Intentions for the current year 2018:

For the preparatory action in 2018, the lessons learned from the pilot project will be taken into account and the sub-projects extended. More building blocks may potentially be added as building blocks for the preparatory action.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019

- 2017 commitment appropriations

Step	Date
Adoption of financing decision	October 2017
Global commitment of 2017 commitments	December 2017
Signing of contracts under 2017 commitments	2018
Interim payments	Second through fourth quarters 2018, first through third quarters 2019
Final payments	Fourth quarter 2019

- 2018 commitment appropriations

Step	Date
Financing decision on 2018 commitments	Second quarter 2018
Global commitment of 2018 commitments	December 2018
Signing of contracts under 2018 commitments	First quarter 2019
Interim payments	First through third quarters 2019
Final payment	Fourth quarter 2019

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2017)7110, adopted in October 2017, sets out the main elements of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

The Commission (the Directorate-General for Informatics) implements corporate services in the areas of identity and access management, electronic signatures and electronic registered delivery services.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. Any follow up activity should in principle be financed under each participating institution's administrative appropriations as per their administrative autonomy.

5.4 *Type of activities financed, number and amounts*

– 2017 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
EU File Exchange – Infrastructure	Inter-unit transfer	250 000
EU File Exchange – Migration of DECIDE	Existing framework contracts	236 907
EU File Exchange – FTP set-up and operation	Existing framework contracts	150 000
EU File Exchange – Security expertise	Existing framework contracts	125 000
EU File Exchange – Monitoring	Existing framework contracts	125 000
EU2F – development and testing	Existing framework contracts	113 093

– 2018 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
EU2F – development and testing	Existing framework contracts	56 907
Encrypted electronic communications	Existing framework contracts	543 093

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Use of existing DIGIT framework contracts and transfer between units in DIGIT.

5.6 *Types of beneficiaries*

European Parliament and Commission administrations. From a financial viewpoint, commercial organisations (framework contractors) as providers of services to the Commission.

1. Heading

26 03 77 09: Preparatory Action — Data analytics solutions for policy-making

2. Figures

(euro)

	2017 Outturn	2018 Budget		2019 DB		Var(2019/2018)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 300 000	650 000	p.m.	500 000	-100,0 %	-23,1 %
Reserve						0,0 %	0,0 %
Total		1 300 000	650 000	p.m.	500 000	-100,0 %	-23,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2018 budget.

Objectives followed during 2017 and previous years' implementation: N/A

Intentions for the current year 2018:

The objective of the preparatory action is to identify, develop, implement and promote the use of data analytics techniques in the European and national policy decision making process. The use of data analytics (big data, data and text mining, business intelligence, data analytics) is becoming more and more instrumental in the European decision making process. The action covers the development, implementation and promotion of data analytics solutions for evidence policymaking with potential application at national and European level. It focuses on the following analytics solutions:

- the development and implementation of selected solutions for interaction with citizens and related analytics and sentiment analysis (e.g. analysis of citizens' feedback on policies and initiatives; eParticipation);
- the development and implementation of selected data mining solutions for assessing data in specific policy areas and adding intelligence;
- the integration of the developed solutions in consultation processes (e.g. integration of analytics in the consultation process with citizens, including support to smart interaction and surveys);
- the development and implementation of data analytics tools for monitoring the performance of specific policies and contextualise them (e.g., key performance indicators for European funded programmes contextualised vs. the key social/economic indicators in that specific area).

The proposed preparatory action aims to bring, integrate, consolidate and further enhance these and other technologies and make them at the service of the citizens and policy makers. Due to the relatively new and evolving technological context, the project will be implemented in an agile and modular manner in order to involve policy makers in the specification and development process from the outset.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2019*

– 2018 commitment appropriations

Step	Date
Adoption of financing decision	Second quarter 2018
Signature of specific commitments	Third and fourth quarters 2018
Global commitment of 2018 commitment appropriations	December 2018
Interim payment(s)	Third and fourth quarter 2018
Signature of specific commitments	First and second quarters 2019
Interim payment(s)	Second, third and fourth quarters 2019
Final payment(s)	Fourth quarter 2019

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision will be adopted in the second quarter of 2018.

- Do similar activities exist, which are already covered by a legal basis?

Yes, action "Big data for public administrations" (2016.03), part of the ISA Work Programme 2018.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No.

5.4 *Type of activities financed, number and amounts*

Work is on-going to determine the activities and the final splits of the amounts with a view to the adoption of financing decisions.

5.5 *Method of implementation (tenders, grants, other) and evaluations*

Use of existing framework contracts.

For more information on the EU accounts, budget, financial programming and accounting:

EU Budget:

http://ec.europa.eu/budget/index_en.cfm

Günther H. Oettinger:

http://ec.europa.eu/commission/2014-2019/oettinger_en

Directorate-General for Budget:

<http://ec.europa.eu/dgs/budget/index.htm>

You can find the electronic version of this document at:

http://ec.europa.eu/budget/biblio/documents/2019/2019_en.cfm